

## NOVEL MACROCYCLES AND USES THEREOF

### PRIORITY INFORMATION

5 This application claims priority under 35 U.S.C. § 119(e) to U.S. Provisional Patent Application Number 60/228,277, filed August 25, 2000, entitled "Concise Asymmetric Synthetic Method for Generation of Radicicol Analogs and Radicicol Conjugates", and U.S. Provisional Patent Application Number 60/\_\_\_\_\_, filed July 11, 2001, entitled "Concise Asymmetric Synthetic Methods for Generation of Monocillin, Radicicol and Their Analogs and Conjugates, and the entire contents of each of these applications are hereby incorporated by reference in their  
10 entirety.

### GOVERNMENT SUPPORT

The present invention was made with support from a grant from the National Institutes of Health (Number CA-28824; Samuel J. Danishefsky), and from Postdoctoral Fellowships from the National Institutes of Health (Number F32 CA85894-01; Robert M. Garbaccio and Number F32 CA81704-01; Shawn J. Stachel). Additionally, the present invention was made with support from a grant from the United States Army Breast Cancer Research Program (No. P01CA68425; Neal Rosen). Therefore, the government may have certain rights in the invention.

### BACKGROUND OF THE INVENTION

20 Radicicol (Delmotte *et al.* *Nature* **1953**, 171, 344; Ayer *et al.* *Canad. J. Microbiol.* **1980**, 26, 766) (1) and monocillin I (Ayer *et al.* *Canad. J. Microbiol.* **1980**, 26, 766) (2) are resorcylic macrolides which can both be isolated from *Monocillium nordinii* (Ayer *et al.* *Canad. J. Microbiol.* **1980**, 26, 766) (Figure 1). While the skeletal structure of radicicol was determined in 1964, (McCapra *et al.* *Tetrahedron Lett.* **1964**, 869; Mirrington *et al.* *Tetrahedron Lett.* **1964**, 365) its relative and absolute stereochemical configuration was not unambiguously established until 1987 (Cutler *et al.* *Agric. Biol. Chem.* **1987**, 51, 3331). The structure of monocillin I was confirmed by its direct conversion into radicicol. Affirmation of these structures was achieved by their only total synthesis through the efforts of Lett and Lampilas (Lampilas *et al.* *Tetrahedron Lett.* **1992**, 33, 773 and 777).

Both radicicol (1) and monocillin I (2) (see Figure 1) exhibit a variety of antifungal and antibiotic properties not shared by other members of this class of natural products. Recently, the antitumor properties of radicicol have come into focus as its ability to suppress the transformed phenotype caused by various oncogenes such as *src*, *ras* and *raf* has been linked to its tight binding (20 nM) and inhibition of the Hsp90 molecular chaperone (Roe *et al. J. Med. Chem.* 1999, 42, 260-266). This 'anti-chaperone' activity may stimulate depletion of oncogenic proteins, and could therefore be of clinical interest. Specifically, occupancy of the ATP binding pocket of Hsp90 is believed to lead to the degradation in the proteasome of a subset of proteins involved in signal transduction that require Hsp90 for conformational maturation (see, Schneider *et al. Proc. Natl. Acad. Sci. USA* 93: 14536-14541, 1996; Mimnaugh *et al. J. Biol. Chem.* 271: 22796-22801, 1996; Whitesell *et al. Mol. Endocrinol.* 10: 705-712, 1996). These proteins include the HER and insulin receptor families of tyrosine kinases, Raf-1 serine kinase and steroid receptors to name a few. Downregulation of any of these would be expected to have positive antiproliferative effects, so that Hsp90 is an attractive target for the development of antitumor drugs.

The demonstrated ability of radicicol to bind to and inhibit the activity of Hsp90 has generated an interest in further exploring the biological and pharmacological activity of radicicol and analogues thereof. Significantly, to date, only one synthesis of radicicol itself has been recorded (Lampilas *et al. Tetrahedron Lett.* 1992, 33, 773 and 777). Other groups have accessed a variety of analogues from the natural product itself (see, US Patent 5,650,430; US Patent 5,731,343; US Patent 6,239,168; US Patent 5,977,165; and US Patent 5,597,846), but have been limited in the range of analogues that can be generated. Thus, there remains a need to develop a practical synthesis of radicicol to generate novel analogs and conjugates to explore novel biological and pharmacological activities, and to improve the stability and therapeutic efficacy of radicicol and/or monocillin in the treatment of cancer.

#### DESCRIPTION OF THE DRAWING

Figure 1 depicts structures of Monocillin I (2), Radicicol (1) and Geldanamycin (3).

Figure 2 depicts two strategies for the synthesis of radicicol (1) and monocillin (2).

Figure 3 depicts the synthetic strategy for the construction of the chiral allylic alcohol.

Figure 4 depicts the synthetic strategy for the construction of intermediate (18).

Figure 5 depicts the synthetic strategy for the construction of intermediate (7) via a Mitsunobou esterification.

Figure 6 depicts a synthetic strategy for the synthesis of radicicol (1) and monocillin (2).

Figure 7 depicts the synthesis of a variety of chiral components (28), (30) and (32).

5 Figure 8 depicts the synthesis of dithiane fragment (34).

Figure 9 depicts the synthesis of a variety of benzoic acid components (35), (36), (37) and (38).

Figure 10 depicts the generation of diversity at aromatic positions in the macrocycle.

Figure 11 depicts the synthesis of a variety of analogues (40), (42), (44) and (46).

10 Figure 12 depicts the synthesis of a variety of analogues (48), (50), (52) and (54).

Figure 13 depicts the synthesis of the chiral cyclopropyl moiety (30) and generation of intermediate (39).

Figure 14 depicts the synthetic scheme for cyclopropyl-monocillin I (2c) and cyclopropyl-radicicol (40).

Figure 15 depicts the synthesis of a variety of inventive conjugates.

Figure 16 depicts the synthesis of a variety of inventive conjugates.

Figure 17 depicts the results of MCF7 cells (HER2 overexpressed, Rb positive) treated with radicicol and analogues.

Figure 18 depicts the results of BT474 cells (HER2 overexpressed, Rb positive) treated with radicicol and analogues. The gels demonstrate reduction of HER2 levels over a range of concentrations.

Figure 19 depicts the growth of MCF7 cells (HER2 overexpressed, Rb positive) treated with radicicol and analogues.

25 Figure 20 depicts the ability of radicicol and cyclopropyl radicicol to inhibit breast cancer cells with wild type Rb and small cell lung cancer cells with defective Rb function.

Figure 21 depicts the growth curve for N417 cells (Rb negative cell line) for radicicol and analogues thereof.

### ***DESCRIPTION OF THE INVENTION***

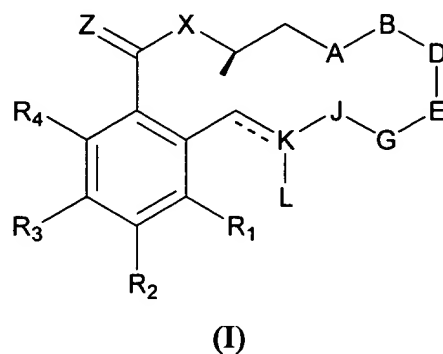
30 In recognition of the need to develop novel and effective cancer therapies, the present invention provides novel synthetic methodologies enabling access to macrocycles having a broad

range of biological and pharmacological activity. In certain embodiments, the inventive compounds are useful in the treatment of cancer. In certain other embodiments of special interest, the compounds are useful for the treatment of cancers comprising Rb negative cancer cells.

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### 1) General Description of Compounds of the Invention

The compounds of the invention include compounds of the general formula (I) as further defined below:



wherein the dotted line --- represents a bond, whereby a double bond is present, or the dotted line --- is absent, whereby a single bond is present;

R<sub>1</sub> is hydrogen, halogen, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or N(R<sub>A</sub>)<sub>2</sub>, wherein each occurrence of R<sub>A</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

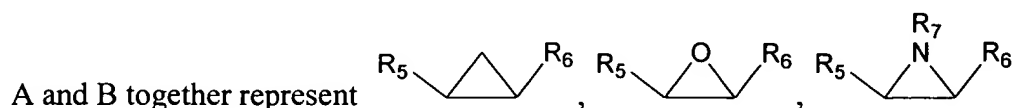
R<sub>2</sub> is hydrogen, halogen, cyano, -OR<sub>B</sub>, -N(R<sub>B</sub>)<sub>2</sub>, -SR<sub>B</sub>, -O(C=O)R<sub>B</sub>, -N(R<sub>B</sub>)(C=O)(R<sub>B</sub>), -C(O)R<sub>B</sub>, -C(O)OR<sub>B</sub>, -CON(R<sub>B</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>B</sub>, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>3</sub> is hydrogen, halogen, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or -N(R<sub>C</sub>)<sub>2</sub>, wherein each occurrence of R<sub>C</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

$R_4$  is hydrogen, halogen, cyano,  $-OR_D$ ,  $-N(R_D)_2$ ,  $-SR_D$ ,  $-O(C=O)R_D$ ,  $-N(R_D)(C=O)(R_D)$ ,  $-C(O)R_D$ ,  $-C(O)OR_D$ ,  $-CON(R_D)_2$ ,  $-OCO_2R_D$ , or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of  $R_D$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

$Z$  is O, S or  $NR_E$ , wherein  $R_E$  is hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or  $OR_F$ , wherein  $R_F$  is hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

$X$  is O, S or  $NR_G$ , wherein  $R_G$  is hydrogen or lower alkyl;



$-CHR_5-CHR_6-$ ,  $-CR_5=CR_6-$ , wherein  $R_5$  and  $R_6$  are each independently hydrogen, halogen, cyano,  $-OR_J$ ,  $-N(R_J)_2$ ,  $-SR_J$ ,  $-O(C=O)R_J$ ,  $-O(S=O)R_J$ ,  $-N(R_J)(C=O)(R_J)$ ,  $-C(=O)R_J$ ,  $-C(=O)OR_J$ ,  $-CON(R_J)_2$ ,  $-OCO_2R_J$ ,  $-OS(=O)OR_J$  or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of  $R_J$  is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and wherein  $R_7$  is hydrogen, a protecting group,  $-OR_K$ ,  $-SR_K$ ,  $-C(O)OR_K$ ,  $-C(O)NR_K$ ,  $-S(O)_2R_K$ ,  $-O(C=O)R_K$ ,  $-N(R_K)(C=O)(R_K)$ ,  $-C(O)R_K$ ,  $-C(O)OR_K$ ,  $-CON(R_K)_2$ ,  $-OCO_2R_K$ , or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of  $R_K$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or when A and B together represent  $-CHR_5-CHR_6-$ ,  $R_5$  and  $R_6$  taken together represent a substituted or unsubstituted 3-7 membered aliphatic, heteroaliphatic, aryl or heteroaryl ring,

$D$  and  $E$  together represent  $-CHR_8-CHR_9-$ ,  $-CR_8=CR_9-$ , wherein  $R_8$  and  $R_9$  are each independently hydrogen or lower alkyl;

$G$  and  $J$  together represent  $-CHR_{10}-CHR_{11}-$ ,  $-CR_{10}=CR_{11}-$ , wherein  $R_{10}$  and  $R_{11}$  are each independently hydrogen or lower alkyl;

$K$  and  $L$  together represent  $C=O$ ,  $C=S$ ,  $CH-CH_3$ ,  $CH-CH(R_L)_2$ ,  $C=C(R_L)_2$ ,  $-CH_2-$ ,  $-C(-S(CH_2)_3S-)$ ,  $CH-OR_L$ ,  $CH-SR_L$ ,  $CH-N(R_L)_2$ ,  $CH-N(R_L)(C=O)(R_L)$ ,  $C=N-O-R_L$ ,  $CH-N=O$ ,  $C=C(R_L)-N(R_L)_2$ ,  $C=N-R_L$ ,  $C=N-N(R_L)_2$ , or, if the dotted line --- represents a bond, whereby a

double bond is present, then K and L together represent C-N(R<sub>L</sub>)<sub>2</sub>, wherein each occurrence of R<sub>L</sub> is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of R<sub>L</sub> taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety;

whereby each of the foregoing aliphatic and heteroaliphatic moieties may independently be substituted or unsubstituted, cyclic or acyclic, or branched or unbranched, and each aryl, heteroaryl, alkylaryl, and alkylheteroaryl moiety may be substituted or unsubstituted;

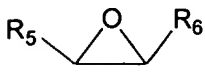
wherein one or any two of R<sub>1</sub>, R<sub>A</sub>, R<sub>2</sub>, R<sub>B</sub>, R<sub>3</sub>, R<sub>C</sub>, R<sub>4</sub>, R<sub>D</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>J</sub>, or R<sub>L</sub> are optionally a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids; and

pharmaceutically acceptable derivatives thereof.

In certain embodiments for the compounds as described above, one or any two of R<sub>1</sub>, R<sub>A</sub>, R<sub>2</sub>, R<sub>B</sub>, R<sub>3</sub>, R<sub>C</sub>, R<sub>4</sub>, R<sub>D</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>J</sub>, or R<sub>L</sub> are a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids, and the linker is an aliphatic or heteroaliphatic moiety, whereby said aliphatic or heteroaliphatic moiety is substituted or unsubstituted, branched or unbranched, or cyclic or acyclic.


In certain other embodiments for the compounds as described above, one or any two of R<sub>1</sub>, R<sub>A</sub>, R<sub>2</sub>, R<sub>B</sub>, R<sub>3</sub>, R<sub>C</sub>, R<sub>4</sub>, R<sub>D</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>J</sub>, or R<sub>L</sub> are a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids, and the linker is a moiety having one of the structures  $-(CH_2)_n-CH=CH-(CH_2)_m-$ ,  $-(CH_2)_p-C\equiv C-(CH_2)_q-$ , or  $-CH_2(CH_2)_sCH_2-$ , wherein each occurrence of n, m, p, q and s is independently an integer from 0-10. In certain embodiments, one or more of the hydrogen atoms may be replaced with a substituent including, but not limited to alkyl, heteroalkyl, secondary or tertiary amine, hydroxyl, thiol, aryl, heteroaryl, alkylaryl or alkylheteroaryl.

In certain other embodiments of the invention the compounds are subject to one or more, or all of the following limitations:

(1) if Z is O; if X is O; if A and B together are  and R<sub>5</sub> and R<sub>6</sub> are each hydrogen; if D and E together are -CH=CH-; if G and J together are -CH=CH-; if K and L together are C=O; if R<sub>1</sub> is hydrogen or Cl; and if R<sub>3</sub> is hydrogen,

then R<sub>2</sub> is not -OR<sub>B</sub> or -O(C=O)R<sub>B</sub>, wherein R<sub>B</sub> is hydrogen or an alkyl, alkoxy, alkenyl, alkenyloxy, alkynyl, aryl, aryloxy, heterocycle, cycloalkyl, cycloalkenyl, or cycloalkenyl fused to an aryl group; and R<sub>4</sub> is not -OR<sub>D</sub> or -O(C=O)R<sub>D</sub>, wherein R<sub>D</sub> is hydrogen or an alkyl, alkoxy, alkenyl, alkenyloxy, alkynyl, aryl, aryloxy, heterocycle, cycloalkyl, cycloalkenyl, or cycloalkenyl fused to an aryl group;

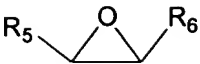
(2) if Z is O; if X is O, if R<sub>1</sub> is Cl; if R<sub>2</sub> is OR<sub>A</sub> and R<sub>A</sub> is hydrogen, alkanoyl, alkenoyl, tert-butyl dimethylsilyl or tert-butyldiphenylsilyl; if R<sub>3</sub> is hydrogen; if R<sub>4</sub> is OR<sub>B</sub> and R<sub>B</sub> is hydrogen, alkanoyl, alkenoyl, tert-butyldimethylsilyl, or tert-butyldiphenylsilyl; if D and E together are -CH=CH-; if G and J together are -CH=CH-; if A and B together are

 or if A and B together are -CHR<sub>5</sub>-CHR<sub>6</sub>- and R<sub>6</sub> is halogen and R<sub>5</sub> is OR<sub>J</sub>, wherein R<sub>J</sub> is hydrogen, alkanoyl, or alkenoyl, or R<sub>5</sub> is -O(S=O)R<sub>J</sub>, wherein R<sub>J</sub> is a second compound of formula (I) linked via an oxygen atom present at R<sub>5</sub> in the second compound, and wherein R<sub>6</sub> is halogen; Z is O; X is O, R<sub>1</sub> is Cl; R<sub>2</sub> is OR<sub>A</sub> and R<sub>A</sub> is hydrogen, alkanoyl, alkenoyl, tert-butyl dimethylsilyl or tert-butyldiphenylsilyl; R<sub>3</sub> is hydrogen; R<sub>4</sub> is OR<sub>B</sub> and R<sub>B</sub> is hydrogen, alkanoyl, alkenoyl, tert-butyldimethylsilyl, or tert-butyldiphenylsilyl;

then K and L together are not C=O or C=N-O-R<sub>L</sub>, when R<sub>L</sub> is hydrogen, or substituted or unsubstituted lower alkyl, a substituted or unsubstituted alkylene moiety, a substituted carbonyl moiety or a substituted or unsubstituted aryl moiety;

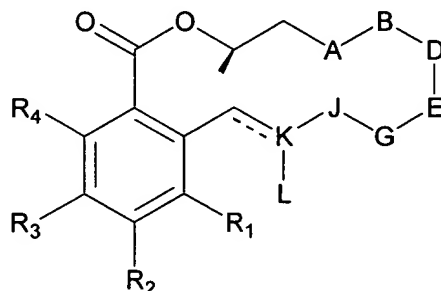
except that K and L together can be C=N-O-R<sub>L</sub>, when R<sub>L</sub> is a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids; or

(3) compounds of formula (I) in certain embodiments do not include compounds wherein the following occur simultaneously:

Z is O; X is O; A and B together are  wherein R<sub>5</sub> and R<sub>6</sub> are each hydrogen; D and E together are -CH=CH-; G and J together are -CH=CH-; K and L together are C=O; R<sub>1</sub> is hydrogen or Cl; and R<sub>3</sub> is hydrogen.


## 5 2) Featured Classes of Compounds

It will be appreciated that for compounds as generally described above, certain classes of compounds are of special interest. For example, one class of compounds of special interest includes those compounds having the structure of formula (I) in which Z and X are each O, and the compound has the structure:



and R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, A-B, D-E, G-J, and K-L are as defined above and in subclasses herein.

It will be appreciated that in certain embodiments of this class of compounds one of more of, or all of the following limitations apply:

(1) if Z is O; if X is O; if A and B together are  and R<sub>5</sub> and R<sub>6</sub> are each hydrogen; if D and E together are -CH=CH-; if G and J together are -CH=CH-; if K and L together are C=O; if R<sub>1</sub> is hydrogen or Cl; and if R<sub>3</sub> is hydrogen,

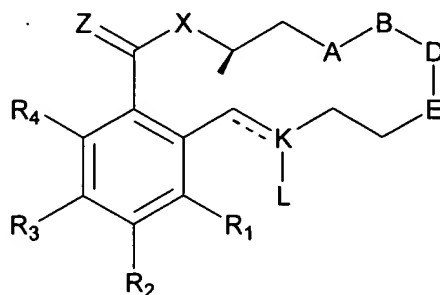
then R<sub>2</sub> is not -OR<sub>B</sub> or -O(C=O)R<sub>B</sub>, wherein R<sub>B</sub> is hydrogen or an alkyl, alkoxy, alkenyl, alkenyloxy, alkynyl, aryl, aryloxy, heterocycle, cycloalkyl, cycloalkenyl, or cycloalkenyl fused to an aryl group; and R<sub>4</sub> is not -OR<sub>D</sub> or -O(C=O)R<sub>D</sub>, wherein R<sub>D</sub> is hydrogen or an alkyl, alkoxy, alkenyl, alkenyloxy, alkynyl, aryl, aryloxy, heterocycle, cycloalkyl, cycloalkenyl, or cycloalkenyl fused to an aryl group;

(2) if Z is O; if X is O, if R<sub>1</sub> is Cl; if R<sub>2</sub> is OR<sub>A</sub> and R<sub>A</sub> is hydrogen, alkanoyl, alkenoyl, tert-butyl dimethylsilyl or tert-butyldiphenylsilyl; if R<sub>3</sub> is hydrogen; if R<sub>4</sub> is OR<sub>B</sub> and R<sub>B</sub> is





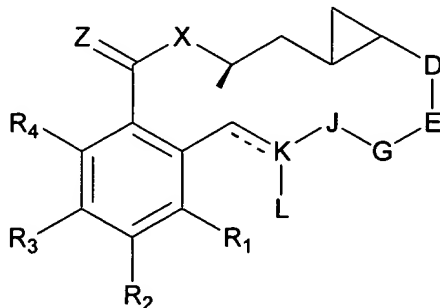
Another class of compounds of special interest consists of compounds having the structure of formula (I) in which G and J together represent  $-\text{CH}_2-\text{CH}_2-$  and the compound has the structure:



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and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $Z$ ,  $X$ ,  $A-B$ ,  $D-E$ , and  $K-L$  are as defined above and in subclasses herein.

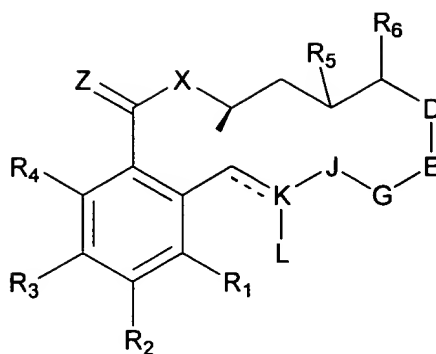
Another class of compounds of special interest consists of compounds having the structure of formula (I) in which  $A-B$  is a cyclopropyl ring and the compound has the structure:



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and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $Z$ ,  $X$ ,  $D-E$ ,  $G-J$ , and  $K-L$  are as defined above and in subclasses herein.

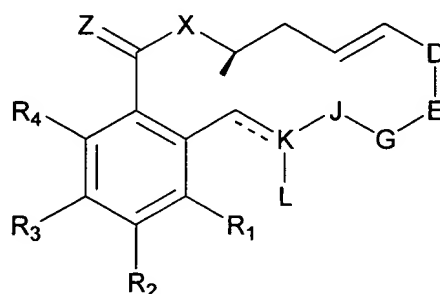
Another class of compounds of special interest consists of compounds having the structure of formula (I) in which  $A$  and  $B$  together represent  $-\text{CHR}_5-\text{CHR}_6-$  and the compound has the structure:



and R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub>, Z, X, D-E, G-J, and K-L are as defined above and in subclasses herein.

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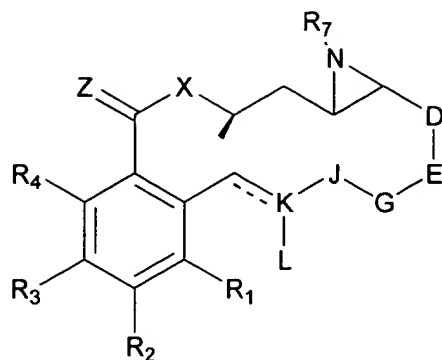
Another class of compounds of special interest consists of compounds having the structure of formula (I) in which A and B together represent -CH=CH- and the compound has the structure:



and R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, Z, X, D-E, G-J, and K-L are as defined above and in subclasses herein.

Another class of compounds of special interest consists of compounds having the structure of formula (I) in which A and B together represent an aziridine and the compound has the structure:

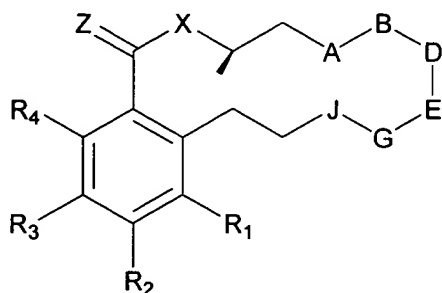
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and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $R_7$ ,  $Z$ ,  $X$ ,  $D-E$ ,  $G-J$ , and  $K-L$  are as defined above and in subclasses herein.

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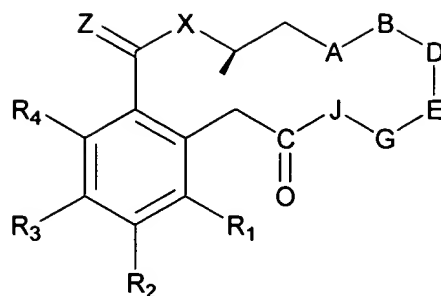
Another class of compounds of special interest consists of compounds having the structure of formula (I) in which the dotted line --- is absent whereby a single bond is present,  $K$  and  $L$  together represent  $-CH_2-$  and the compound has the structure:



and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $Z$ ,  $X$ ,  $A-B$ ,  $D-E$ , and  $G-J$  are as defined above and in subclasses herein.

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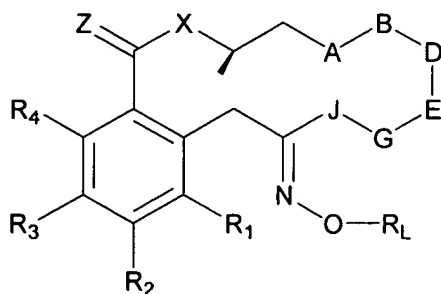
Another class of compounds of special interest consists of compounds having the structure of formula (I) in which the dotted line --- is absent whereby a single bond is present,  $K-L$  together represent  $C=O$  and the compound has the structure:




and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $Z$ ,  $X$ ,  $A$ - $B$ ,  $D$ - $E$ , and  $G$ - $J$  are as defined above and in subclasses herein.

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Another class of compounds of special interest consists of compounds having the structure of formula (I) in which the dotted line --- is absent whereby a single bond is present,  $K$  and  $L$  together represent  $C=N-O-R_L$  and the compound has the structure:



and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $Z$ ,  $X$ ,  $A$ - $B$ ,  $D$ - $E$ ,  $G$ - $J$ , and  $R_L$  are as defined above and in subclasses herein.

In certain embodiments of compounds described directly above, if  $Z$  is  $O$ ; if  $X$  is  $O$ , if  $R_1$  is  $Cl$ ; if  $R_2$  is  $OR_A$  and  $R_A$  is hydrogen, alkanoyl, alkenoyl, tert-butyl dimethylsilyl or tert-butyldiphenylsilyl; if  $R_3$  is hydrogen; if  $R_4$  is  $OR_B$  and  $R_B$  is hydrogen, alkanoyl, alkenoyl, tert-butyldimethylsilyl, or tert-butyldiphenylsilyl; if  $D$  and  $E$  together are  $-CH=CH-$ ; if  $G$  and  $J$  together are  $-CH=CH-$ ; if  $A$  and  $B$  together are  or if  $A$  and  $B$  together are  $-CHR_5-CHR_6-$  and  $R_6$  is halogen and  $R_5$  is  $OR_J$ , wherein  $R_J$  is hydrogen, alkanoyl, or alkenoyl, or  $R_5$  is  $-O(S=O)R_J$ , wherein  $R_J$  is a second compound of formula (I) linked via an oxygen atom present at  $R_5$  in the second compound, and wherein  $R_6$  is halogen;  $Z$  is  $O$ ;  $X$  is  $O$ ,  $R_1$  is  $Cl$ ;  $R_2$  is

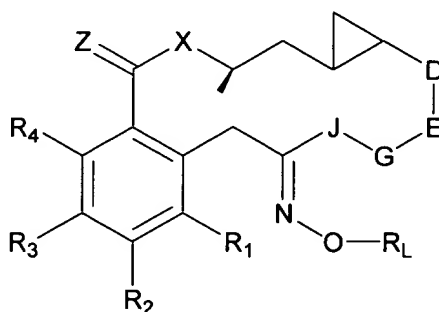
OR<sub>A</sub> and R<sub>A</sub> is hydrogen, alkanoyl, alkenoyl, tert-butyl dimethylsilyl or tert-butyldiphenylsilyl;  
 R<sub>3</sub> is hydrogen; R<sub>4</sub> is OR<sub>B</sub> and R<sub>B</sub> is hydrogen, alkanoyl, alkenoyl, tert-butyldimethylsilyl, or  
 tert-butyldiphenylsilyl;

then K and L together are not C=O or C=N-O-R<sub>L</sub>, when R<sub>L</sub> is hydrogen, or substituted or  
 5 unsubstituted lower alkyl, a substituted or unsubstituted alkylene moiety, a substituted carbonyl  
 moiety or a substituted or unsubstituted aryl moiety;

except that K and L together can be C=N-O-R<sub>L</sub>, when R<sub>L</sub> is a linker covalently bonded to  
 a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol  
 and monocillin, geldanamycin, analogues of geldanamycin, and steroids.

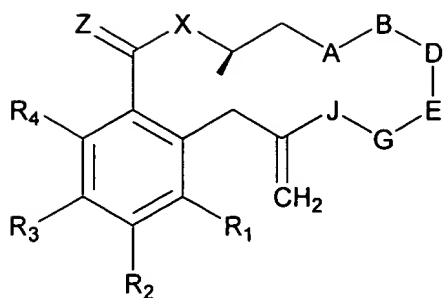
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Another class of compounds of special interests consists of compounds having the  
 structure of formula (I) in which the dotted line --- is absent whereby a single bond is present, A  
 and B together represent a cyclopropyl group, K and L together represent C=N-O-R<sub>L</sub> and the  
 compound has the structure:



and R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, Z, X, D-E, G-J and R<sub>L</sub> are as defined above and in subclasses herein.

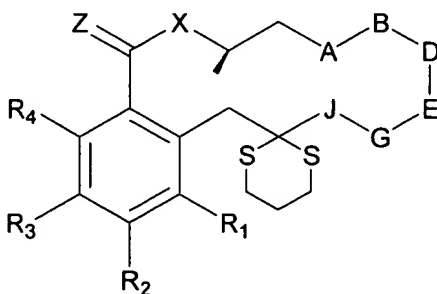
Another class of compounds of special interest consists of compounds having the  
 20 structure of formula (I) in which the dotted line --- is absent whereby a single bond is present, K  
 and L together represent C=CH<sub>2</sub> and the compound has the structure:



and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $Z$ ,  $X$ ,  $A$ - $B$ ,  $D$ - $E$ , and  $G$ - $J$  are as defined above and in subclasses herein.

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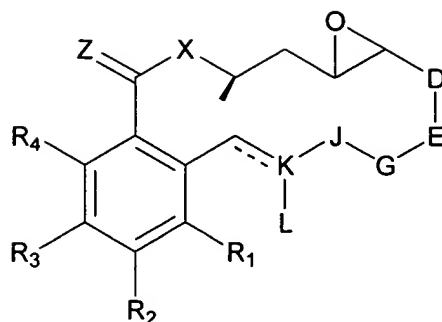
Another class of compounds of special interest consists of compounds having the structure of formula (I) in which the dotted line --- is absent whereby a single bond is present,  $K$  and  $L$  together represent a dithiane,  $-C(-S(CH_2)_3S)-$ , and the compound has the structure:



and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $Z$ ,  $X$ ,  $A$ - $B$ ,  $D$ - $E$ , and  $G$ - $J$  are as defined above and in subclasses herein.

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Another class of compounds of special interest consists of compounds having the structure of formula (I) in which  $A$  and  $B$  together represent an epoxide and the compound has the structure:



and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $Z$ ,  $X$ ,  $D-E$ ,  $G-J$ , and  $K-L$  are as defined above and in subclasses herein, but if  $Z$  is  $O$  and  $X$  is  $O$ , then at least one of  $D-E$ ,  $G-J$ ,  $K-L$ ,  $R_2$ ,  $R_3$  or  $R_4$  is defined as:

$R_2$  is hydrogen, halogen, cyano,  $-N(R_B)_2$ ,  $-SR_B$ ,  $-N(R_B)(C=O)(R_B)$ ,  $-C(O)R_B$ ,  $-C(O)OR_B$ ,  $-CON(R_B)_2$ , or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of  $R_B$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

$R_3$  is not hydrogen;

$R_4$  is hydrogen, halogen, cyano,  $-N(R_D)_2$ ,  $-SR_D$ ,  $-N(R_D)(C=O)(R_D)$ ,  $-C(O)R_D$ ,  $-C(O)OR_D$ ,  $-CON(R_D)_2$ , or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of  $R_D$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

$D$  and  $E$  together represent  $-CHR_8-CHR_9-$  wherein  $R_8$  and  $R_9$  are each independently hydrogen or lower alkyl;

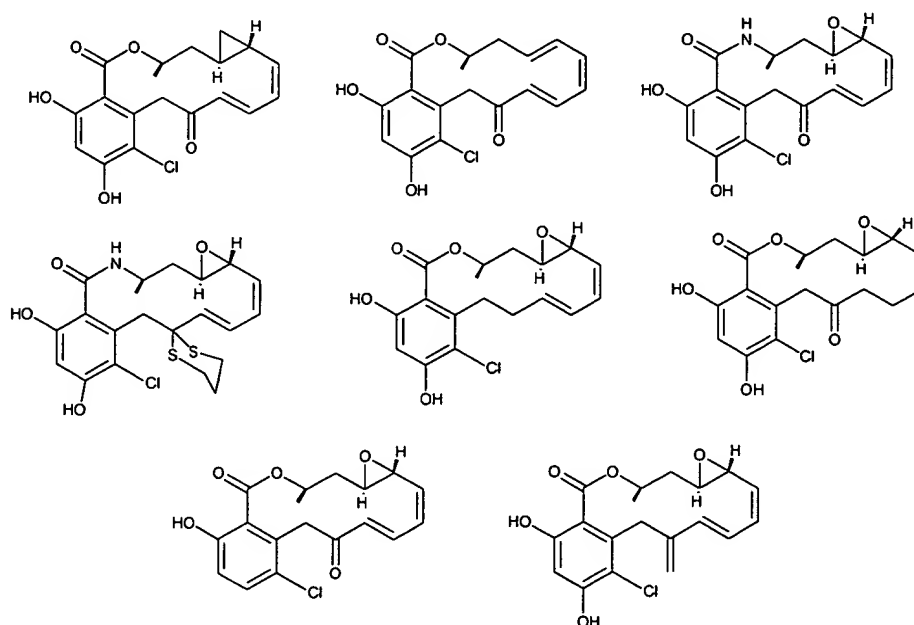
$G$  and  $J$  together represent  $-CHR_{10}-CHR_{11}-$ , wherein  $R_{10}$  and  $R_{11}$  are each independently hydrogen or lower alkyl;

$K$  and  $L$  together represent  $C=S$ ,  $CH-CH_3$ ,  $CH-CH(R_L)_2$ ,  $C=C(R_L)_2$ ,  $-CH_2-$ ,  $-C(-S(CH_2)_3S-)$ ,  $CH-OR_L$ ,  $CH-SR_L$ ,  $CH-N(R_L)_2$ ,  $CH-N(R_L)(C=O)(R_L)$ ,  $CH-N=O$ ,  $C=C(R_L)-N(R_L)_2$ ,  $C=N-R_L$ ,  $C=N-N(R_L)_2$ , or, if the dotted line --- represents a bond, whereby a double bond is present, then  $K$  and  $L$  together represent  $C-N(R_L)_2$ , wherein each occurrence of  $R_L$  is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of  $R_L$  taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety; or

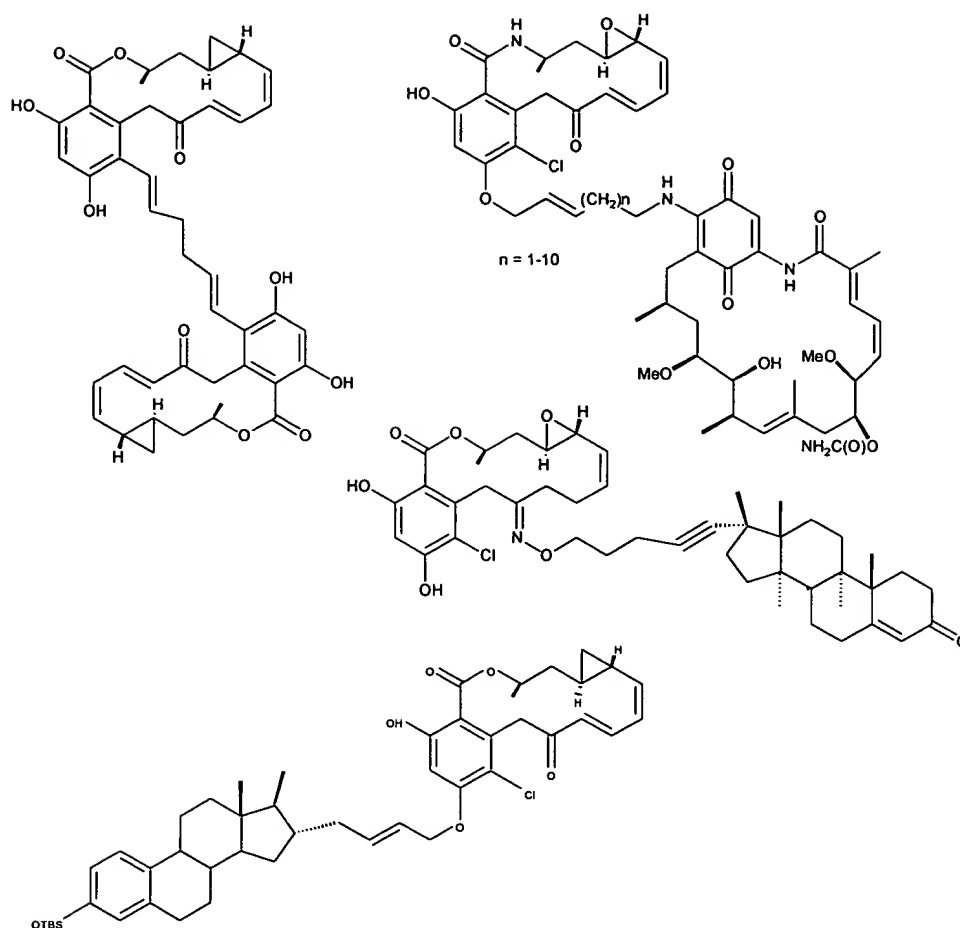


any two of  $R_1$ ,  $R_A$ ,  $R_2$ ,  $R_B$ ,  $R_3$ ,  $R_C$ ,  $R_4$ ,  $R_D$ ,  $R_5$ ,  $R_6$ ,  $R_I$ , or  $R_L$  are a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids.

- 5 The following structures illustrate several exemplary types of compounds of these classes. Others will be readily apparent to the reader:



Other classes of compounds in which dimers and heterodimers of radicicol and analogues thereof are formed with radicicol, analogues thereof, monocillin and analogues thereof, geldanamycin and analogues thereof, and steroids are illustrated by the following sorts of compounds:



A number of important subclasses of each of the foregoing classes deserve separate mention; these subclasses include subclasses of the foregoing classes in which:

- i) Z and X are each O;
- ii) Z is O and X is NH;
- iii) A and B together are cyclopropyl;
- iv) A and B together are an epoxide;
- v) A and B together are an aziridine;
- vi) A and B together are  $-\text{CHR}_5-\text{CHR}_6-$ ;
- vii) A and B together are  $-\text{CR}_5=\text{CR}_6-$ ;
- viii)  $\text{R}_5$  and  $\text{R}_6$  are each independently hydrogen, halogen, cyano,  $-\text{OR}_1$ ,  $-\text{N}(\text{R}_1)_2$ ,  $-\text{SR}_1$ ,

-O(C=O)R<sub>J</sub>, -O(S=O)R<sub>J</sub>, -N(R<sub>J</sub>)(C=O)(R<sub>J</sub>), or -OCO<sub>2</sub>R<sub>J</sub>, -OSO<sub>2</sub>R<sub>J</sub>, and each occurrence of R<sub>J</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

ix) R<sub>5</sub> and R<sub>6</sub> are each independently hydrogen, or lower alkyl;

x) D and E together are -CHR<sub>8</sub>-CHR<sub>9</sub>-;

xi) D and E together are -CR<sub>8</sub>=CR<sub>9</sub>-;

xii) R<sub>8</sub> and R<sub>9</sub> are each hydrogen;

xiii) G and J together are -CHR<sub>10</sub>-CHR<sub>11</sub>-;

xiv) G and J together are -CR<sub>10</sub>=CR<sub>11</sub>-;

xv) R<sub>10</sub> and R<sub>11</sub> are each hydrogen;

xvi) K and L together are C=O;

xvii) K and L together are -CH<sub>2</sub>-;

xviii) K and L together are C=CH<sub>2</sub>;

xix) K and L together are a dithiane moiety;

xx) K and L together are C=N-O-R<sub>L</sub>;

xxi) R<sub>1</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or N(R<sub>A</sub>)<sub>2</sub>, wherein each occurrence of R<sub>A</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety and R<sub>3</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or -N(R<sub>C</sub>)<sub>2</sub>, wherein each occurrence of R<sub>C</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

xxii) R<sub>1</sub> and R<sub>3</sub> are each independently halogen, hydrogen, or lower alkyl;

xxiii) R<sub>2</sub> is hydrogen, halogen, -OR<sub>B</sub>, -N(R<sub>B</sub>)<sub>2</sub>, -SR<sub>B</sub>, -O(C=O)R<sub>B</sub>, -N(R<sub>B</sub>)(C=O)(R<sub>B</sub>), -C(O)R<sub>B</sub>, -C(O)OR<sub>B</sub>, -CON(R<sub>B</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>B</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and R<sub>4</sub> is hydrogen, halogen, -OR<sub>D</sub>, -N(R<sub>D</sub>)<sub>2</sub>, -SR<sub>D</sub>, -O(C=O)R<sub>D</sub>, -N(R<sub>D</sub>)(C=O)(R<sub>D</sub>), -C(O)R<sub>D</sub>, -C(O)OR<sub>D</sub>, -CON(R<sub>D</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>D</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

xxiv)  $R_2$  is hydrogen or  $-OR_B$ , wherein each occurrence of  $R_B$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and  $R_4$  is hydrogen or  $-OR_D$ , wherein each occurrence of  $R_D$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

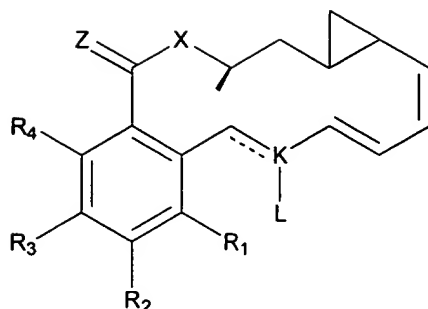
xxv) one or any two of  $R_1$ ,  $R_A$ ,  $R_2$ ,  $R_B$ ,  $R_3$ ,  $R_C$ ,  $R_4$ ,  $R_D$ ,  $R_5$ ,  $R_6$ ,  $R_J$ , or  $R_L$  are a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids;

xxvi) one or any two of  $R_1$ ,  $R_A$ ,  $R_2$ ,  $R_B$ ,  $R_3$ ,  $R_C$ ,  $R_4$ ,  $R_D$ ,  $R_5$ ,  $R_6$ ,  $R_J$ , or  $R_L$  are a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids, and the linker is an aliphatic or heteroaliphatic moiety, whereby said aliphatic or heteroaliphatic moiety is substituted or unsubstituted, branched or unbranched, or cyclic or acyclic;

xxvii) one or any two of  $R_1$ ,  $R_A$ ,  $R_2$ ,  $R_B$ ,  $R_3$ ,  $R_C$ ,  $R_4$ ,  $R_D$ ,  $R_5$ ,  $R_6$ ,  $R_J$ , or  $R_L$  are a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids, and the linker is a moiety having one of the structures  $-(CH_2)_n-CH=CH-(CH_2)_m-$ ,  $-(CH_2)_p-C\equiv C-(CH_2)_q-$ , or  $-CH_2(CH_2)_sCH_2-$ , wherein each occurrence of  $n$ ,  $m$ ,  $p$ ,  $q$  and  $s$  is independently an integer from 0-10, and wherein one or more of the hydrogen atoms are optionally replaced with a substituent including, but not limited to alkyl, heteroalkyl, secondary or tertiary amine, hydroxyl, thiol, aryl, heteroaryl, alkylaryl, or alkylheteroaryl.

As the reader will appreciate, compounds of particular interest include, among others, those which share the attributes of one or more of the foregoing subclasses. Some of those subclasses are illustrated by the following sorts of compounds:

I) Compounds of the formula:



wherein Z is O and X is O or NH;

R<sub>1</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or N(R<sub>A</sub>)<sub>2</sub>, wherein each occurrence of R<sub>A</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>2</sub> is hydrogen, halogen, -OR<sub>B</sub>, -N(R<sub>B</sub>)<sub>2</sub>, -SR<sub>B</sub>, -O(C=O)R<sub>B</sub>, -N(R<sub>B</sub>)(C=O)(R<sub>B</sub>), -C(O)R<sub>B</sub>, -C(O)OR<sub>B</sub>, -CON(R<sub>B</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>B</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>3</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or -N(R<sub>C</sub>)<sub>2</sub>, wherein each occurrence of R<sub>C</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>4</sub> is hydrogen, halogen, -OR<sub>D</sub>, -N(R<sub>D</sub>)<sub>2</sub>, -SR<sub>D</sub>, -O(C=O)R<sub>D</sub>, -N(R<sub>D</sub>)(C=O)(R<sub>D</sub>), -C(O)R<sub>D</sub>, -C(O)OR<sub>D</sub>, -CON(R<sub>D</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>D</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

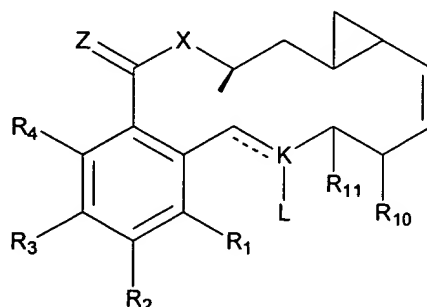
K and L together represent C=O, C=S, CH-CH<sub>3</sub>, CH-CH(R<sub>L</sub>)<sub>2</sub>, C=C(R<sub>L</sub>)<sub>2</sub>, -CH<sub>2</sub>-, -C(-S(CH<sub>2</sub>)<sub>3</sub>S-), CH-OR<sub>L</sub>, CH-SR<sub>L</sub>, CH-N(R<sub>L</sub>)<sub>2</sub>, CH-N(R<sub>L</sub>)(C=O)(R<sub>L</sub>), C=N-O-R<sub>L</sub>, CH-N=O, C=C(R<sub>L</sub>)-N(R<sub>L</sub>)<sub>2</sub>, C=N-R<sub>L</sub>, C=N-N(R<sub>L</sub>)<sub>2</sub>, or, if the dotted line --- represents a bond, whereby a double bond is present, then K and L together represent C-N(R<sub>L</sub>)<sub>2</sub>, wherein each occurrence of R<sub>L</sub> is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of R<sub>L</sub> taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety;

whereby each of the foregoing aliphatic and heteroaliphatic moieties may independently be substituted or unsubstituted, cyclic or acyclic, or branched or unbranched, and each aryl, heteroaryl, alkylaryl, and alkylheteroaryl moiety may be substituted or unsubstituted;

wherein one or any two of  $R_1$ ,  $R_A$ ,  $R_2$ ,  $R_B$ ,  $R_3$ ,  $R_C$ ,  $R_4$ ,  $R_D$ , or  $R_L$  are optionally a linker  
 5 covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids.

In certain embodiments of the compounds described above,  $R_1$  and  $R_3$  are each independently halogen, hydrogen, or lower alkyl;  $R_2$  is hydrogen or  $-OR_B$ , wherein each  
 10 occurrence of  $R_B$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and  $R_4$  is hydrogen or  $-OR_D$ , wherein each occurrence of  $R_D$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety.

II) Compounds of the formula:



wherein Z is O and X is O or NH;

$R_1$  is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower  
 20 alkylheteroaryl, or  $N(R_A)_2$ , wherein each occurrence of  $R_A$  is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

$R_2$  is hydrogen, halogen,  $-OR_B$ ,  $-N(R_B)_2$ ,  $-SR_B$ ,  $-O(C=O)R_B$ ,  $-N(R_B)(C=O)(R_B)$ ,  
 - $C(O)R_B$ ,  $-C(O)OR_B$ ,  $-CON(R_B)_2$ ,  $-OCO_2R_B$ , or lower alkyl, lower heteroalkyl, lower alkylaryl,  
 25 lower alkylheteroaryl, wherein each occurrence of  $R_B$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>3</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or -N(R<sub>C</sub>)<sub>2</sub>, wherein each occurrence of R<sub>C</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

5 R<sub>4</sub> is hydrogen, halogen, -OR<sub>D</sub>, -N(R<sub>D</sub>)<sub>2</sub>, -SR<sub>D</sub>, -O(C=O)R<sub>D</sub>, -N(R<sub>D</sub>)(C=O)(R<sub>D</sub>), -C(O)R<sub>D</sub>, -C(O)OR<sub>D</sub>, -CON(R<sub>D</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>D</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>10</sub> and R<sub>11</sub> are each independently hydrogen or lower alkyl;

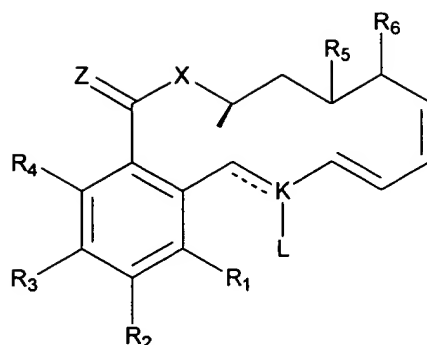
10 K and L together represent C=O, S=O, CH-CH<sub>3</sub>, CH-CH(R<sub>L</sub>)<sub>2</sub>, C=C(R<sub>L</sub>)<sub>2</sub>, -CH<sub>2</sub>-, -C(-S(CH<sub>2</sub>)<sub>3</sub>S-)-, CH-OR<sub>L</sub>, CH-SR<sub>L</sub>, CH-N(R<sub>L</sub>)<sub>2</sub>, CH-N(R<sub>L</sub>)(C=O)(R<sub>L</sub>), C=N-O-R<sub>L</sub>, CH-N=O, C=C(R<sub>L</sub>)-N(R<sub>L</sub>)<sub>2</sub>, C=N-R<sub>L</sub>, C=N-N(R<sub>L</sub>)<sub>2</sub>, or, if the dotted line --- represents a bond, whereby a double bond is present, then K and L together represent C-N(R<sub>L</sub>)<sub>2</sub>, wherein each occurrence of R<sub>L</sub> is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of R<sub>L</sub> taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety;

whereby each of the foregoing aliphatic and heteroaliphatic moieties may independently be substituted or unsubstituted, cyclic or acyclic, or branched or unbranched, and each aryl, heteroaryl, alkylaryl, and alkylheteroaryl moiety may be substituted or unsubstituted;

wherein one or any two of R<sub>1</sub>, R<sub>A</sub>, R<sub>2</sub>, R<sub>B</sub>, R<sub>3</sub>, R<sub>C</sub>, R<sub>4</sub>, R<sub>D</sub>, or R<sub>L</sub> are optionally a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids.

25 In certain embodiments of compounds described above, R<sub>1</sub> and R<sub>3</sub> are each independently halogen, hydrogen, or lower alkyl; R<sub>2</sub> is hydrogen or -OR<sub>B</sub>, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and R<sub>4</sub> is hydrogen or -OR<sub>D</sub>, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety.

30 III) Compounds of the Formula:



wherein Z is O and X is O or NH;

R<sub>1</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or N(R<sub>A</sub>)<sub>2</sub>, wherein each occurrence of R<sub>A</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>2</sub> is hydrogen, halogen, -OR<sub>B</sub>, -N(R<sub>B</sub>)<sub>2</sub>, -SR<sub>B</sub>, -O(C=O)R<sub>B</sub>, -N(R<sub>B</sub>)(C=O)(R<sub>B</sub>), -C(O)R<sub>B</sub>, -C(O)OR<sub>B</sub>, -CON(R<sub>B</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>B</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>3</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or -N(R<sub>C</sub>)<sub>2</sub>, wherein each occurrence of R<sub>C</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>4</sub> is hydrogen, halogen, -OR<sub>D</sub>, -N(R<sub>D</sub>)<sub>2</sub>, -SR<sub>D</sub>, -O(C=O)R<sub>D</sub>, -N(R<sub>D</sub>)(C=O)(R<sub>D</sub>), -C(O)R<sub>D</sub>, -C(O)OR<sub>D</sub>, -CON(R<sub>D</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>D</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>5</sub> and R<sub>6</sub> are each independently hydrogen, halogen, cyano, -OR<sub>F</sub>, -N(R<sub>F</sub>)<sub>2</sub>, -SR<sub>F</sub>, -O(C=O)R<sub>J</sub>, -O(S=O)R<sub>J</sub>, -N(R<sub>J</sub>)(C=O)(R<sub>J</sub>), -OCO<sub>2</sub>R<sub>J</sub>, or -OSO<sub>2</sub>R<sub>J</sub>, and each occurrence of R<sub>J</sub> is independently a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

K and L together represent C=O, S=O, CH-CH<sub>3</sub>, CH-CH(R<sub>L</sub>)<sub>2</sub>, C=C(R<sub>L</sub>)<sub>2</sub>, -CH<sub>2</sub>-,



-C(-S(CH<sub>2</sub>)<sub>3</sub>S-), CH-OR<sub>L</sub>, CH-SR<sub>L</sub>, CH-N(R<sub>L</sub>)<sub>2</sub>, CH-N(R<sub>L</sub>)(C=O)(R<sub>L</sub>), C=N-O-R<sub>L</sub>, CH-N=O, C=C(R<sub>L</sub>)-N(R<sub>L</sub>)<sub>2</sub>, C=N-R<sub>L</sub>, C=N-N(R<sub>L</sub>)<sub>2</sub>, or, if the dotted line --- represents a bond, whereby a double bond is present, then K and L together represent C-N(R<sub>L</sub>)<sub>2</sub>, wherein each occurrence of R<sub>L</sub> is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of R<sub>L</sub> taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety;

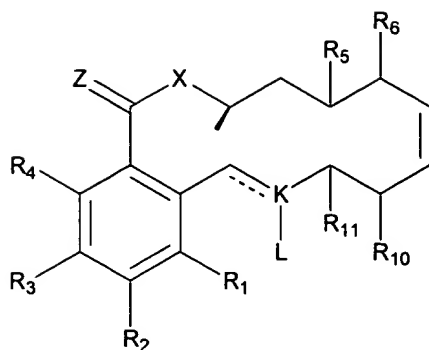
whereby each of the foregoing aliphatic and heteroaliphatic moieties may independently be substituted or unsubstituted, cyclic or acyclic, or branched or unbranched, and each aryl, heteroaryl, alkylaryl, and alkylheteroaryl moiety may be substituted or unsubstituted;

wherein one or any two of R<sub>1</sub>, R<sub>A</sub>, R<sub>2</sub>, R<sub>B</sub>, R<sub>3</sub>, R<sub>C</sub>, R<sub>4</sub>, R<sub>D</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>J</sub>, or R<sub>L</sub> are optionally a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids.

In certain embodiments of the compounds as described above, R<sub>1</sub> and R<sub>3</sub> are each independently halogen, hydrogen, or lower alkyl; R<sub>2</sub> is hydrogen or -OR<sub>B</sub>, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and R<sub>4</sub> is hydrogen or -OR<sub>D</sub>, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety.

In certain other embodiments, R<sub>5</sub> and R<sub>6</sub> are each independently hydrogen, or lower alkyl.

IV) Compounds of the Formula:



wherein Z is O and X is O or NH;

R<sub>1</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or N(R<sub>A</sub>)<sub>2</sub>, wherein each occurrence of R<sub>A</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>2</sub> is hydrogen, halogen, -OR<sub>B</sub>, -N(R<sub>B</sub>)<sub>2</sub>, -SR<sub>B</sub>, -O(C=O)R<sub>B</sub>, -N(R<sub>B</sub>)(C=O)(R<sub>B</sub>), -C(O)R<sub>B</sub>, -C(O)OR<sub>B</sub>, -CON(R<sub>B</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>B</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>3</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or -N(R<sub>C</sub>)<sub>2</sub>, wherein each occurrence of R<sub>C</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>4</sub> is hydrogen, halogen, -OR<sub>D</sub>, -N(R<sub>D</sub>)<sub>2</sub>, -SR<sub>D</sub>, -O(C=O)R<sub>D</sub>, -N(R<sub>D</sub>)(C=O)(R<sub>D</sub>), -C(O)R<sub>D</sub>, -C(O)OR<sub>D</sub>, -CON(R<sub>D</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>D</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>5</sub> and R<sub>6</sub> are each independently hydrogen, halogen, cyano, -OR<sub>J</sub>, -N(R<sub>J</sub>)<sub>2</sub>, -SR<sub>J</sub>, -O(C=O)R<sub>J</sub>, O(S=O)R<sub>J</sub>, -N(R<sub>J</sub>)(C=O)(R<sub>J</sub>), -OCO<sub>2</sub>R<sub>J</sub> or -OSO<sub>2</sub>R<sub>J</sub> and each occurrence of R<sub>J</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>10</sub> and R<sub>11</sub> are each independently hydrogen or lower alkyl;

K and L together represent C=O, S=O, CH-CH<sub>3</sub>, CH-CH(R<sub>L</sub>)<sub>2</sub>, C=C(R<sub>L</sub>)<sub>2</sub>, -CH<sub>2</sub>-

-C(-S(CH<sub>2</sub>)<sub>3</sub>S-)-, CH-OR<sub>L</sub>, CH-SR<sub>L</sub>, CH-N(R<sub>L</sub>)<sub>2</sub>, CH-N(R<sub>L</sub>)(C=O)(R<sub>L</sub>), C=N-O-R<sub>L</sub>, CH-N=O, C=C(R<sub>L</sub>)-N(R<sub>L</sub>)<sub>2</sub>, C=N-R<sub>L</sub>, C=N-N(R<sub>L</sub>)<sub>2</sub>, or, if the dotted line --- represents a bond, whereby a double bond is present, then K and L together represent C-N(R<sub>L</sub>)<sub>2</sub>, wherein each occurrence of R<sub>L</sub> is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of R<sub>L</sub> taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety;

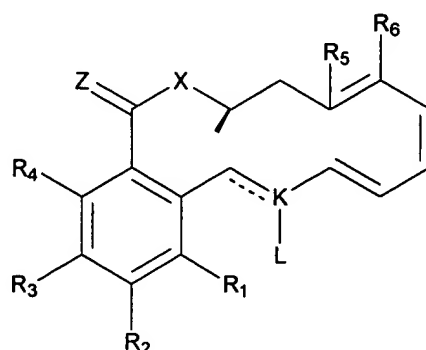
whereby each of the foregoing aliphatic and heteroaliphatic moieties may independently be substituted or unsubstituted, cyclic or acyclic, or branched or unbranched, and each aryl, heteroaryl, alkylaryl, and alkylheteroaryl moiety may be substituted or unsubstituted;

wherein one or any two of R<sub>1</sub>, R<sub>A</sub>, R<sub>2</sub>, R<sub>B</sub>, R<sub>3</sub>, R<sub>C</sub>, R<sub>4</sub>, R<sub>D</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>J</sub>, or R<sub>L</sub> are optionally a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids.

In certain embodiments of the compounds as described above, R<sub>1</sub> and R<sub>3</sub> are each independently halogen, hydrogen, or lower alkyl; R<sub>2</sub> is hydrogen or -OR<sub>B</sub>, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and R<sub>4</sub> is hydrogen or -OR<sub>D</sub>, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety.

In certain other embodiments, R<sub>5</sub> and R<sub>6</sub> are each independently hydrogen, or lower alkyl.

V) Compounds of the Formula:



wherein Z is O and X is O or NH;

R<sub>1</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or N(R<sub>A</sub>)<sub>2</sub>, wherein each occurrence of R<sub>A</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>2</sub> is hydrogen, halogen, -OR<sub>B</sub>, -N(R<sub>B</sub>)<sub>2</sub>, -SR<sub>B</sub>, -O(C=O)R<sub>B</sub>, -N(R<sub>B</sub>)(C=O)(R<sub>B</sub>), -C(O)R<sub>B</sub>, -C(O)OR<sub>B</sub>, -CON(R<sub>B</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>B</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>3</sub> is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or -N(R<sub>C</sub>)<sub>2</sub>, wherein each occurrence of R<sub>C</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>4</sub> is hydrogen, halogen, -OR<sub>D</sub>, -N(R<sub>D</sub>)<sub>2</sub>, -SR<sub>D</sub>, -O(C=O)R<sub>D</sub>, -N(R<sub>D</sub>)(C=O)(R<sub>D</sub>), -C(O)R<sub>D</sub>, -C(O)OR<sub>D</sub>, -CON(R<sub>D</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>D</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>5</sub> and R<sub>6</sub> are each independently hydrogen, or lower alkyl;

K and L together represent C=O, S=O, CH-CH<sub>3</sub>, CH-CH(R<sub>L</sub>)<sub>2</sub>, C=C(R<sub>L</sub>)<sub>2</sub>, -CH<sub>2</sub>-, -C(-S(CH<sub>2</sub>)<sub>3</sub>S-)-, CH-OR<sub>L</sub>, CH-SR<sub>L</sub>, CH-N(R<sub>L</sub>)<sub>2</sub>, CH-N(R<sub>L</sub>)(C=O)(R<sub>L</sub>), C=N-O-R<sub>L</sub>, CH-N=O, C=C(R<sub>L</sub>)-N(R<sub>L</sub>)<sub>2</sub>, C=N-R<sub>L</sub>, C=N-N(R<sub>L</sub>)<sub>2</sub>, or, if the dotted line --- represents a bond, whereby a double bond is present, then K and L together represent C=N(R<sub>L</sub>)<sub>2</sub>, wherein each occurrence of R<sub>L</sub> is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of R<sub>L</sub> taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety;

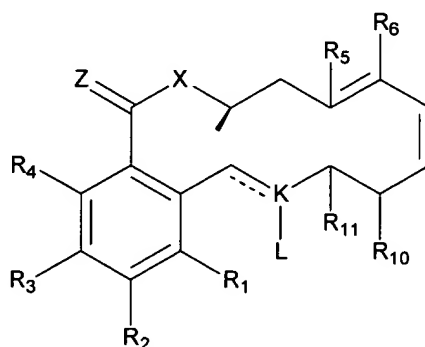
whereby each of the foregoing aliphatic and heteroaliphatic moieties may independently be substituted or unsubstituted, cyclic or acyclic, or branched or unbranched, and each aryl, heteroaryl, alkylaryl, and alkylheteroaryl moiety may be substituted or unsubstituted;

wherein one or any two of R<sub>1</sub>, R<sub>A</sub>, R<sub>2</sub>, R<sub>B</sub>, R<sub>3</sub>, R<sub>C</sub>, R<sub>4</sub>, R<sub>D</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>J</sub>, or R<sub>L</sub> are optionally a linker covalently bonded to a compound selected from the group consisting of radicicol,

monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids.

In certain embodiments,  $R_1$  and  $R_3$  are each independently halogen, hydrogen, or lower alkyl;  $R_2$  is hydrogen or  $-OR_B$ , wherein each occurrence of  $R_B$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and  $R_4$  is hydrogen or  $-OR_D$ , wherein each occurrence of  $R_D$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety.

VI) Compounds of the Formula:



wherein  $Z$  is  $O$  and  $X$  is  $O$  or  $NH$ ;

$R_1$  is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or  $N(R_A)_2$ , wherein each occurrence of  $R_A$  is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

$R_2$  is hydrogen, halogen,  $-OR_B$ ,  $-N(R_B)_2$ ,  $-SR_B$ ,  $-O(C=O)R_B$ ,  $-N(R_B)(C=O)(R_B)$ ,  $-C(O)R_B$ ,  $-C(O)OR_B$ ,  $-CON(R_B)_2$ ,  $-OCO_2R_B$ , or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of  $R_B$  is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

$R_3$  is hydrogen, halogen, lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, or  $-N(R_C)_2$ , wherein each occurrence of  $R_C$  is independently hydrogen, a

protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>4</sub> is hydrogen, halogen, -OR<sub>D</sub>, -N(R<sub>D</sub>)<sub>2</sub>, -SR<sub>D</sub>, -O(C=O)R<sub>D</sub>, -N(R<sub>D</sub>)(C=O)(R<sub>D</sub>), -C(O)R<sub>D</sub>, -C(O)OR<sub>D</sub>, -CON(R<sub>D</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>D</sub>, or lower alkyl, lower heteroalkyl, lower alkylaryl, lower alkylheteroaryl, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>5</sub> and R<sub>6</sub> are each independently hydrogen, or lower alkyl;

R<sub>10</sub> and R<sub>11</sub> are each independently hydrogen or lower alkyl;

K and L together represent C=O, S=O, CH-CH<sub>3</sub>, CH-CH(R<sub>L</sub>)<sub>2</sub>, C=C(R<sub>L</sub>)<sub>2</sub>, -CH<sub>2</sub>-, -C(-S(CH<sub>2</sub>)<sub>3</sub>S-)-, CH-OR<sub>L</sub>, CH-SR<sub>L</sub>, CH-N(R<sub>L</sub>)<sub>2</sub>, CH-N(R<sub>L</sub>)(C=O)(R<sub>L</sub>), C=N-O-R<sub>L</sub>, CH-N=O, C=C(R<sub>L</sub>)-N(R<sub>L</sub>)<sub>2</sub>, C=N-R<sub>L</sub>, C=N-N(R<sub>L</sub>)<sub>2</sub>, or, if the dotted line --- represents a bond, whereby a double bond is present, then K and L together represent C-N(R<sub>L</sub>)<sub>2</sub>, wherein each occurrence of R<sub>L</sub> is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of R<sub>L</sub> taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety;

whereby each of the foregoing aliphatic and heteroaliphatic moieties may independently be substituted or unsubstituted, cyclic or acyclic, or branched or unbranched, and each aryl, heteroaryl, alkylaryl, and alkylheteroaryl moiety may be substituted or unsubstituted;

wherein one or any two of R<sub>1</sub>, R<sub>A</sub>, R<sub>2</sub>, R<sub>B</sub>, R<sub>3</sub>, R<sub>C</sub>, R<sub>4</sub>, R<sub>D</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>J</sub>, or R<sub>L</sub> are optionally a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids.

In certain embodiments of the compounds described above, R<sub>1</sub> and R<sub>3</sub> are each independently halogen, hydrogen, or lower alkyl; R<sub>2</sub> is hydrogen or -OR<sub>B</sub>, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and R<sub>4</sub> is hydrogen or -OR<sub>D</sub>, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety.

Some of the foregoing compounds can exist in various isomeric forms. The invention encompasses the compounds as individual isomers substantially free of other isomers and alternatively, as mixtures of various isomers, e.g., racemic mixtures of stereoisomers. The invention also encompasses tautomers of specific compounds as described above. In addition to the above-mentioned compounds per se, this invention also encompasses pharmaceutically acceptable derivatives of these compounds and compositions comprising one or more compounds of the invention and one or more pharmaceutically acceptable excipients or additives.

Compounds of this invention which are of particular interest include those which:

- exhibit cytotoxic or growth inhibitory effect on cancer cell lines maintained in vitro or in animal studies using a scientifically acceptable cancer cell xenograft model;
- exhibit cytotoxic or growth inhibitory effect on cancer cell lines comprising Rb negative cells;
- exhibit inhibitory activity for the HER-family of protein kinases; and
- bind to and/or inhibit the Hsp90 family of chaperones;
- exhibit cytotoxic or growth inhibitory effect on cancer cell lines comprising Rb positive cells; and
- exhibit cytotoxic or growth inhibitory effect on cancer cell lines upregulated in the androgenic cell receptor (e.g., including, but not limited to prostate cancer cells).

This invention also provides a pharmaceutical preparation comprising at least one of the compounds as described above and herein, or a pharmaceutically acceptable derivative thereof, which compounds are capable of inhibiting the growth of or killing cancer cells, and, in certain embodiments of special interest are capable of inhibiting the growth of or killing cancer cells comprising Rb negative cancer cells.

The invention further provides a method for inhibiting tumor growth and/or tumor metastasis. In certain embodiments of special interest, the invention provides a method of treating cancers by inhibiting tumor growth and/or tumor metastasis for tumors comprising Rb negative cancer cells. The method involves the administration of a therapeutically effective

amount of the compound or a pharmaceutically acceptable derivative thereof to a subject (including, but not limited to a human or animal) in need of it. In certain embodiments, specifically for treating cancers comprising Rb negative cancer cells, the therapeutically effective amount is an amount sufficient to kill or inhibit the growth of Rb negative cancer cell lines. In certain embodiments, the inventive compounds are useful for the treatment of solid tumors. In still other embodiments of interest, the inventive compounds are useful for the treatment of glioblastoma, retinoblastoma or small cell lung cancer.

### 3) *Compounds and Definitions*

As discussed above, this invention provides novel compounds with a range of biological properties. Compounds of this invention have biological activities relevant for the treatment of diseases or other disorders such as proliferative diseases, including, but not limited to cancer. More generally, the compounds are useful in the regulation of the cell cycle pathway. As described above, the ability of certain compounds to bind to Hsp90 ultimately causes arrest of cell growth and apoptosis.

Compounds of this invention include those specifically set forth above and described herein, and are illustrated in part by the various classes, subgenera and species disclosed elsewhere herein.

It will be appreciated by one of ordinary skill in the art that asymmetric centers may exist in the compounds of the present invention. Thus, inventive compounds and pharmaceutical compositions thereof may be in the form of an individual enantiomer, diastereomer or geometric isomer, or may be in the form of a mixture of stereoisomers. In certain embodiments, the compounds of the invention are enantiopure compounds. In certain other embodiments, a mixtures of stereoisomers or diastereomers are provided.

Additionally, the present invention provides pharmaceutically acceptable derivatives of the inventive compounds, and methods of treating a subject using these compounds, pharmaceutical compositions thereof, or either of these in combination with one or more additional therapeutic agents. The phrase, "pharmaceutically acceptable derivative", as used herein, denotes any pharmaceutically acceptable salt, ester, or salt of such ester, of such compound, or any other adduct or derivative which, upon administration to a patient, is capable of providing (directly or indirectly) a compound as otherwise described herein, or a metabolite or



residue thereof. Pharmaceutically acceptable derivatives thus include among others pro-drugs. A pro-drug is a derivative of a compound, usually with significantly reduced pharmacological activity, which contains an additional moiety which is susceptible to removal *in vivo* yielding the parent molecule as the pharmacologically active species. An example of a pro-drug is an ester which is cleaved *in vivo* to yield a compound of interest. Pro-drugs of a variety of compounds, and materials and methods for derivatizing the parent compounds to create the pro-drugs, are known and may be adapted to the present invention. Certain exemplary pharmaceutical compositions and pharmaceutically acceptable derivatives will be discussed in more detail herein below.

Certain compounds of the present invention, and definitions of specific functional groups are also described in more detail below. For purposes of this invention, the chemical elements are identified in accordance with the Periodic Table of the Elements, CAS version, Handbook of Chemistry and Physics, 75<sup>th</sup> Ed., inside cover, and specific functional groups are generally defined as described therein. Additionally, general principles of organic chemistry, as well as specific functional moieties and reactivity, are described in "Organic Chemistry", Thomas Sorrell, University Science Books, Sausalito: 1999, the entire contents of which are incorporated herein by reference. Furthermore, it will be appreciated by one of ordinary skill in the art that the synthetic methods, as described herein, utilize a variety of protecting groups. By the term "protecting group", has used herein, it is meant that a particular functional moiety, e.g., O, S, or N, is temporarily blocked so that a reaction can be carried out selectively at another reactive site in a multifunctional compound. In preferred embodiments, a protecting group reacts selectively in good yield to give a protected substrate that is stable to the projected reactions; the protecting group must be selectively removed in good yield by readily available, preferably nontoxic reagents that do not attack the other functional groups; the protecting group forms an easily separable derivative (more preferably without the generation of new stereogenic centers); and the protecting group has a minimum of additional functionality to avoid further sites of reaction. As detailed herein, oxygen, sulfur, nitrogen and carbon protecting groups may be utilized. Exemplary protecting groups are detailed herein, however, it will be appreciated that the present invention is not intended to be limited to these protecting groups; rather, a variety of additional equivalent protecting groups can be readily identified using the above criteria and utilized in the method of the present invention. Additionally, a variety of protecting groups are described in

"Protective Groups in Organic Synthesis" Third Ed. Greene, T.W. and Wuts, P.G., Eds., John Wiley & Sons, New York: 1999, the entire contents of which are hereby incorporated by reference.

It will be appreciated that the compounds, as described herein, may be substituted with any number of substituents or functional moieties. In general, the term "substituted" whether preceded by the term "optionally" or not, and substituents contained in formulas of this invention, refer to the replacement of hydrogen radicals in a given structure with the radical of a specified substituent. When more than one position in any given structure may be substituted with more than one substituent selected from a specified group, the substituent may be either the same or different at every position. As used herein, the term "substituted" is contemplated to include all permissible substituents of organic compounds. In a broad aspect, the permissible substituents include acyclic and cyclic, branched and unbranched, carbocyclic and heterocyclic, aromatic and nonaromatic substituents of organic compounds. For purposes of this invention, heteroatoms such as nitrogen may have hydrogen substituents and/or any permissible substituents of organic compounds described herein which satisfy the valencies of the heteroatoms. Furthermore, this invention is not intended to be limited in any manner by the permissible substituents of organic compounds. Combinations of substituents and variables envisioned by this invention are preferably those that result in the formation of stable compounds useful in the treatment, for example of proliferative disorders, including, but not limited to cancer. The term "stable", as used herein, preferably refers to compounds which possess stability sufficient to allow manufacture and which maintain the integrity of the compound for a sufficient period of time to be detected and preferably for a sufficient period of time to be useful for the purposes detailed herein.

The term "aliphatic", as used herein, includes both saturated and unsaturated, straight chain (i.e., unbranched), branched, cyclic, or polycyclic aliphatic hydrocarbons, which are optionally substituted with one or more functional groups. As will be appreciated by one of ordinary skill in the art, "aliphatic" is intended herein to include, but is not limited to, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkenyl, and cycloalkynyl moieties. Thus, as used herein, the term "alkyl" includes straight, branched and cyclic alkyl groups. An analogous convention applies to other generic terms such as "alkenyl", "alkynyl" and the like. Furthermore, as used herein, the terms "alkyl", "alkenyl", "alkynyl" and the like encompass both substituted and

unsubstituted groups. In certain embodiments, as used herein, "lower alkyl" is used to indicate those alkyl groups (cyclic, acyclic, substituted, unsubstituted, branched or unbranched) having 1-6 carbon atoms.

In certain embodiments, the alkyl, alkenyl and alkynyl groups employed in the invention contain 1-20 aliphatic carbon atoms. In certain other embodiments, the alkyl, alkenyl, and alkynyl groups employed in the invention contain 1-10 aliphatic carbon atoms. In yet other embodiments, the alkyl, alkenyl, and alkynyl groups employed in the invention contain 1-8 aliphatic carbon atoms. In still other embodiments, the alkyl, alkenyl, and alkynyl groups employed in the invention contain 1-6 aliphatic carbon atoms. In yet other embodiments, the alkyl, alkenyl, and alkynyl groups employed in the invention contain 1-4 carbon atoms. Illustrative aliphatic groups thus include, but are not limited to, for example, methyl, ethyl, n-propyl, isopropyl, cyclopropyl, -CH<sub>2</sub>-cyclopropyl, allyl, n-butyl, sec-butyl, isobutyl, tert-butyl, cyclobutyl, -CH<sub>2</sub>-cyclobutyl, n-pentyl, sec-pentyl, isopentyl, tert-pentyl, cyclopentyl, -CH<sub>2</sub>-cyclopentyl, n-hexyl, sec-hexyl, cyclohexyl, -CH<sub>2</sub>-cyclohexyl moieties and the like, which again, may bear one or more substituents. Alkenyl groups include, but are not limited to, for example, ethenyl, propenyl, butenyl, 1-methyl-2-buten-1-yl, and the like. Representative alkynyl groups include, but are not limited to, ethynyl, 2-propynyl (propargyl), 1-propynyl and the like.

The term "alkoxy", or "thioalkyl" as used herein refers to an alkyl group, as previously defined, attached to the parent molecular moiety through an oxygen atom or through a sulfur atom. In certain embodiments, the alkyl group contains 1-20 aliphatic carbon atoms. In certain other embodiments, the alkyl group contains 1-10 aliphatic carbon atoms. In yet other embodiments, the alkyl, alkenyl, and alkynyl groups employed in the invention contain 1-8 aliphatic carbon atoms. In still other embodiments, the alkyl group contains 1-6 aliphatic carbon atoms. In yet other embodiments, the alkyl group contains 1-4 aliphatic carbon atoms. Examples of alkoxy, include but are not limited to, methoxy, ethoxy, propoxy, isopropoxy, n-butoxy, tert-butoxy, neopentoxy and n-hexoxy. Examples of thioalkyl include, but are not limited to, methylthio, ethylthio, propylthio, isopropylthio, n-butylthio, and the like.

The term "alkylamino" refers to a group having the structure -NHR' wherein R' is alkyl, as defined herein. In certain embodiments, the alkyl group contains 1-20 aliphatic carbon atoms. In certain other embodiments, the alkyl group contains 1-10 aliphatic carbon atoms. In yet other embodiments, the alkyl, alkenyl, and alkynyl groups employed in the invention contain 1-8

aliphatic carbon atoms. In still other embodiments, the alkyl group contains 1-6 aliphatic carbon atoms. In yet other embodiments, the alkyl group contains 1-4 aliphatic carbon atoms. Examples of alkylamino include, but are not limited to, methylamino, ethylamino, iso-propylamino and the like.

5           Some examples of substituents of the above-described aliphatic (and other) moieties of compounds of the invention include, but are not limited to aliphatic; heteroaliphatic; aryl; heteroaryl; alkylaryl; alkylheteroaryl; alkoxy; aryloxy; heteroalkoxy; heteroaryloxy; alkylthio; arylthio; heteroalkylthio; heteroarylthio; F; Cl; Br; I; -OH; -NO<sub>2</sub>; -CN; -CF<sub>3</sub>; -CH<sub>2</sub>CF<sub>3</sub>; -CHCl<sub>2</sub>; -CH<sub>2</sub>OH; -CH<sub>2</sub>CH<sub>2</sub>OH; -CH<sub>2</sub>NH<sub>2</sub>; -CH<sub>2</sub>SO<sub>2</sub>CH<sub>3</sub>; -C(O)R<sub>x</sub>; -CO<sub>2</sub>(R<sub>x</sub>); -CON(R<sub>x</sub>)<sub>2</sub>; -OC(O)R<sub>x</sub>; -OCO<sub>2</sub>R<sub>x</sub>; -OCON(R<sub>x</sub>)<sub>2</sub>; -N(R<sub>x</sub>)<sub>2</sub>; -S(O)<sub>2</sub>R<sub>x</sub>; -NR<sub>x</sub>(CO)R<sub>x</sub> wherein each occurrence of R<sub>x</sub> independently includes, but is not limited to, aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl, wherein any of the aliphatic, heteroaliphatic, alkylaryl, or alkylheteroaryl substituents described above and herein may be substituted or unsubstituted, branched or unbranched, cyclic or acyclic, and wherein any of the aryl or heteroaryl substituents described above and herein may be substituted or unsubstituted. Additional examples of generally applicable substituents are illustrated by the specific embodiments shown in the Examples that are described herein.

10           In general, the terms "aryl" and "heteroaryl", as used herein, refer to stable mono- or polycyclic, heterocyclic, polycyclic, and polyheterocyclic unsaturated moieties having preferably 3-14 carbon atoms, each of which may be substituted or unsubstituted. Substituents include, but are not limited to, any of the previously mentioned substituents, i.e., the substituents recited for aliphatic moieties, or for other moieties as disclosed herein, resulting in the formation of a stable compound. In certain embodiments of the present invention, "aryl" refers to a mono- or bicyclic carbocyclic ring system having one or two aromatic rings including, but not limited to, phenyl, naphthyl, tetrahydronaphthyl, indanyl, indenyl and the like. In certain embodiments of the present invention, the term "heteroaryl", as used herein, refers to a cyclic aromatic radical having from five to ten ring atoms of which one ring atom is selected from S, O and N; zero, one or two ring atoms are additional heteroatoms independently selected from S, O and N; and the remaining ring atoms are carbon, the radical being joined to the rest of the molecule via any of the ring atoms, such as, for example, pyridyl, pyrazinyl, pyrimidinyl, pyrrolyl, pyrazolyl,

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imidazolyl, thiazolyl, oxazolyl, isooxazolyl, thiadiazolyl, oxadiazolyl, thiophenyl, furanyl, quinolinyl, isoquinolinyl, and the like.

It will be appreciated that aryl and heteroaryl groups (including bicyclic aryl groups) can be unsubstituted or substituted, wherein substitution includes replacement of one, two or three of the hydrogen atoms thereon independently with any one or more of the following moieties including, but not limited to: aliphatic; heteroaliphatic; aryl; heteroaryl; alkylaryl; alkylheteroaryl; alkoxy; aryloxy; heteroalkoxy; heteroaryloxy; alkylthio; arylthio; heteroalkylthio; heteroarylthio; F; Cl; Br; I; -OH; -NO<sub>2</sub>; -CN; -CF<sub>3</sub>; -CH<sub>2</sub>CF<sub>3</sub>; -CHCl<sub>2</sub>; -CH<sub>2</sub>OH; -CH<sub>2</sub>CH<sub>2</sub>OH; -CH<sub>2</sub>NH<sub>2</sub>; -CH<sub>2</sub>SO<sub>2</sub>CH<sub>3</sub>; -C(O)R<sub>x</sub>; -CO<sub>2</sub>(R<sub>x</sub>); -CON(R<sub>x</sub>)<sub>2</sub>; -OC(O)R<sub>x</sub>; -OCO<sub>2</sub>R<sub>x</sub>; -OCON(R<sub>x</sub>)<sub>2</sub>; -N(R<sub>x</sub>)<sub>2</sub>; -S(O)<sub>2</sub>R<sub>x</sub>; -NR<sub>x</sub>(CO)R<sub>x</sub> wherein each occurrence of R<sub>x</sub> independently includes, but is not limited to, aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl, wherein any of the aliphatic, heteroaliphatic, alkylaryl, or alkylheteroaryl substituents described above and herein may be substituted or unsubstituted, branched or unbranched, cyclic or acyclic, and wherein any of the aryl or heteroaryl substituents described above and herein may be substituted or unsubstituted. Additional examples of generally applicable substituents are illustrated by the specific embodiments shown in the Examples that are described herein.

The term “cycloalkyl”, as used herein, refers specifically to groups having three to seven, preferably three to ten carbon atoms. Suitable cycloalkyls include, but are not limited to cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl and the like, which, as in the case of other aliphatic, heteroaliphatic or heterocyclic moieties, may optionally be substituted with substituents including, but not limited to aliphatic; heteroaliphatic; aryl; heteroaryl; alkylaryl; alkylheteroaryl; alkoxy; aryloxy; heteroalkoxy; heteroaryloxy; alkylthio; arylthio; heteroalkylthio; heteroarylthio; F; Cl; Br; I; -OH; -NO<sub>2</sub>; -CN; -CF<sub>3</sub>; -CH<sub>2</sub>CF<sub>3</sub>; -CHCl<sub>2</sub>; -CH<sub>2</sub>OH; -CH<sub>2</sub>CH<sub>2</sub>OH; -CH<sub>2</sub>NH<sub>2</sub>; -CH<sub>2</sub>SO<sub>2</sub>CH<sub>3</sub>; -C(O)R<sub>x</sub>; -CO<sub>2</sub>(R<sub>x</sub>); -CON(R<sub>x</sub>)<sub>2</sub>; -OC(O)R<sub>x</sub>; -OCO<sub>2</sub>R<sub>x</sub>; -OCON(R<sub>x</sub>)<sub>2</sub>; -N(R<sub>x</sub>)<sub>2</sub>; -S(O)<sub>2</sub>R<sub>x</sub>; -NR<sub>x</sub>(CO)R<sub>x</sub> wherein each occurrence of R<sub>x</sub> independently includes, but is not limited to, aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl, wherein any of the aliphatic, heteroaliphatic, alkylaryl, or alkylheteroaryl substituents described above and herein may be substituted or unsubstituted, branched or unbranched, cyclic or acyclic, and wherein any of the aryl or heteroaryl substituents described above and herein may be substituted or unsubstituted. Additional examples of generally

applicable substituents are illustrated by the specific embodiments shown in the Examples that are described herein.

The term "heteroaliphatic", as used herein, refers to aliphatic moieties which contain one or more oxygen, sulfur, nitrogen, phosphorus or silicon atoms, e.g., in place of carbon atoms.

5 Heteroaliphatic moieties may be branched, unbranched, cyclic or acyclic and include saturated and unsaturated heterocycles such as morpholino, pyrrolidinyl, etc. In certain embodiments, heteroaliphatic moieties are substituted by independent replacement of one or more of the hydrogen atoms thereon with one or more moieties including, but not limited to aliphatic; heteroaliphatic; aryl; heteroaryl; alkylaryl; alkylheteroaryl; alkoxy; aryloxy; heteroalkoxy; heteroaryloxy; alkylthio; arylthio; heteroalkylthio; heteroarylthio; F; Cl; Br; I; -OH; -NO<sub>2</sub>; -CN; -CF<sub>3</sub>; -CH<sub>2</sub>CF<sub>3</sub>; -CHCl<sub>2</sub>; -CH<sub>2</sub>OH; -CH<sub>2</sub>CH<sub>2</sub>OH; -CH<sub>2</sub>NH<sub>2</sub>; -CH<sub>2</sub>SO<sub>2</sub>CH<sub>3</sub>; -C(O)R<sub>x</sub>; -CO<sub>2</sub>(R<sub>x</sub>); -CON(R<sub>x</sub>)<sub>2</sub>; -OC(O)R<sub>x</sub>; -OCO<sub>2</sub>R<sub>x</sub>; -OCON(R<sub>x</sub>)<sub>2</sub>; -N(R<sub>x</sub>)<sub>2</sub>; -S(O)<sub>2</sub>R<sub>x</sub>; -NR<sub>x</sub>(CO)R<sub>x</sub> wherein each occurrence of R<sub>x</sub> independently includes, but is not limited to, aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl, wherein any of the aliphatic, heteroaliphatic, alkylaryl, or alkylheteroaryl substituents described above and herein may be substituted or unsubstituted, branched or unbranched, cyclic or acyclic, and wherein any of the aryl or heteroaryl substituents described above and herein may be substituted or unsubstituted. Additional examples of generally applicable substituents are illustrated by the specific embodiments shown in the Examples that are described herein.

The terms "halo" and "halogen" as used herein refer to an atom selected from fluorine, chlorine, bromine and iodine.

The term "haloalkyl" denotes an alkyl group, as defined above, having one, two, or three halogen atoms attached thereto and is exemplified by such groups as chloromethyl, bromoethyl, trifluoromethyl, and the like.

25 The term "heterocycloalkyl" or "heterocycle", as used herein, refers to a non-aromatic 5-, 6- or 7- membered ring or a polycyclic group, including, but not limited to a bi- or tri-cyclic group comprising fused six-membered rings having between one and three heteroatoms independently selected from oxygen, sulfur and nitrogen, wherein (i) each 5-membered ring has 0 to 1 double bonds and each 6-membered ring has 0 to 2 double bonds, (ii) the nitrogen and sulfur heteroatoms may be optionally be oxidized, (iii) the nitrogen heteroatom may optionally be quaternized, and (iv) any of the above heterocyclic rings may be fused to a benzene ring.

Representative heterocycles include, but are not limited to, pyrrolidinyl, pyrazolinyl, pyrazolidinyl, imidazolinyl, imidazolidinyl, piperidinyl, piperazinyl, oxazolidinyl, isoxazolidinyl, morpholinyl, thiazolidinyl, isothiazolidinyl, and tetrahydrofuryl. In certain embodiments, a “substituted heterocycloalkyl or heterocycle” group is utilized and as used herein, refers to a heterocycloalkyl or heterocycle group, as defined above, substituted by the independent replacement of one, two or three of the hydrogen atoms thereon with but are not limited to aliphatic; heteroaliphatic; aryl; heteroaryl; alkylaryl; alkylheteroaryl; alkoxy; aryloxy; heteroalkoxy; heteroaryloxy; alkylthio; arylthio; heteroalkylthio; heteroarylthio; F; Cl; Br; I; -OH; -NO<sub>2</sub>; -CN; -CF<sub>3</sub>; -CH<sub>2</sub>CF<sub>3</sub>; -CHCl<sub>2</sub>; -CH<sub>2</sub>OH; -CH<sub>2</sub>CH<sub>2</sub>OH; -CH<sub>2</sub>NH<sub>2</sub>; -CH<sub>2</sub>SO<sub>2</sub>CH<sub>3</sub>; -C(O)R<sub>x</sub>; -CO<sub>2</sub>(R<sub>x</sub>); -CON(R<sub>x</sub>)<sub>2</sub>; -OC(O)R<sub>x</sub>; -OCO<sub>2</sub>R<sub>x</sub>; -OCON(R<sub>x</sub>)<sub>2</sub>; -N(R<sub>x</sub>)<sub>2</sub>; -S(O)<sub>2</sub>R<sub>x</sub>; -NR<sub>x</sub>(CO)R<sub>x</sub> wherein each occurrence of R<sub>x</sub> independently includes, but is not limited to, aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl, wherein any of the aliphatic, heteroaliphatic, alkylaryl, or alkylheteroaryl substituents described above and herein may be substituted or unsubstituted, branched or unbranched, cyclic or acyclic, and wherein any of the aryl or heteroaryl substituents described above and herein may be substituted or unsubstituted. Additional examples of generally applicable substituents are illustrated by the specific embodiments shown in the Examples which are described herein.

#### 4) *Synthetic Methodology*

As described above, access to analogues of radicicol and monocillin was previously limited to compounds accessed via the natural products (see, for example, US Patent 5,650,430; US Patent 5,731,343; US Patent 6,239,168; US Patent 5,977,165; and US Patent 5,597,846). In recognition of the need for an efficient and practical route to this class of therapeutic agents, the present invention provides novel synthetic methodologies for the synthesis of radicicol, monocillin, an analogues, and conjugates thereof. Although the synthesis of radicicol and monocillin is described specifically herein directly below (and in the Examples), it will be appreciated that this methodology is generally applicable to the generation of analogues and conjugates as discussed in more detail after the discussion of the synthesis of radicicol and monocillin.

a) Synthesis of Radicol and Monocillin:

As discussed above, prior to the present invention, only one synthesis of radicol had been achieved (see Lampilas et al. Tetrahedron Lett. **1992**, 33, 773 and 777). This synthesis, while novel, is lengthy and not suitable to the rapid generation of analogues. As described in more detail below, a novel synthetic route to radicol and monocillin has been developed, which methodology allows for the efficient generation of a variety of analogues of radicol, monocillin, homodimers, heterodimers, and conjugates thereof.

As shown in Figure 2, two strategies were developed for the synthesis of radicol and monocillin, each of which relied on a highly convergent three stage coupling for macrolide formation. The first approach relied on a Diels Alder reaction of bis-1,3-trimethylsilyoxy-1-methoxy-butadiene (4) with 1,3 unsymmetrically di-substituted allene (5). Significantly, this allene cycloaddition chemistry constitutes a concise and powerful entry to substituted benzo structures of some considerable complexity. The product of this Diels Alder cycloaddition was then ligated to the requisite diene through chemo- and regioselective addition of an allylic dithiane (6) to the resulting benzylchloride of 4 + 5. Lastly, stereospecific ring-closing metathesis of an olefin with a vinyl epoxide in such a context gave the desired 14-membered novel lactone cyclization. The second strategy relies on a direct Mitsunobu esterification of an appropriately substituted benzoic acid derivative (7) with the vinyl epoxide fragment (8). This product intersects with the first strategy, and is completed in the same fashion. This second strategy has the distinct advantage of introducing the valuable vinyl epoxide fragment at a later stage, thus being more amenable to analog generation.

As shown in Figure 3, the synthesis commenced with construction of the chiral allylic alcohol (8) via a procedure is similar to that described by Waldmann and co-workers. Thus methyl-(S)-3-hydroxybutyric acid (9) was silylated (TBDPSCI, imidazole, 95%) and the product reduced at low temperature (DIBAL-H, -78 °C, 92%) to provide directly the desired aldehyde (10). Wadsworth-Horner-Emmons homologation of 10 under Roush-Masamune conditions (LiCl, DIPEA, 95%) followed by a second reduction (DIBAL-H, 96%) yielded the desired *trans*-allylic alcohol (12). Sharpless asymmetric epoxidation ((-)-DET, Ti(OiPr)<sub>4</sub>, TBHP, 90%) gave the desired epoxyalcohol (13) with excellent (> 20:1) selectivity. The resulting epoxyalcohol was then oxidized (SO<sub>3</sub>•pyridine, Et<sub>3</sub>N, DMSO, 90%) and converted to the vinyl epoxide (14) via



Wittig olefination ( $\text{PPh}_3\text{CH}_3\text{Br}$ , NaHMDS, 82%). Fluoride-catalyzed removal of the TBDPS group proceeded smoothly ( $n\text{Bu}_4\text{NF}$ , 89%) to yield the desired secondary alcohol (**8**). The allylic dithiane (**6**), was secured in one step from commercially available 2,4-hexadienal (**15**) ( $\text{MgClO}_4$ ,  $\text{H}_2\text{SO}_4$ ,  $\text{H}_2\text{S}(\text{CH}_2)_3\text{SH}_2$ , 64%).

5        The first strategy then required the construction of the unsymmetrically substituted allene. These syntheses required the vinyl epoxide fragment containing all three stereocenters to be appended to the acid **16** via a Mitsunobu esterification (70%, Figure 4). This was followed by an elimination to reach the desired allene **5** (70%). In this case, Diels Alder cycloaddition between **5** and the diene **4** afforded the desired resorcinylic chloride **18** as the major product  
10        (50%, 4:1 regioselectivity). This intermediate was carried to the end of the synthesis of **1**, and following mono-protection of the para-phenol, intersects with the below strategy.

      The alternative strategy (Figure 5) prompted the synthesis of an appropriately substituted benzoic acid, followed by Mitsunobu esterification of the vinyl epoxide fragment (**8**). Commercially available 3,5-dimethoxy benzyl alcohol was simultaneously formylated and chlorinated ( $\text{POCl}_3$ , DMF, 93%) to give the desired aldehyde (**19**). The methyl ethers were removed by  $\text{BBr}_3$  (85%) and the para-phenol was selectively protected with TBDPSCI (95%) to furnish **21**. Then careful oxidation of this aldehyde ( $\text{NaClO}_2$ , sulfamic acid, 95%) yielded the desired benzoic acid (**22**) with no observed cyclization and no ring chlorination.

      It was also found that employment of the free ortho phenol **22** in a Mitsunobu reaction resulted in a superior coupling that occurred without competing intramolecular cyclization when using trifurylphosphine in benzene as a solvent (Figure 5). The resulting ester intersects the above route to **1** and **2** and is directly used in the subsequent dithiane alkylation reaction. This second solution has a distinct advantage in the synthesis of analogues as it introduces the fragment with all three stereogenic centers at the latest possible stage. Both provide routes to an  
25        otherwise difficult assembly.

      It also was found that the free ortho phenol **7** was a remarkably efficient substrate for the subsequent dithiane alkylation (Figure 6). Addition of **6** to the lithium salt of the benzyl chloride (**7**) proceeded with good (6:1)  $\alpha:\gamma$  regioselectivity (50%) and subsequent protection was uneventful ( $\text{TBSCl}$ , 83%). For achieving macrolide formation a ring closing metathesis of a  
30        diene and a vinyl epoxide as are present in **24** was envisioned. Other resorcyclic acid type macrolides have been elegantly reached by Fürstner and coworkers utilizing olefin metathesis,

although not utilizing vinyl epoxide precursors (see, Furstner *et al. Tetrahedron* **1999**, *55*, 8215). In a recent communication, Grubbs and coworkers reported the successful intermolecular cross-coupling of a vinyl epoxide utilizing a new generation, and highly active ruthenium-based olefin metathesis catalyst (**25**). Ring-closing metathesis of the vinyl epoxide and the diene with the new generation Grubbs catalyst (Grubbs *et al., J. Am. Chem. Soc.* **2000**, *122*, 3783-3784) closed the 14-membered ring **26** stereospecifically in 70% yield. Notably, this transformation was also performed in the presence of two sulfur atoms. The unusual Pummerer-like dithiane removal, followed by hydrolysis of the resulting phenolic acetates, served as a global deprotection and gave monocillin I (**2**) in 58% yield (see, for example, Kishi *et al. J. Am. Chem. Soc.* **1973**, *95*, 6490; Fukuyama *et al. Tetrahedron* **1981**, *37*, 2045; Smith *et al. J. Am. Chem. Soc.* **1986**, *108*, 3110). Finally, a regioselective, high-yielding chlorination was discovered using SO<sub>2</sub>Cl<sub>2</sub> in Et<sub>2</sub>O (55%) to directly convert monocillin I into radicicol (**1**).

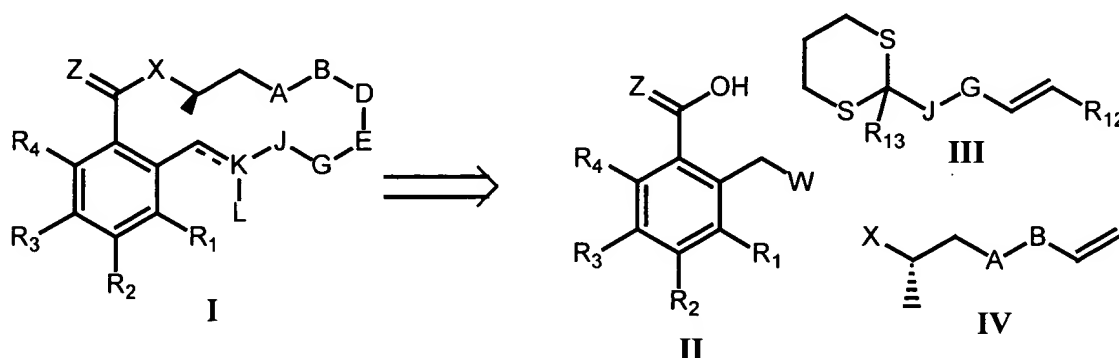
#### a) General Synthetic Methodology:

Clearly, the synthetic methodology as described above provides for the rapid synthesis of a variety of analogues of radicicol, monocillin, dimers, and conjugates thereof. It will be appreciated that the ability to rapidly generate a range of analogues is important because it is believed that in vivo activity is lost due to certain structural characteristics of radicicol and monocillin. For example, it is postulated that in vivo activity is lost due to the nucleophilic action of cellular thiols (i.e. glutathione) on either the epoxide or the  $\alpha,\beta$  unsaturated ketone of radicicol. This nucleophilic addition changes the overall conformation of radicicol, and results in an inability to bind to Hsp90. A second likely pathway of deactivation involves the conjugation of the aromatic ring, or perhaps cytochrome P-450 oxidation.

Without wishing to be bound by any particular theory, one strategy to restore in vivo activity would be to reduce the affinity of radicicol to nucleophiles such as thiols, specifically to deactivate electrophilic sites in radicicol. Here care must be taken not to dramatically change the overall conformation of the natural product. Thus these analogues have been designed to attenuate electrophilicity with simple alterations to the structure that should not affect the overall conformation. It should be emphasized that the analogues as described using the methodology herein cannot be made from the natural product. The three component nature of this process

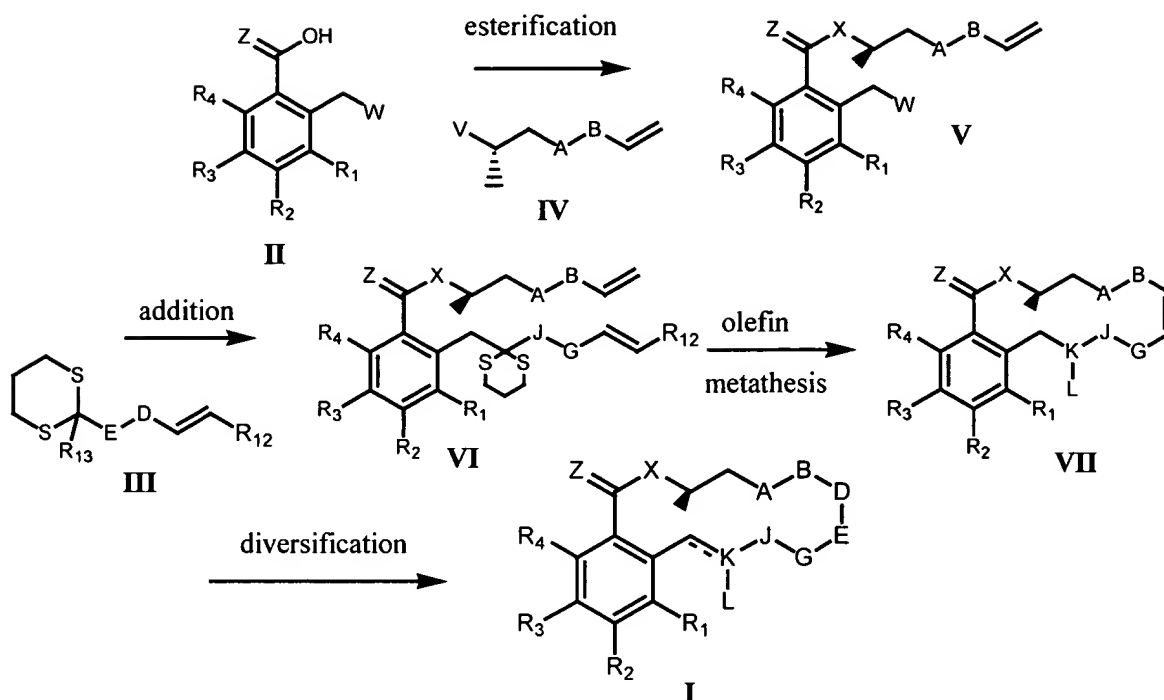
described above and described more generally below emphasizes the ability to generate numerous analogues by the modification of one component and its incorporation into a short and efficient process.

In general, the method involves the synthesis of analogues from three easily obtainable and diversifiable components. Depicted below is a general retrosynthetic strategy for the synthesis of analogues:



For simplicity, the components varied can be called: 1) the chiral component **IV** (exemplary embodiments of which are shown in Figure 7), 2) The dithiane component **III** (an exemplary embodiment of which is shown in Figure 8), and 3) the benzoic acid component **II** (exemplary embodiments of which are shown in Figures 9 and 10). It should be noted that these analogs can be generated either with a single modification, or they may be combined in a single entity to maximize their benefit if they are found to be synergistic. It will also be appreciated, as described in more detail herein, that each of the components can be diversified prior to formation of the macrocycle, or alternatively or additionally, can be diversified after formation of the macrocycle.

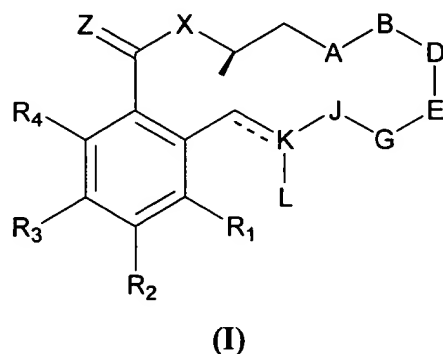
As depicted generally below, the synthesis of analogues can be carried out in a similar fashion to the synthesis of radicicol and monocillin as described above:



wherein each of  $R_1$ - $R_4$ ,  $Z$ ,  $X$ ,  $A$ - $B$ ,  $D$ - $E$ ,  $G$ - $J$ ,  $K$ - $L$  is as defined above generically and as defined in classes and subclasses described herein, and  $R_{12}$  is hydrogen or lower alkyl,  $R_{13}$  is hydrogen or an alkali metal,  $W$  is a halogen and  $V$  is  $OH$ ,  $SH$  or  $NHR_G$ , wherein  $R_G$  is as defined above and herein.

Reaction of the benzoic acid component (**II**) (which can be diversified prior to the esterification reaction, as exemplified herein), with an esterification reagent and a chiral component (**IV**) yields intermediate (**V**). Subsequent reaction with a dithiane component (which can also be diversified prior to reaction as exemplified herein) under suitable conditions to effect addition yields intermediate (**VI**). This intermediate can then be treated with an olefin metathesis catalyst under suitable conditions to effect olefin metathesis to generate intermediate (**VII**). This intermediate can then be further reacted to diversify certain potentially reactive sites in the molecule (e.g., double bond generated after the olefin metathesis, aromatic positions, if  $A$ - $B$  is an epoxide, the epoxide can be opened to generate further sites of diversity that can be reacted with still other reagents (e.g., linker-conjugate moieties), to name a few), or can be further reacted to deprotect any sites (e.g., free alcohols, amines) that may have been protected during the synthesis of the analogues.

Thus, in addition to providing inventive compounds as described above and herein, the present invention additionally provides a method for the synthesis of compounds having the general structure (I):



wherein the dotted line --- represents a bond, whereby a double bond is present, or the dotted line --- is absent, whereby a single bond is present;

R<sub>1</sub> is hydrogen, halogen, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or N(R<sub>A</sub>)<sub>2</sub>, wherein each occurrence of R<sub>A</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

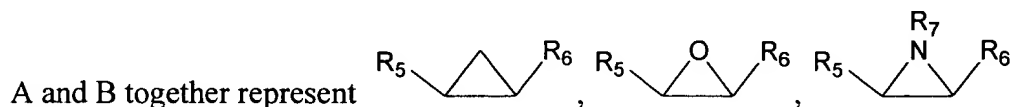
R<sub>2</sub> is hydrogen, halogen, cyano, -OR<sub>B</sub>, -N(R<sub>B</sub>)<sub>2</sub>, -SR<sub>B</sub>, -O(C=O)R<sub>B</sub>, -N(R<sub>B</sub>)(C=O)(R<sub>B</sub>), -C(O)R<sub>B</sub>, -C(O)OR<sub>B</sub>, -CON(R<sub>B</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>B</sub>, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of R<sub>B</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>3</sub> is hydrogen, halogen, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or -N(R<sub>C</sub>)<sub>2</sub>, wherein each occurrence of R<sub>C</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

R<sub>4</sub> is hydrogen, halogen, cyano, -OR<sub>D</sub>, -N(R<sub>D</sub>)<sub>2</sub>, -SR<sub>D</sub>, -O(C=O)R<sub>D</sub>, -N(R<sub>D</sub>)(C=O)(R<sub>D</sub>), -C(O)R<sub>D</sub>, -C(O)OR<sub>D</sub>, -CON(R<sub>D</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>D</sub>, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of R<sub>D</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

Z is O, S or NR<sub>E</sub>, wherein R<sub>E</sub> is hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or OR<sub>F</sub>, wherein R<sub>F</sub> is hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety;

5 X is O, S or NR<sub>G</sub>, wherein R<sub>G</sub> is hydrogen or lower alkyl;



-CHR<sub>5</sub>-CHR<sub>6</sub>-, -CR<sub>5</sub>=CR<sub>6</sub>-, wherein R<sub>5</sub> and R<sub>6</sub> are each independently hydrogen, halogen, cyano, -OR<sub>J</sub>, -N(R<sub>J</sub>)<sub>2</sub>, -SR<sub>J</sub>, -O(C=O)R<sub>J</sub>, -O(S=O)R<sub>J</sub>, -N(R<sub>J</sub>)(C=O)(R<sub>J</sub>), -C(=O)R<sub>J</sub>, -C(=O)OR<sub>J</sub>, -CON(R<sub>J</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>J</sub>, -OS(=O)OR<sub>J</sub> or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of R<sub>J</sub> is independently hydrogen, a protecting group, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, and wherein R<sub>7</sub> is hydrogen, a protecting group, -OR<sub>K</sub>, -SR<sub>K</sub>, -C(O)OR<sub>K</sub>, -C(O)NR<sub>K</sub>, -S(O)<sub>2</sub>R<sub>K</sub>, -O(C=O)R<sub>K</sub>, -N(R<sub>K</sub>)(C=O)(R<sub>K</sub>), -C(O)R<sub>K</sub>, -C(O)OR<sub>K</sub>, -CON(R<sub>K</sub>)<sub>2</sub>, -OCO<sub>2</sub>R<sub>K</sub>, or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, wherein each occurrence of R<sub>K</sub> is independently hydrogen, a protecting group or an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or when A and B together represent -CHR<sub>5</sub>-CHR<sub>6</sub>-, R<sub>5</sub> and R<sub>6</sub> taken together represent a substituted or unsubstituted 3-7 membered aliphatic, heteroaliphatic, aryl or heteroaryl ring,

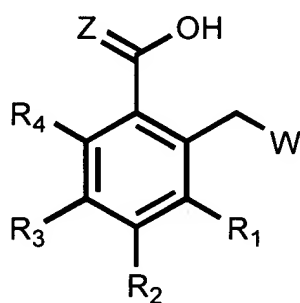
20 D and E together represent -CHR<sub>8</sub>-CHR<sub>9</sub>-, -CR<sub>8</sub>=CR<sub>9</sub>-, wherein R<sub>8</sub> and R<sub>9</sub> are each independently hydrogen or lower alkyl;

G and J together represent -CHR<sub>10</sub>-CHR<sub>11</sub>-, -CR<sub>10</sub>=CR<sub>11</sub>-, wherein R<sub>10</sub> and R<sub>11</sub> are each independently hydrogen or lower alkyl;

25 K and L together represent C=O, C=S, CH-CH<sub>3</sub>, CH-CH(R<sub>L</sub>)<sub>2</sub>, C=C(R<sub>L</sub>)<sub>2</sub>, -CH<sub>2</sub>-, -C(-S(CH<sub>2</sub>)<sub>3</sub>S-)-, CH-OR<sub>L</sub>, CH-SR<sub>L</sub>, CH-N(R<sub>L</sub>)<sub>2</sub>, CH-N(R<sub>L</sub>)(C=O)(R<sub>L</sub>), C=N-O-R<sub>L</sub>, CH-N=O, C=C(R<sub>L</sub>)-N(R<sub>L</sub>)<sub>2</sub>, C=N-R<sub>L</sub>, C=N-N(R<sub>L</sub>)<sub>2</sub>, or, if the dotted line --- represents a bond, whereby a double bond is present, then K and L together represent C-N(R<sub>L</sub>)<sub>2</sub>, wherein each occurrence of R<sub>L</sub> is independently hydrogen, a protecting group, an aliphatic, heteroaliphatic, aryl, heteroaryl, alkylaryl, or alkylheteroaryl moiety, or two occurrences of R<sub>L</sub> taken together represent a 3 to 7-membered cyclic aliphatic, heteroaliphatic, aromatic or heteroaromatic moiety;

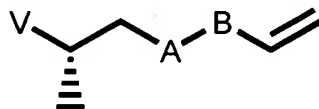
whereby each of the foregoing aliphatic and heteroaliphatic moieties may independently be substituted or unsubstituted, cyclic or acyclic, or branched or unbranched, and each aryl, heteroaryl, alkylaryl, and alkylheteroaryl moiety may be substituted or unsubstituted; wherein one or any two of  $R_1$ ,  $R_A$ ,  $R_2$ ,  $R_B$ ,  $R_3$ ,  $R_C$ ,  $R_4$ ,  $R_D$ ,  $R_5$ ,  $R_6$ ,  $R_I$ , or  $R_L$  are optionally a linker covalently bonded to a compound selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin, analogues of geldanamycin, and steroids, said method comprising:

(1) reacting a benzoic acid component having the structure:



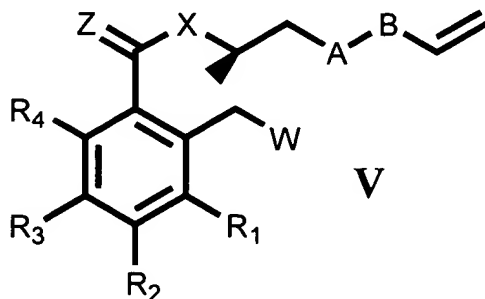
II

wherein  $R_1$ - $R_4$  and  $Z$  are as defined above, and wherein  $W$  is halogen, with a chiral component (IV) having the structure:



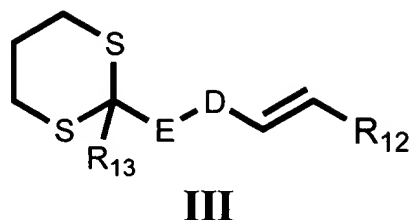
IV

wherein  $A$  and  $B$  are as defined above, and wherein  $V$  is  $NHR_G$ , wherein  $R_G$  is hydrogen or lower alkyl,  $SH$ , or  $OH$  in the presence of an esterification reagent to generate an intermediate (V) having the structure:

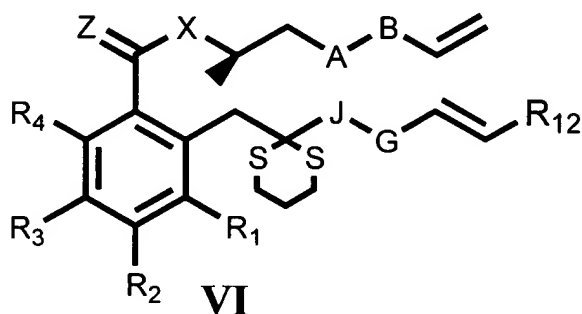


V

(2) reacting the intermediate (V) with a dithiane having the structure (III):

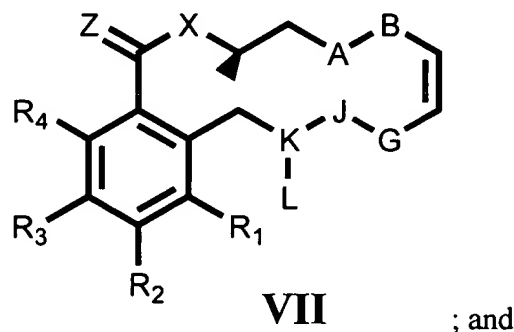


5 wherein  $R_{13}$  is hydrogen or an alkali metal salt and wherein  $R_{12}$  is hydrogen or lower alkyl,  
under conditions to add the dithiane to generate an intermediate (VI) having the structure:



(3) if any one or more of  $R_1$ - $R_4$  is an unprotected thio, amino or hydroxyl group, optionally protecting said unprotected group;

(4) cyclizing the intermediate (VI) in the presence of an olefin metathesis catalyst to generate the compound (VII):

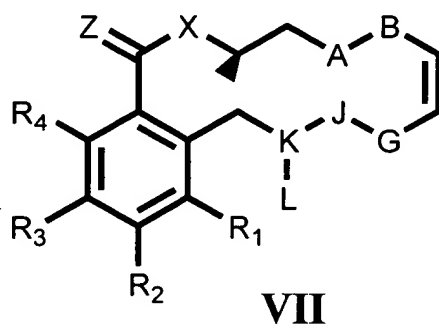




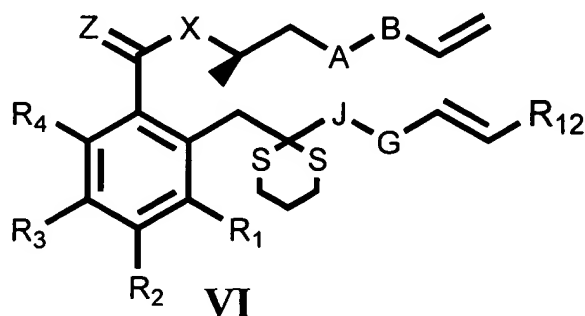
(5) optionally further reacting the macrocycle (VII) with one or more reagents to diversify and optionally deprotecting the macrocycle to generate a compound having the formula (I).

In addition to the general method described and depicted above, the present invention provides additional synthetic methods, and compounds, as described herein, in which each of the intermediate steps and intermediate compounds (II), (III), (IV), (V), (VI) and (VII) are provided, as described below and generically herein. It will be appreciated that the classes, and subclasses, as described above for the inventive compounds are also intended to encompass the inventive methods and intermediate compounds as described above and herein. Thus, certain classes and subclasses of interest in which the moieties R<sub>1</sub>-R<sub>4</sub>, Z, X, A-B, D-E, G-J and K-L are specifically defined (and moieties defined within those definitions) also apply to the inventive methods and intermediate compounds. It will be appreciated that certain exemplary species of the compounds of formula (I) and intermediates (II), (III), (IV), (V), (VI) and (VII) are described herein, but are not limited to those species.

More generally, the present invention additionally provides a method for the synthesis of a macrocycle having the structure:



as defined above and herein, wherein said method comprises cyclizing the intermediate (VI):



in the presence of an olefin metathesis catalyst to generate the compound (VII).

In certain embodiments, if any one or more of R<sub>1</sub>-R<sub>4</sub> is an unprotected thio, amino or hydroxyl group, the method further comprises optionally protecting said unprotected group.

In still other embodiments, the method optionally further comprises reacting (VII) with one or more reagents to diversify the macrocycle and generate a compound having the structure (I). In yet other embodiments, the method further comprises optionally deprotecting the compound having the structure (I), to generate a deprotected compound having the structure (I).

It will be appreciated that in certain embodiments of the methods as described above and herein W is Cl, V is OH or NHR<sub>G</sub>, R<sub>13</sub> is Li or hydrogen, and R<sub>12</sub> is hydrogen or methyl. It will also be appreciated that each of the steps as described above can be carried out using reagents and conditions as described for the synthesis of radicicol and monocillin, or they may be modified using other available reagents. For example, a variety of esterification conditions, dithiane addition and olefin metathesis conditions are well-known in the art and can be utilized in the method of the invention. See, generally, March, Advanced Organic Chemistry, John Wiley & Sons, 1992.

As mentioned above, it will also be appreciated that each of the components used in the synthesis of analogues can be diversified either before synthesis or alternatively after the construction of the macrocycle. As used herein, the term "diversifying" or "diversify" means reacting an inventive compound (VII) or (I), as defined herein, at one or more reactive sites to modify a functional moiety or to add a functional moiety. For example, the aromatic ring can be diversified (prior to or after reaction) to either add functionality (e.g., where hydrogen is present, a halogen, e.g., Cl, can be added) or to modify functionality (e.g., where a hydroxyl group is present on the aromatic ring, the aromatic ring can be diversified by reacting with a reagent to protect the hydroxyl group, or in another example, by reacting with a reagent to add a linker moiety that has a conjugate (e.g., geldanamycin, etc.) attached thereto). Described generally below are a variety of schemes to assist the reader in the synthesis of a variety of analogues, either by diversification of the intermediate components or by diversification of the macrocyclic structures (VII) and (I).

For example, the chiral component can be easily modified as depicted in Figure 7. The aldehyde **9** can be homologated directly to the diene **27**, and then deprotected to give the alcohol **28**. Alternatively the epoxide can be replaced with a cyclopropane, a far less reactive electrophile, by using a diastereoselective cyclopropanation reaction developed by Charette et al (30). The cyclopropyl alcohol would be converted to the final fragment using the known route. In addition, the epoxide can be simply removed by hydrogenation of the allyl alcohol **12** and then straightforward oxidation, olefination and deprotection to give **32**.

The dithiane fragment is also easily modified, and can effect significant advantages to the analogs accessed. Figure 8 depicts one exemplary embodiment in which commercially available 4-bromobutene **33** can be alkylated by lithiated 1,3-dithiane to provide a dithiane fragment alternative **34**. It will be appreciated that other modifications can be effected to generate additional diversity prior to addition of this component.

Additionally, the aromatic ring can incorporate numerous changes, just a few examples are presented in Figure 9. Here novel Diels Alder methodology is utilized to present different substitution patterns around the benzene ring **35-38**. It will be appreciated that traditional aromatic synthesis can also be utilized to access permutations on the aromatic core. For example, as shown in Figure 10, diversity can be generated at aromatic positions, in one embodiment, after generation of the core structure. Specifically,  $R_1$  and  $R_3$  include substitution patterns arising from a cross-coupling strategy as depicted to enable introduction of amino, aliphatic, heteroaliphatic, aryl, heteroaryl, and alkylheteroaryl moieties.

Figure 11 depicts a variety of analogues that can be synthesized using the methodology as described herein. For example, the first two analogs (**40** and **42**) recognize the electrophilicity of the vinyl epoxide, and replace it with significantly less reactive functionality. Specifically, the cyclopropane and the olefin are far less reactive to many electrophiles, and will serve to closely maintain the overall conformation of the molecule. Exclusion of the epoxide according to Scheme 5 gives the desepoxy compound, or alternatively, the related analog with no double bond at that position. One exemplary embodiment of the synthesis of two cyclopropyl analogues (**2c**) and (**40**) is described in detail in Figures 13 and 14.

The third analog (**44**) serves to replace the labile ester functionality with a stronger amide component. Esterase activity is responsible for the premature metabolism of many potential therapeutic agents, and therefore this analogs aims to prevent this activity. As depicted in Figure

7, simple modification of the chiral component and incorporation into this process provides a facile route to this analog.

The second set of analogs (**46**, **48**, **50**, **52**) (see Figures 11 and 12) reduce the electrophilicity of the  $\alpha\beta$ -unsaturated ketone moiety. These represent replacement of the ketone with functionality that are not electron withdrawing, and therefore deactivate the system to nucleophilic addition (Michael addition), and to minimize conformational impact. Analog **46** removes the double bond thereby ruling out the possibility of a thiol related Michael component to radicicol's deactivation. Analog **48**, **50**, **52** remove the carbonyl influence with a dithiane, olefin and an aliphatic domain respectively, and represent examples of diversification of the macrocycle core structure after ring closure via olefin metathesis.

Lastly the aromatic domain can be modified (an exemplary embodiment of modification prior to esterification, dithiane addition and macrocycle formation) and analog **54** is presented as an exemplary analogue.

As detailed above, a variety of reactions can be utilized to diversify the radicicol and/or monocillin core structures either during assembly or after assembly of the macrocycle. An alternative strategy endeavours not only to restore in vivo activity and achieve diversity, but also to enhance it. In a fashion similar to that produced with geldanamycin, a number of known steroid hormones can be linked to radicicol and analogs via an oxime technology that has already been shown to restore in vivo activity (see US Patent 6,239,168; US Patent 5,977,165 and Soga *et al. Cancer Res.* **1999**, *59*, 2931-2938). The steroid hormones bind specifically to receptors found in important Hsp90 complexes. In addition, dimers of radicicol or heterodimers of radicicol and geldanamycin will be used to probe the activity of bifunctional binding agents on Hsp90 activity (see Figure 15).

In addition to conjugation via oxime technology as described above and depicted in Figure 15, it will be appreciated that conjugation can be effected through available functionality on the aromatic ring or through the A-B moiety, as described generally herein. Figure 16 depicts a variety of methods that can be utilized to effect conjugation.

It will be appreciated that a variety of linkers can be utilized to effect conjugation of geldanamycin, radicicol, monocillin and analogues thereof and steroids to the inventive compounds. As described above, any one or any two of  $R_1$ ,  $R_A$ ,  $R_2$ ,  $R_B$ ,  $R_3$ ,  $R_C$ ,  $R_4$ ,  $R_D$ ,  $R_5$ ,  $R_6$ ,

R<sub>J</sub>, or R<sub>L</sub> may potentially be a site for conjugation and conjugation can be effected through a linker covalently bonded to a compound to be conjugated. The term "linker" as used herein, is intended to encompass a chemical moiety that is capable of effecting a stable (e.g., sufficiently unhindered that the conjugation can be performed) covalent linkage between an inventive compound as described herein, and another conjugate (geldanamycin, radicicol, monocillin, analogues thereof as described herein and elsewhere) and steroids. It will be appreciated that a variety of linkers can be utilized, including, but not limited to heteroatom linkages (e.g., O-S(=O)O, -O-(C=O)-O-, heteroalkyl, etc.), and aliphatic or heteroaliphatic linkages, in which the aliphatic and heteroaliphatic linkages may be substituted or unsubstituted, branched or unbranched, or cyclic or acyclic. In certain embodiments for the compounds as described above, the linker is an aliphatic or heteroaliphatic moiety, whereby said aliphatic or heteroaliphatic moiety is substituted or unsubstituted, branched or unbranched, or cyclic or acyclic. For example, it will be appreciated that this linker may be of varying length, and that altering the length of the linker may, in certain circumstances, confer a therapeutic benefit. In general, the linker may be 1-12 carbon atoms in length, and may also be 1-10, 1-6, or 1-4 carbon atoms in length, in other embodiments of special interest. As described above, the linker may be a linear chain or a substituted chain, for example incorporating double or triple bonds, an aryl group or a secondary or tertiary amine. In certain other embodiments for the compounds as described above, the linker is a moiety having one of the structures  $-(CH_2)_n-CH=CH-(CH_2)_m-$ ,  $-(CH_2)_p-C\equiv C-(CH_2)_q-$ , or  $-CH_2(CH_2)_sCH_2-$ , wherein each occurrence of n, m, p, q and s is independently an integer from 0-10. As described generally above, it will be appreciated that one or more of the hydrogen atoms may be replaced with a substituent including, but not limited to alkyl, heteroalkyl, secondary or tertiary amine, hydroxyl, thiol, aryl, heteroaryl, alkylaryl, or alkylheteroaryl. Similar dimers, trimers, and conjugates and linkers used for the conjugation thereof are described in more detail in Kuduk *et al.*, *Bioorg. Med. Chem. Lett.* **2000**, *10*, 1303-1306; Kuduk *et al.* *Bioorg. Med. Chem. Lett.*, **1999**, *9*, 1233-1238; Zheng *et al.* *Cancer Res.* **2000**, *60*, 2090-2094; and WO00/61578). Additional guidance for the preparation of conjugates can be found in US Patent 5,977,165 (in which, for example, two radicicol derivatives are linked via -O-S(=O)-O-).

In certain embodiments, the compound to be conjugated is selected from the group consisting of radicicol, monocillin, analogues of radicicol and monocillin, geldanamycin,

analogues of geldanamycin, and steroids. In particular, any of the compounds of the present invention may be conjugated with one or two compounds of the same structure or with one or two compounds of different structures, such as geldanamycin, analogues thereof and steroids. The term "analogues", as used herein, is intended to encompass radicicol analogues as described herein and elsewhere (see e.g., 5,977,165, 5,731,343, and 5,597,846) and geldanamycin analogues generally described in the art (see, e.g., WO00/61578). It will be appreciated that a variety of steroids can be utilized in the method of the present invention. In certain embodiments, steroids are utilized to develop selective cytotoxic agents directed towards cancer cells that express steroid receptors (e.g., estrogen receptor). Suitable steroids for use in the present invention include, but are not limited to, estradiol, estradiol valerate, estradiol cypionate, ethinyl estradiol, mestranol, quinestrol, estrone, estrone sulfate, equilin, testosterone, androstenedione, dehydroepiandrosterone, estriol 16 $\alpha$ -hydroxydehydro-epiandrosterone, and 16 $\alpha$ -hydroxyandrostenedione, to name a few.

#### 5) *Uses, Formulation and Administration*

##### *Pharmaceutical Compositions*

As discussed above this invention provides novel compounds which have biological properties which make them of interest for the treatment of cancer, in particular those cancers characterized in that they comprise Rb negative cancer cells. Accordingly, in another aspect of the present invention, pharmaceutical compositions are provided, which comprise any one of the compounds described herein (or a prodrug, pharmaceutically acceptable salt or other pharmaceutically acceptable derivative thereof), and optionally comprise a pharmaceutically acceptable carrier. In certain embodiments, these compositions optionally further comprise one or more additional therapeutic agents. Alternatively, a compound of this invention may be administered to a patient in need thereof in combination with the administration of one or more other therapeutic agents. For example, additional therapeutic agents for conjoint administration or inclusion in a pharmaceutical composition with a compound of this invention may be a cytotoxic agent or anticancer agent approved for the treatment of cancer, as discussed in more detail herein, or it may be any one of a number of agents undergoing approval in the Food and Drug Administration that ultimately obtain approval for the treatment of cancer (e.g., epothilones, geldanamycin, to name a few). It will also be appreciated that certain of the compounds of

present invention can exist in free form for treatment, or where appropriate, as a pharmaceutically acceptable derivative thereof. According to the present invention, a pharmaceutically acceptable derivative includes, but is not limited to, pharmaceutically acceptable salts, esters, salts of such esters, or a prodrug or other adduct or derivative of a compound of this invention which upon administration to a patient in need is capable of providing, directly or indirectly, a compound as otherwise described herein, or a metabolite or residue thereof.

As used herein, the term "pharmaceutically acceptable salt" refers to those salts which are, within the scope of sound medical judgement, suitable for use in contact with the tissues of humans and lower animals without undue toxicity, irritation, allergic response and the like, and are commensurate with a reasonable benefit/risk ratio. Pharmaceutically acceptable salts of amines, carboxylic acids, and other types of compounds, are well known in the art. For example, S. M. Berge, *et al.* describe pharmaceutically acceptable salts in detail in *J. Pharmaceutical Sciences*, 66: 1-19 (1977), incorporated herein by reference. The salts can be prepared in situ during the final isolation and purification of the compounds of the invention, or separately by reacting the free base function with a suitable organic acid. Examples of pharmaceutically acceptable, nontoxic acid addition salts are salts of an amino group formed with inorganic acids such as hydrochloric acid, hydrobromic acid, phosphoric acid, sulfuric acid and perchloric acid or with organic acids such as acetic acid, oxalic acid, maleic acid, tartaric acid, citric acid, succinic acid or malonic acid or by using other methods used in the art such as ion exchange. Other pharmaceutically acceptable salts include adipate, alginate, ascorbate, aspartate, benzenesulfonate, benzoate, bisulfate, borate, butyrate, camphorate, camphorsulfonate, citrate, cyclopentanepropionate, digluconate, dodecylsulfate, ethanesulfonate, formate, fumarate, glucoheptonate, glycerophosphate, gluconate, harnisulfate, heptanoate, hexanoate, hydroiodide, 2-hydroxy-ethanesulfonate, lactobionate, lactate, laurate, lauryl sulfate, malate, maleate, malonate, methanesulfonate, 2-naphthalenesulfonate, nicotinate, nitrate, oleate, oxalate, palmitate, pamoate, pectinate, persulfate, 3-phenylpropionate, phosphate, picrate, pivalate, propionate, stearate, succinate, sulfate, tartrate, thiocyanate, p-toluenesulfonate, undecanoate, valerate salts, and the like. Representative alkali or alkaline earth metal salts include sodium, lithium, potassium, calcium, magnesium, and the like. Further pharmaceutically acceptable salts include, when appropriate, nontoxic ammonium, quaternary ammonium, and amine cations formed using

counterions such as halide, hydroxide, carboxylate, sulfate, phosphate, nitrate, loweralkyl sulfonate and aryl sulfonate.

Additionally, as used herein, the term "pharmaceutically acceptable ester" refers to esters which hydrolyze in vivo and include those that break down readily in the human body to leave the parent compound or a salt thereof. Suitable ester groups include, for example, those derived from pharmaceutically acceptable aliphatic carboxylic acids, particularly alkanolic, alkenolic, cycloalkanoic and alkanedioic acids, in which each alkyl or alkenyl moiety advantageously has not more than 6 carbon atoms. Examples of particular esters includes formates, acetates, propionates, butyrates, acrylates and ethylsuccinates.

Furthermore, the term "pharmaceutically acceptable prodrugs" as used herein refers to those prodrugs of the compounds of the present invention which are, within the scope of sound medical judgment, suitable for use in contact with the tissues of humans and lower animals with undue toxicity, irritation, allergic response, and the like, commensurate with a reasonable benefit/risk ratio, and effective for their intended use, as well as the zwitterionic forms, where possible, of the compounds of the invention. The term "prodrug" refers to compounds that are rapidly transformed in vivo to yield the parent compound of the above formula, for example by hydrolysis in blood. A thorough discussion is provided in T. Higuchi and V. Stella, Pro-drugs as Novel Delivery Systems, Vol. 14 of the A.C.S. Symposium Series, and in Edward B. Roche, ed., Bioreversible Carriers in Drug Design, American Pharmaceutical Association and Pergamon Press, 1987, both of which are incorporated herein by reference.

As described above, the pharmaceutical compositions of the present invention additionally comprise a pharmaceutically acceptable carrier, which, as used herein, includes any and all solvents, diluents, or other liquid vehicle, dispersion or suspension aids, surface active agents, isotonic agents, thickening or emulsifying agents, preservatives, solid binders, lubricants and the like, as suited to the particular dosage form desired. Remington's Pharmaceutical Sciences, Fifteenth Edition, E. W. Martin (Mack Publishing Co., Easton, Pa., 1975) discloses various carriers used in formulating pharmaceutical compositions and known techniques for the preparation thereof. Except insofar as any conventional carrier medium is incompatible with the anti-viral compounds of the invention, such as by producing any undesirable biological effect or otherwise interacting in a deleterious manner with any other component(s) of the pharmaceutical composition, its use is contemplated to be within the scope of this invention. Some examples of



materials which can serve as pharmaceutically acceptable carriers include, but are not limited to, sugars such as lactose, glucose and sucrose; starches such as corn starch and potato starch; cellulose and its derivatives such as sodium carboxymethyl cellulose, ethyl cellulose and cellulose acetate; powdered tragacanth; malt; gelatin; talc; excipients such as cocoa butter and suppository  
5 waxes; oils such as peanut oil, cottonseed oil; safflower oil; sesame oil; olive oil; corn oil and soybean oil; glycols; such a propylene glycol; esters such as ethyl oleate and ethyl laurate; agar; buffering agents such as magnesium hydroxide and aluminum hydroxide; alginic acid; pyrogen-free water; isotonic saline; Ringer's solution; ethyl alcohol, and phosphate buffer solutions, as well as other non-toxic compatible lubricants such as sodium lauryl sulfate and magnesium  
10 stearate, as well as coloring agents, releasing agents, coating agents, sweetening, flavoring and perfuming agents, preservatives and antioxidants can also be present in the composition, according to the judgment of the formulator.

#### *Uses of Compounds of the Invention*

As described in more detail herein, in general, the present invention provides compounds useful for their ability to inhibit the growth of or kill cancer cells and thus are useful in the treatment of cancer. The compounds of the invention are also useful as inhibitors of Hsp90 and thus are useful, more generally as inhibitors of proteins such as transmembrane receptors (e.g., HER2, androgen receptor, erbB, EGFR, etc.), tyrosine kinases, serine and/or threonine kinases, transcriptional regulators, or of proteins that regulate them. In certain embodiments, the inventive compounds are also useful for the inhibition of the growth of or for the killing of Rb  
negative cancer cells and thus are useful in the treatment of cancers comprising Rb negative cancer cells. In certain other embodiments, the inventive compounds are also useful for the destruction of cells expressing a HER-family tyrosine kinase. In still other embodiments, the  
25 inventive compounds are useful as inhibitors of the androgen receptor.

In general, the unregulated growth characteristic of cancer cells typically results from disruption of a mitogenic signal transduction pathway. Such pathways can be disrupted at any of a number of points, through activation or inhibition of proteins such as transmembrane receptors (e.g., HER2, which is often overexpressed in breast cancers; steroid receptors such as the  
30 androgen receptor, which is often overexpressed in prostate cancers; erbB; EGFR; etc.), tyrosine kinases (including those that are domains of transmembrane receptors), serine and/or threonine

kinases (e.g., Akt; Raf; Src; etc.), transcriptional regulators (e.g., Rb; STATs; etc.), or of proteins that regulate them. For instance, the molecular chaperone Hsp90 is required for proper folding of a variety of signal transduction proteins, including, for example, steroid receptors, HER2, met, Akt, Raf, etc. When Hsp90 activity is blocked, these proteins are degraded, and mitogenic signal transduction is attenuated.

It has been previously demonstrated that geldanamycin acts as an Hsp90 inhibitor; administration of this compound to tumor cells results in degradation of Hsp90-regulated proteins and arrest in the G1 phase of the cell cycle. Tumors that express high levels of HER2 are particularly sensitive to such agents. For example, treatment of tumors with geldanamycin leads to a dose dependent reduction in HER2 levels as well as visible cellular differentiation. Unfortunately, the cell cycle arrest observed after treatment with these compounds is dependent upon the retinoblastoma (Rb) protein. Geldanamycin is currently in Phase II clinical trials, however, geldanamycin has been shown to be ineffective for the treatment of tumor cells with defective Rb function.

Unexpectedly, the present invention demonstrates that radicicol and radicicol analogs function as Hsp90 inhibitors independent of Rb function. Tumor cells treated with these compounds arrest in G1 in the presence of Rb, and arrest in the prometaphase stage of mitosis in the absence of Rb. Unlike geldanamycin, such compounds are therefore useful for the treatment of Rb-positive and Rb-negative cancers. Importantly, there are cancers which currently lack sufficient treatment, and are comprised of Rb negative cells. These include, but are not limited to, small-cell lung carcinoma, glioblastoma (brain) and retinoblastoma (eye). Small-cell lung carcinoma does not have an effective treatment, results in a high mortality rate, and represents 25% of lung cancers. In some embodiments, it may be desirable to combine administration of the inventive compounds described herein with proapoptotic chemotherapeutic agents and/or with radiation therapy in order to encourage arrested cells to enter apoptosis.

As demonstrated herein (see Figures 17-21), radicicol and certain of its analogues have been tested for their cytotoxicity in a panel of cancer cell lines, and importantly, for their ability to lead to the reduction of HER2. As depicted in Figure 17 and 18, four analogues have been tested for their ability to reduce HER2 in MCF7 and BT474 cells. Radicicol (I), monocillin I (II), cyclopropyl radicicol (III) and cyclopropyl monocillin I (IV) were each tested at similar concentrations (0.5  $\mu$ M through 5  $\mu$ M). These results demonstrate two previously unknown

properties with respect to structure-activity relationships: the aromatic chloride contributes to efficacy, and the oxygen of the epoxide does not, despite its binding implication in the known crystal structure. Radicicol is approximately 10x superior in effecting destruction of Her-2 than monocillin I, which only lacks the aromatic chloride. In addition, the cyclopropane analog (III) is at least as effective, if not better than radicicol merely by replacing the oxygen of the epoxide with a CH<sub>2</sub> group. Significantly, currently, the cyclopropane analog can only be made by the route as detailed herein. Furthermore, while the vinyl epoxide, a reactive moiety, may be responsible for undesired cytotoxicity, the cyclopropane is far more stable to non-productive cellular nucleophiles.

Additionally, the dimethyl ethers of both radicicol and monocillin (V and VI respectively) have been tested, as well as the oxime disclosed by Kyowa Hakko (VII) in a side by side experiment with radicicol. Radicicol again demonstrated efficacy, as did the oxime analog VII which has been reported to also be active *in vivo*. As shown in Figure 19, MCF7 cells (HER2 overexpressed, Rb positive) were treated with radicicol (V-27), radicicol oxime (VI-51), dimethyl monocillin (V-25) and dimethyl radicicol (V-33) and the growth of the MCF7 cells was monitored.

As discussed above, the action of geldanamycin and radicicol on Hsp90 in Rb (retinoblastoma) positive cells results in a clear G<sub>1</sub> (growth) phase block of the cell cycle, degradation of HER2, and eventual reversion, apoptosis or necrosis. Interestingly, and without a clear explanation, when radicicol is applied to Rb negative cells, the cells are blocked in the M (mitosis) phase. While geldanamycin and its derivatives are demonstrating success in Rb positive cell lines, they are much less effective in their ability to halt growth in the corresponding Rb negative cells. Radicicol and derivatives appear to have an advantage in these Rb negative cell lines as they demonstrate superior efficacy blocking these cells in mitosis.

In addition, it has also been shown that 17-allylaminogeldanamycin (17-AGG), an ansamycin derivative, is much more potent against cells with wild type Rb than in cells with defective Rb function. It has also been shown that radicicol and cyclopropyl radicicol are potent inhibitors of both a breast cancer cell with wild type Rb and a small cell lung cancer cell line with defective Rb function (See Figure 20). Significantly, they have been found to be much more potent than 17-AGG in the latter cell type. These data suggest that radicicol derivatives are

useful in the treatment of the 15-20% of human tumors with mutated Rb gene and especially in small lung cell cancer, in which the gene is almost always mutated.

As discussed herein, the compounds of the present invention have been shown to reduce HER2 in MCF-7 and BT474 cells, and are cytotoxic against a panel of cancer cell lines (see exemplification herein and Figures 19, 20 and 21), in particular against Rb negative cancer cell lines (Figures 20 and 21). The inventive compounds are thus useful for the inhibition of the growth of or for killing cancer cells and are useful in the treatment of cancer (or more generally useful in the treatment of proliferative disorders). In addition, compounds as described herein have been found to act as potent inhibitors of cancer cell lines comprising Rb negative cells, and thus are useful in the treatment of cancers comprising Rb negative cells. Currently, there is no effective treatment for many of these cancers comprising Rb negative cells. The method of the invention comprises administering to a subject in need thereof a therapeutically effective amount of a compound of the invention.

In certain embodiments of the present invention a "therapeutically effective amount" of the inventive compound or pharmaceutical composition is that amount effective for detectable killing or inhibiting the growth of cancer cells, and in certain embodiments of special interest an amount for detectable killing or inhibiting the growth of cancer cells comprising Rb negative cancer cells.

The compounds and compositions, according to the method of the present invention, may be administered using any amount and any route of administration effective for killing or inhibiting the growth of tumor cells. Thus, the expression "effective amount" as used herein, refers to a sufficient amount of agent to kill or inhibit the growth of tumor cells. The exact amount required will vary from subject to subject, depending on the species, age, and general condition of the subject, the severity of the infection, the particular anticancer agent, its mode of administration, and the like. The anticancer compounds of the invention are preferably formulated in dosage unit form for ease of administration and uniformity of dosage. The expression "dosage unit form" as used herein refers to a physically discrete unit of anticancer agent appropriate for the patient to be treated. It will be understood, however, that the total daily usage of the compounds and compositions of the present invention will be decided by the attending physician within the scope of sound medical judgment. The specific therapeutically effective dose level for any particular patient or organism will depend upon a variety of factors including the disorder

being treated and the severity of the disorder; the activity of the specific compound employed; the specific composition employed; the age, body weight, general health, sex and diet of the patient; the time of administration, route of administration, and rate of excretion of the specific compound employed; the duration of the treatment; drugs used in combination or coincidental with the specific compound employed; and like factors well known in the medical arts.

Furthermore, after formulation with an appropriate pharmaceutically acceptable carrier in a desired dosage, the pharmaceutical compositions of this invention can be administered to humans and other animals orally, rectally, parenterally, intracisternally, intravaginally, intraperitoneally, topically (as by powders, ointments, or drops), buccally, as an oral or nasal spray, or the like, depending on the severity of the infection being treated. In certain embodiments, the compounds of the invention may be administered at dosage levels of about 0.001 mg/kg to about 50 mg/kg, from about 0.01 mg/kg to about 25 mg/kg, or from about 0.1 mg/kg to about 10 mg/kg of subject body weight per day, one or more times a day, to obtain the desired therapeutic effect. I will also be appreciated that dosages smaller than 0.001 mg/kg or greater than 50 mg/kg (for example 50-100 mg/kg) can be administered to a subject. In certain embodiments, compounds are administered orally or parenterally.

Liquid dosage forms for oral administration include, but are not limited to, pharmaceutically acceptable emulsions, microemulsions, solutions, suspensions, syrups and elixirs. In addition to the active compounds, the liquid dosage forms may contain inert diluents commonly used in the art such as, for example, water or other solvents, solubilizing agents and emulsifiers such as ethyl alcohol, isopropyl alcohol, ethyl carbonate, ethyl acetate, benzyl alcohol, benzyl benzoate, propylene glycol, 1,3-butylene glycol, dimethylformamide, oils (in particular, cottonseed, groundnut, corn, germ, olive, castor, and sesame oils), glycerol, tetrahydrofurfuryl alcohol, polyethylene glycols and fatty acid esters of sorbitan, and mixtures thereof. Besides inert diluents, the oral compositions can also include adjuvants such as wetting agents, emulsifying and suspending agents, sweetening, flavoring, and perfuming agents.

Injectable preparations, for example, sterile injectable aqueous or oleaginous suspensions may be formulated according to the known art using suitable dispersing or wetting agents and suspending agents. The sterile injectable preparation may also be a sterile injectable solution, suspension or emulsion in a nontoxic parenterally acceptable diluent or solvent, for example, as a solution in 1,3-butanediol. Among the acceptable vehicles and solvents that may be employed are

water, Ringer's solution, U.S.P. and isotonic sodium chloride solution. In addition, sterile, fixed oils are conventionally employed as a solvent or suspending medium. For this purpose any bland fixed oil can be employed including synthetic mono- or diglycerides. In addition, fatty acids such as oleic acid are used in the preparation of injectables.

5       The injectable formulations can be sterilized, for example, by filtration through a bacterial-retaining filter, or by incorporating sterilizing agents in the form of sterile solid compositions which can be dissolved or dispersed in sterile water or other sterile injectable medium prior to use.

10       In order to prolong the effect of a drug, it is often desirable to slow the absorption of the drug from subcutaneous or intramuscular injection. This may be accomplished by the use of a liquid suspension of crystalline or amorphous material with poor water solubility. The rate of absorption of the drug then depends upon its rate of dissolution which, in turn, may depend upon crystal size and crystalline form. Alternatively, delayed absorption of a parenterally administered drug form is accomplished by dissolving or suspending the drug in an oil vehicle. Injectable depot forms are made by forming microencapsule matrices of the drug in biodegradable polymers such as polylactide-polyglycolide. Depending upon the ratio of drug to polymer and the nature of the particular polymer employed, the rate of drug release can be controlled. Examples of other biodegradable polymers include poly(orthoesters) and poly(anhydrides). Depot injectable formulations are also prepared by entrapping the drug in liposomes or microemulsions which are compatible with body tissues.

20       Compositions for rectal or vaginal administration are preferably suppositories which can be prepared by mixing the compounds of this invention with suitable non-irritating excipients or carriers such as cocoa butter, polyethylene glycol or a suppository wax which are solid at ambient temperature but liquid at body temperature and therefore melt in the rectum or vaginal cavity and release the active compound.

25       Solid dosage forms for oral administration include capsules, tablets, pills, powders, and granules. In such solid dosage forms, the active compound is mixed with at least one inert, pharmaceutically acceptable excipient or carrier such as sodium citrate or dicalcium phosphate and/or a) fillers or extenders such as starches, lactose, sucrose, glucose, mannitol, and silicic acid, 30   b) binders such as, for example, carboxymethylcellulose, alginates, gelatin, polyvinylpyrrolidinone, sucrose, and acacia, c) humectants such as glycerol, d) disintegrating

agents such as agar--agar, calcium carbonate, potato or tapioca starch, alginic acid, certain silicates, and sodium carbonate, e) solution retarding agents such as paraffin, f) absorption accelerators such as quaternary ammonium compounds, g) wetting agents such as, for example, cetyl alcohol and glycerol monostearate, h) absorbents such as kaolin and bentonite clay, and i) lubricants such as talc, calcium stearate, magnesium stearate, solid polyethylene glycols, sodium lauryl sulfate, and mixtures thereof. In the case of capsules, tablets and pills, the dosage form may also comprise buffering agents.

Solid compositions of a similar type may also be employed as fillers in soft and hard-filled gelatin capsules using such excipients as lactose or milk sugar as well as high molecular weight polyethylene glycols and the like. The solid dosage forms of tablets, dragees, capsules, pills, and granules can be prepared with coatings and shells such as enteric coatings and other coatings well known in the pharmaceutical formulating art. They may optionally contain opacifying agents and can also be of a composition that they release the active ingredient(s) only, or preferentially, in a certain part of the intestinal tract, optionally, in a delayed manner. Examples of embedding compositions which can be used include polymeric substances and waxes. Solid compositions of a similar type may also be employed as fillers in soft and hard-filled gelatin capsules using such excipients as lactose or milk sugar as well as high molecular weight polyethylene glycols and the like.

The active compounds can also be in micro-encapsulated form with one or more excipients as noted above. The solid dosage forms of tablets, dragees, capsules, pills, and granules can be prepared with coatings and shells such as enteric coatings, release controlling coatings and other coatings well known in the pharmaceutical formulating art. In such solid dosage forms the active compound may be admixed with at least one inert diluent such as sucrose, lactose or starch. Such dosage forms may also comprise, as is normal practice, additional substances other than inert diluents, e.g., tableting lubricants and other tableting aids such as magnesium stearate and microcrystalline cellulose. In the case of capsules, tablets and pills, the dosage forms may also comprise buffering agents. They may optionally contain opacifying agents and can also be of a composition that they release the active ingredient(s) only, or preferentially, in a certain part of the intestinal tract, optionally, in a delayed manner. Examples of embedding compositions which can be used include polymeric substances and waxes.

Dosage forms for topical or transdermal administration of a compound of this invention include ointments, pastes, creams, lotions, gels, powders, solutions, sprays, inhalants or patches. The active component is admixed under sterile conditions with a pharmaceutically acceptable carrier and any needed preservatives or buffers as may be required. Ophthalmic formulation, ear drops, and eye drops are also contemplated as being within the scope of this invention. Additionally, the present invention contemplates the use of transdermal patches, which have the added advantage of providing controlled delivery of a compound to the body. Such dosage forms can be made by dissolving or dispensing the compound in the proper medium. Absorption enhancers can also be used to increase the flux of the compound across the skin. The rate can be controlled by either providing a rate controlling membrane or by dispersing the compound in a polymer matrix or gel.

As discussed above, in one aspect, the compounds of the present invention are useful as anticancer agents, and thus may be useful in the treatment of cancer, by effecting tumor cell death or inhibiting the growth of tumor cells. In general, the inventive anticancer agents are useful in the treatment of cancers and other proliferative disorders, including, but not limited to glioblastoma, retinoblastoma, breast cancer, cervical cancer, colon and rectal cancer, leukemia, lung cancer (including, but not limited to small cell lung cancer), melanoma, multiple myeloma, non-Hodgkin's lymphoma, ovarian cancer, pancreatic cancer, prostate cancer, and gastric cancer, to name a few. In certain embodiments, the inventive anticancer agents are active against cancers comprising Rb negative cells, including, but not limited to small cell lung cancer, retinoblastoma and glioblastoma. In certain other embodiments, the inventive anticancer agents are active against breast cancer cells, leukemia cells and melanoma cells, and thus are useful for the treatment of breast cancer, leukemias (e.g., myeloid, lymphocytic, myelocytic and lymphoblastic leukemias) and malignant melanomas. In still other embodiments, the inventive anticancer agents are active against solid tumors and also kill and/or inhibit the growth of multidrug resistant cells (MDR cells).

It will also be appreciated that the compounds and pharmaceutical compositions of the present invention can be employed in combination therapies, that is, the compounds and pharmaceutical compositions can be administered concurrently with, prior to, or subsequent to, one or more other desired therapeutics or medical procedures. The particular combination of therapies (therapeutics or procedures) to employ in a combination regimen will take into account



compatibility of the desired therapeutics and/or procedures and the desired therapeutic effect to be achieved. It will also be appreciated that the therapies employed may achieve a desired effect for the same disorder (for example, an inventive compound may be administered concurrently with another anticancer agent), or they may achieve different effects (e.g., control of any adverse effects).

For example, other therapies or anticancer agents that may be used in combination with the inventive anticancer agents of the present invention include surgery, radiotherapy (in but a few examples,  $\gamma$ -radiation, neutron beam radiotherapy, electron beam radiotherapy, proton therapy, brachytherapy, and systemic radioactive isotopes, to name a few), endocrine therapy, biologic response modifiers (interferons, interleukins, and tumor necrosis factor (TNF) to name a few), hyperthermia and cryotherapy, agents to attenuate any adverse effects (e.g., antiemetics), and other approved chemotherapeutic drugs, including, but not limited to, alkylating drugs (mechlorethamine, chlorambucil, Cyclophosphamide, Melphalan, Ifosfamide), antimetabolites (Methotrexate), purine antagonists and pyrimidine antagonists (6-Mercaptopurine, 5-Fluorouracil, Cytarabine, Gemcitabine), spindle poisons (Vinblastine, Vincristine, Vinorelbine, Paclitaxel), podophyllotoxins (Etoposide, Irinotecan, Topotecan), antibiotics (Doxorubicin, Bleomycin, Mitomycin), nitrosoureas (Carmustine, Lomustine), inorganic ions (Cisplatin, Carboplatin), enzymes (Asparaginase), and hormones (Tamoxifen, Leuprolide, Flutamide, and Megestrol), to name a few. Additionally, the present invention also encompasses the use of certain cytotoxic or anticancer agents currently in clinical trials and which may ultimately be approved by the FDA (including, but not limited to, epothilones and analogues thereof and geldanamycins and analogues thereof). For a more comprehensive discussion of updated cancer therapies see, <http://www.nci.nih.gov/>, a list of the FDA approved oncology drugs at <http://www.fda.gov/cder/cancer/druglistframe.htm>, and The Merck Manual, Seventeenth Ed. 1999, the entire contents of which are hereby incorporated by reference.

#### ***TREATMENT KITS***

In other embodiments, the present invention relates to a kit for conveniently and effectively carrying out the methods in accordance with the present invention. In general, the pharmaceutical pack or kit comprises one or more containers filled with one or more of the ingredients of the pharmaceutical compositions of the invention. Such kits are especially suited

for the delivery of solid oral forms such as tablets or capsules. Such a kit preferably includes a number of unit dosages, and may also include a card having the dosages oriented in the order of their intended use. If desired, a memory aid can be provided, for example in the form of numbers, letters, or other markings or with a calendar insert, designating the days in the treatment schedule in which the dosages can be administered. Alternatively, placebo dosages, or calcium dietary supplements, either in a form similar to or distinct from the substituted purine dosages, can be included to provide a kit in which a dosage is taken every day. Optionally associated with such container(s) can be a notice in the form prescribed by a governmental agency regulating the manufacture, use or sale of pharmaceutical products, which notice reflects approval by the agency of manufacture, use or sale for human administration.

### ***EQUIVALENTS***

The representative examples that follow are intended to help illustrate the invention, and are not intended to, nor should they be construed to, limit the scope of the invention. Indeed, various modifications of the invention and many further embodiments thereof, in addition to those shown and described herein, will become apparent to those skilled in the art from the full contents of this document, including the examples which follow and the references to the scientific and patent literature cited herein. It should further be appreciated that the contents of those cited references are incorporated herein by reference to help illustrate the state of the art.

The following examples contain important additional information, exemplification and guidance that can be adapted to the practice of this invention in its various embodiments and the equivalents thereof.

### ***EXEMPLIFICATION***

**Methyl (3*S*)-3-(*tert*-butyldiphenylsilyloxy)-butyrate (9a).** A solution of methyl (*R*)-3-hydroxy-butyrate (**9**, 1.9 g, 16.0 mmol) in 20 mL of anhydrous CH<sub>2</sub>Cl<sub>2</sub> at 0 °C was treated with imidazole (2.2 g, 32.0 mmol) in one portion. After 10 min, *tert*-butyldiphenyl-chlorosilane was added dropwise and the resulting exothermic reaction was allowed to warm to 25 °C and stirred 4 h. The reaction mixture was diluted with Et<sub>2</sub>O (200 mL), and washed successively with 5% aqueous NH<sub>4</sub>Cl (100 mL) and saturated aqueous NaCl (100 mL). The organic layer was dried (MgSO<sub>4</sub>), concentrated under reduced pressure, and purified by flash chromatography (SiO<sub>2</sub>, 6 ×

12 cm, 0–5% EtOAc/hexanes gradient) to provide **9a** (5.6 g, 97%) as a colorless oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  7.69 (m, 4H), 7.41 (m, 6H), 4.31 (m, 1H), 3.60 (s, 3H), 2.57 (dd,  $J$  = 14.6, 7.1 Hz, 1H), 2.40 (dd,  $J$  = 14.6, 5.7 Hz, 1H), 1.12 (d,  $J$  = 6.1 Hz, 3H), 1.03 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  171.8, 135.8, 135.8, 134.2, 133.8, 129.6, 129.5, 127.5, 127.5, 66.8, 51.4, 44.4, 26.8, 23.6, 19.1; IR (film)  $\nu_{\text{max}}$  2953, 2858, 1742, 1428, 1378, 1303 1194, 1111  $\text{cm}^{-1}$ ; ESIMS  $m/z$  379 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{21}\text{H}_{28}\text{NaO}_3\text{Si}$  requires 379). (–)-(3*R*)-**9a**:  $[\alpha]_{\text{D}}^{25}$  –5.1 ( $c$  0.67,  $\text{CH}_2\text{Cl}_2$ ).

(3*S*)-3-(*tert*-Butyldiphenylsilyloxy)-butan-1-al (**10**). A solution of **9a** (5.6 g, 15.7 mmol) in 100 mL of anhydrous toluene at –78 °C was treated dropwise with DIBAL-H (17.3 mL, 17.3 mmol). After 10 min, the reaction was successively treated with MeOH (1.0 mL) and saturated aqueous  $\text{NH}_4\text{Cl}$  (10.0 mL). The resulting mixture was stirred 1 h at 25 °C followed by addition of  $\text{Et}_2\text{O}$  (200 mL) and after 1 h further stirring, addition of  $\text{MgSO}_4$  (3 g). The resulting suspension was filtered through celite and concentrated under reduced pressure to provide **10** (5.0 g, 92%) which was carried on crude as a colorless oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  9.77 (t,  $J$  = 2.5 Hz, 1H), 7.69 (m, 4H), 7.44 (m, 6H), 4.34 (m, 1H), 2.50 (m, 2H), 1.18 (d,  $J$  = 6.2 Hz, 3H), 1.04 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  202.0, 135.8, 135.8, 134.0, 133.5, 129.8, 129.7, 127.7, 127.5, 65.6, 52.7, 26.8, 23.8, 19.1; IR (film)  $\nu_{\text{max}}$  2962, 2858, 1728, 1427, 1111, 1024  $\text{cm}^{-1}$ ; ESIMS  $m/z$  349 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{20}\text{H}_{26}\text{NaO}_2\text{Si}$  requires 349).

Ethyl (5*S*)-5-(*tert*-butyldiphenylsilyloxy)-hex-2-enoate (**11**). To a stirred suspension of LiCl (0.78 g, 18.4 mmol), triethylphosphonoacetate (3.65 mL, 18.4 mmol) and diisopropylethylamine (2.67 mL, 15.3 mmol) in anhydrous  $\text{CH}_3\text{CN}$  (80 mL) at 25 °C was added a solution of **10** (5.0 g, 15.3 mmol) in anhydrous  $\text{CH}_3\text{CN}$ . After 12 h, the reaction mixture was diluted with  $\text{Et}_2\text{O}$  (200 mL), and washed successively with  $\text{H}_2\text{O}$  (200 mL) and saturated aqueous NaCl (200 mL). The organic layer was dried ( $\text{MgSO}_4$ ), and concentrated under reduced pressure. Flash chromatography ( $\text{SiO}_2$ , 6 × 12 cm, 4% EtOAc/hexanes) provided **11** (5.8 g, 95%) as a colorless oil:  $\delta$  7.70 (m, 4H), 7.43 (m, 6H), 6.94 (dt,  $J$  = 15.3, 7.5 Hz, 1H), 5.78 (d,  $J$  = 15.3 Hz, 1H), 4.19 (q,  $J$  = 7.0 Hz, 2H), 3.98 (m, 1H), 2.33 (m, 2H), 1.30 (t,  $J$  = 7.0 Hz, 3H), 1.11 (d,  $J$  = 7.5 Hz, 3H), 1.06 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  166.4, 145.5, 135.8, 135.8, 134.3,

133.9, 129.6, 129.6, 127.6, 127.5, 123.4, 68.5, 60.1, 42.1, 26.9, 23.2, 19.2, 14.2; IR (film)  $\nu_{\text{max}}$  2964, 1722, 1654, 1427, 1265, 1176, 1111  $\text{cm}^{-1}$ ; ESIMS  $m/z$  419 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{24}\text{H}_{32}\text{NaO}_3\text{Si}$  requires 419). (+)-(5*R*)-11:  $[\alpha]^{25}_{\text{D}} +31$  ( $c$  0.71,  $\text{CH}_2\text{Cl}_2$ ).

5        **(5*S*)-5-(*tert*-Butyldiphenylsilyloxy)-hex-2-en-1-ol (12).** A solution of **11** (5.6 g, 14.1 mmol) in 100 mL of anhydrous  $\text{CH}_2\text{Cl}_2$  at  $-20^\circ\text{C}$  was treated dropwise with DIBAL-H (30.0 mL, 30.0 mmol). After 2 h, the reaction was warmed to  $0^\circ\text{C}$  for 1 h and then to  $25^\circ\text{C}$  for 2 h. The reaction was then treated with sat. aqueous  $\text{NH}_4\text{Cl}$  (10.0 mL) and stirred 1 h at  $25^\circ\text{C}$ . The resulting mixture was diluted with  $\text{Et}_2\text{O}$  (200 mL) and stirred 1 h at  $25^\circ\text{C}$  followed by addition  
10 of  $\text{MgSO}_4$  (3 g). The suspension was filtered through celite and concentrated under reduced pressure. Flash chromatography ( $\text{SiO}_2$ ,  $6 \times 12$  cm, 0–30%  $\text{EtOAc}$ /hexanes) provided **12** (4.8 g, 96%) as a colorless oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  7.69 (m, 4H), 7.42 (m, 6H), 5.57 (m, 2H), 4.01 (d,  $J = 4.1$  Hz, 2H), 3.90 (m, 1H), 2.18 (m, 2H), 1.09 (d,  $J = 6.0$  Hz, 3H), 1.06 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  135.8, 135.8, 134.7, 134.6, 131.3, 129.4, 129.3, 127.5, 127.4, 69.2, 63.6, 42.2, 26.9, 23.2, 19.2; IR (film)  $\nu$  3333, 2857, 1428, 1111, 997  $\text{cm}^{-1}$ ; ESIMS  $m/z$  377 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{22}\text{H}_{30}\text{NaO}_2\text{Si}$  requires 377). (+)-(5*R*)-12:  $[\alpha]^{25}_{\text{D}} +20$  ( $c$  0.71,  $\text{CH}_2\text{Cl}_2$ ).

20        **(2*R*, 3*R*, 5*S*)-5-(*tert*-Butyldiphenylsilyl)-2,3-(oxiranyl)-hexan-1-ol (13).** To a suspension of flame dried 5Å molecular sieves in 20 mL of anhydrous  $\text{CH}_2\text{Cl}_2$  at  $-30^\circ\text{C}$  was added in sequential fashion: (D)-(-)-diethyl tartrate (0.120  $\mu\text{L}$ , 0.67 mmol),  $\text{Ti}(\text{O}i\text{Pr})_4$  (0.170  $\mu\text{L}$ , 0.56 mmol) and *tert*-butylhydroperoxide (2.5 mL, 3.4 M solution in toluene, 8.5 mmol). The reaction mixture was stirred at  $-30^\circ\text{C}$  for 30 min before the addition of **12** (2.0 g, 5.6 mmol) in anhydrous  $\text{CH}_2\text{Cl}_2$  (3.0 mL). The reaction was then stored in a  $-30^\circ\text{C}$  freezer for 12 h without stirring. The reaction was then warmed to  $-20^\circ\text{C}$  and quenched by the addition of 10%  
25 NaOH/saturated aqueous NaCl (2.0 mL). Upon further warming to  $-10^\circ\text{C}$ , the reaction was diluted with  $\text{Et}_2\text{O}$  (50 mL), treated with  $\text{MgSO}_4$  (2.0 g) and celite (500 mg) and stirred an addition 15 min. The reaction was allowed to settle for 1 h before filtration through celite using  $\text{Et}_2\text{O}$ . Concentration under reduced pressure, followed by flash chromatography ( $\text{SiO}_2$ ,  $6 \times 8$  cm, 30%  $\text{EtOAc}$ /hexanes) afforded **13** (1.9 g, 90%) as a colorless oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$   
30 7.69 (m, 4H), 7.40 (m, 6H), 4.09 (m, 1H), 3.84 (ddd,  $J = 12.6, 5.7, 2.6$  Hz, 1H), 3.55 (ddd,  $J =$

12.6, 7.2, 4.5 Hz, 1H), 3.03 (dt,  $J$  = 5.9, 2.3 Hz, 1H), 2.82 (m, 1H), 1.75 (m, 2H), 1.63 (dt,  $J$  = 13.9, 5.8 Hz, 1H), 1.14 (d,  $J$  = 6.2 Hz, 3H), 1.06 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  135.8, 135.8, 134.4, 133.9, 129.6, 129.5, 127.6, 127.5, 67.7, 61.5, 58.6, 53.2, 41.6, 26.9, 23.8, 19.2; IR (film) 3426, 2930, 2856, 1472, 1427, 1378, 1361, 1111  $\text{cm}^{-1}$ ; ESIMS  $m/z$  393 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{22}\text{H}_{30}\text{NaO}_3\text{Si}$  requires 393). (+)-(2*R*, 3*R*, 5*S*)-**13**:  $[\alpha]_D^{25} +14$  ( $c$  1.1,  $\text{CH}_2\text{Cl}_2$ ).

(2*R*, 3*R*, 5*S*)-5-(*tert*-Butyldiphenylsilyl)-2,3-(oxiranyl)-hexan-1-al (**13a**). A solution of **13** (1.8 g, 4.9 mmol) and  $\text{Et}_3\text{N}$  (3.4 mL, 24 mmol) in of 4:1  $\text{CH}_2\text{Cl}_2/\text{DMSO}$  (50 mL) at 0 °C was treated with  $\text{SO}_3 \cdot \text{pyridine}$  (2.9 g, 17 mmol) and stirred 30 min at 25 °C. The reaction was diluted with EtOAc (200 mL), washed sequentially with  $\text{H}_2\text{O}$  (3 x 50 mL), saturated aqueous  $\text{NaHCO}_3$  (50 mL), and saturated aqueous  $\text{NaCl}$  (50 mL) and dried ( $\text{MgSO}_4$ ). Evaporation of the solvents under reduced pressure directly provided pure **13a** (1.6 g, 90%) as a colorless oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  8.93 (d,  $J$  = 6.3 Hz, 1H), 7.72 (m, 4H), 7.45 (m, 6H), 4.13 (m, 1H), 3.32 (dt,  $J$  = 6.6, 1.9 Hz, 1H), 3.06 (dd,  $J$  = 6.4, 1.9 Hz, 1H), 1.86 (m, 1H), 1.68 (m, 1H), 1.17 (d,  $J$  = 6.1 Hz, 3H), 1.11 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  198.1, 135.7, 135.7, 134.0, 133.7, 129.7, 129.6, 127.6, 127.5, 67.3, 59.2, 54.0, 41.0, 26.9, 23.7, 19.1; IR (film) 3070, 2892, 2857, 1729, 1427, 1111  $\text{cm}^{-1}$ ; ESIMS  $m/z$  391 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{22}\text{H}_{28}\text{NaO}_3\text{Si}$  requires 391). (–)-(2*R*, 3*R*, 5*S*)-**13a**:  $[\alpha]_D^{25} -42$  ( $c$  0.93,  $\text{CH}_2\text{Cl}_2$ ).

(3*R*, 4*R*, 6*S*)-6-(*tert*-Butyldiphenylsilyl)-3,4-(oxiranyl)-hept-1-ene (**14**). Methyltriphenylphosphonium bromide (1.93 g, 5.42 mmol) and a stir bar were added to a flask and thoroughly flame dried. Anhydrous THF (30 mL) was added via cannula under Ar and the resulting suspension was cooled to 0 °C prior to the addition of  $\text{NaHMDS}$  (5.13 mL, 5.13 mmol, 1.0 M in THF) in dropwise fashion. The resulting gold suspension was warmed to 25 °C for 30 min and then recooled to –10 °C prior to the addition of **13a** (1.05 g, 2.85 mmol) in anhydrous THF (5.0 mL). The reaction was complete within 10 min, and was quenched by the addition of saturated aqueous  $\text{NH}_4\text{Cl}$  (50 mL). The mixture was then extracted with  $\text{Et}_2\text{O}$  (100 mL), washed sequentially with  $\text{H}_2\text{O}$  (50 mL) and saturated aqueous  $\text{NaCl}$  (50 mL) and dried ( $\text{MgSO}_4$ ). Removal of the solvents under reduced pressure followed by flash chromatography ( $\text{SiO}_2$ , 6 x 6 cm, 5% EtOAc/hexanes) provided **14** (0.85 g, 82%) as a colorless oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  7.68 (m, 4H), 7.42 (m, 6H), 5.53 (ddd,  $J$  = 17.3, 10.1, 7.5 Hz, 1H), 5.41 (dd,  $J$  = 17.3,

1.5 Hz, 1H), 5.25 (dd,  $J = 10.1, 1.5$  Hz, 1H), 4.07 (m, 1H), 2.99 (dd,  $J = 7.4, 2.0$  Hz, 1H), 2.91 (dt,  $J = 5.6, 2.0$  Hz, 1H), 1.70 (m, 1H), 1.10 (d,  $J = 6.1$  Hz, 3H), 1.06 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  135.8, 135.8, 134.4, 134.0, 129.6, 129.5, 127.6, 127.5, 119.1, 67.6, 59.0, 57.7, 42.0, 26.9, 23.8, 19.2; IR (film) 2963, 2856, 1472, 1377, 1111  $\text{cm}^{-1}$ ; ESIMS  $m/z$  389 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{23}\text{H}_{30}\text{NaO}_2\text{Si}$  requires 389). (+)-(3*R*, 4*R*, 6*S*)-**14**:  $[\alpha]_D^{25} -4.3$  ( $c$  1.0,  $\text{CH}_2\text{Cl}_2$ ).

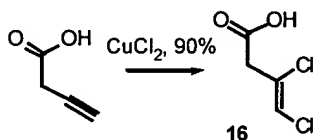
(3*R*, 4*R*, 6*S*)-6-(Hydroxy)-3,4-(oxiranyl)-hept-1-ene (**8**). To a solution of **14** (0.78 g, 2.13 mmol) in 20 mL of anhydrous THF at 25 °C was treated with  $n\text{Bu}_4\text{NF}$  (2.55 mL, 1.0 M solution in THF, 2.55 mmol) and stirred 4 h. The reaction was concentrated under reduced pressure. Flash chromatography ( $\text{SiO}_2$ ,  $3 \times 8$  cm, 0–50% EtOAc/hexanes gradient) provided **8** (0.243 g, 89%) as a colorless and volatile oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  5.57 (m, 1H), 5.47 (dd,  $J = 17.1, 1.3$  Hz, 1H), 5.28 (dd,  $J = 10.0, 1.3$  Hz), 4.01 (m, 1H), 3.22 (dd,  $J = 7.3, 2.1$  Hz, 1H), 3.04 (m, 1H), 2.10 (br s, 1H), 1.87 (m, 1H), 1.63 (m, 1H), 1.23 (d,  $J = 6.3$  Hz, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  135.3, 119.5, 65.4, 58.3, 58.0, 40.1, 23.6; IR (film) 3422, 2968, 2931, 1456, 1407, 1375, 1319  $\text{cm}^{-1}$ ; ESIMS  $m/z$  151 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_7\text{H}_{12}\text{NaO}_2$  requires 151). (+)-(3*R*, 4*R*, 6*S*)-**8**:  $[\alpha]_D^{25} +55$  ( $c$  1.2,  $\text{CH}_2\text{Cl}_2$ ).

(*E,E*)-2-(1,3-Pentadien-1-yl)-1,3-dithiane (**6**). To a stirred solution of 1,3 propanedithiol (5.0 mL, 50.0 mmol),  $\text{MgClO}_4$  (0.6 g, 2.5 mmol) and  $\text{H}_2\text{SO}_4$  (20  $\mu\text{L}$ ) in anhydrous  $\text{CHCl}_3$  (80 mL) at  $-10$  °C was added hexadienal (**15**, 5.5 mL, 50.0 mmol) in anhydrous  $\text{CHCl}_3$  (20 mL) in dropwise fashion via cannula. The reaction stirred at 25 °C for 2 h before being poured into cold 10% KOH (100 mL) followed by stirring for 15 min. The organic layer was separated, washed sequentially with 10% KOH (50 mL),  $\text{H}_2\text{O}$  (50 mL), dried ( $\text{MgSO}_4$ ) and filtered through celite. Concentration under reduced pressure was followed by flash chromatography ( $\text{SiO}_2$ ,  $6 \times 12$  cm, 4% EtOAc/hexanes) to provide **6** (6.4 g, 67%) as a slightly yellow oil (9:1 *E:Z*):  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  6.33 (dd,  $J = 15.1, 10.4$  Hz, 1H), 6.01 (ddd,  $J = 15.1, 10.4, 1.3$  Hz, 1H), 5.74 (dq,  $J = 15.1, 6.6$  Hz, 1H), 5.59 (dd,  $J = 15.1, 7.8$  Hz, 1H), 4.64 (d,  $J = 7.8$  Hz, 1H), 2.85 (m, 4H), 2.07 (m, 1H), 1.83 (m, 1H), 1.74 (d,  $J = 6.6$  Hz, 3H), 1.06 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  134.2, 131.9, 130.7, 126.9, 47.9, 30.7, 25.6, 18.6; IR (film)  $\nu_{\text{max}}$  3018, 2899, 1421, 1274,

986 cm<sup>-1</sup>; ESIMS *m/z* 209 ([M + Na<sup>+</sup>], C<sub>9</sub>H<sub>14</sub>NaS<sub>2</sub> requires 209). *Z* isomer: <sup>1</sup>H NMR δ 6.67 (dd, *J* = 15.1, 11.0 Hz, 1H), 4.70 (d, 7.8 Hz, 1H).

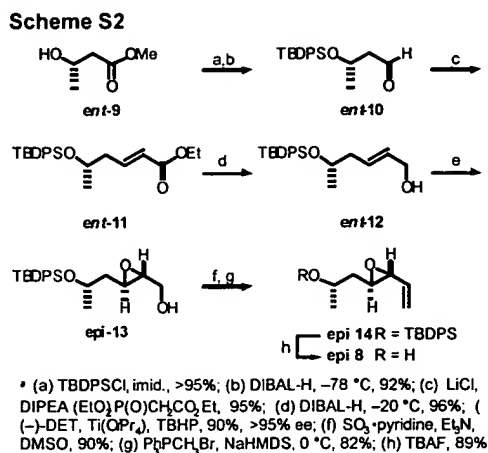
**2-(Chloromethyl)-4,6-dimethoxy-benzaldehyde (19).** POCl<sub>3</sub> (11.1 mL, 119.0 mmol) was dropped slowly via cannula into anhydrous DMF (17.0 mL) at 0 °C, and the resulting solution was stirred at 25 °C for 20 min. A solution of 3,5-dimethoxy-benzylalcohol (5.0 g, 29.0 mmol) in anhydrous DMF (3.0 mL) was added slowly and the reaction was warmed to 75 °C for 2 h. The reaction was then allowed to cool to 25 °C and poured into ice water (250 mL). The mixture was neutralized with 2N NaOH to pH = 7 and stirred 1.5 h at 25 °C. The resulting precipitate was filtered, washed thoroughly with H<sub>2</sub>O (5 x 50 mL) and dried under vacuum to give **19** (6.0 g, 93%): <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 10.46 (s, 1H), 6.75 (d, *J* = 2.1 Hz, 1H), 6.44 (d, *J* = 2.1 Hz, 1H), 5.10 (s, 2H), 3.91 (s, 3H), 3.91 (s, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz) δ 189.9, 165.2, 165.0, 142.3, 115.9, 107.5, 97.6, 56.0, 55.6, 44.8; IR (film) ν<sub>max</sub> 2980, 2884, 1670, 1597, 1327, 1204, 1150 cm<sup>-1</sup>; ESIMS *m/z* 237 ([M + Na<sup>+</sup>], C<sub>10</sub>H<sub>11</sub>NaO<sub>3</sub>Cl requires 237).

Scheme S1



**3,4-Dichlorobut-3-enoic acid (16).** (Uemura, S. *et al. J. Chem. Soc. Perkin I* 1977, 676). 3-Butynoic acid (6.00 g, 71.0 mmol), CuCl<sub>2</sub> (144 g, 1.07 mol), and LiCl (45.0 g, 1.07 mol) were refluxed in CH<sub>3</sub>CN (520 mL) for 12 h. The solvent was removed, and the resulting oil was filtered through celite with EtOAc (200 mL). The solution was then washed with H<sub>2</sub>O (100 mL), sat. aqueous NaCl (100 mL) and dried (MgSO<sub>4</sub>). Flash column chromatography (SiO<sub>2</sub>, 6 x 10 cm, 10–20% Et<sub>2</sub>O/hexanes) provided acid **16** (10.6 g, 96%) as a light green oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 11.70 (br s, 1H), 6.41 (s, 1H), 3.76 (s, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz) δ 174.7, 127.2, 118.7, 39.3; IR (film) ν<sub>max</sub> 3090, 1716, 1652, 1558, 1540 cm<sup>-1</sup>; ESIMS *m/z* 177 ([M + Na<sup>+</sup>], C<sub>4</sub>H<sub>3</sub>NaO<sub>2</sub>Cl<sub>2</sub> requires 177).

Epimer at the secondary methyl center was also made and covered within:



**(2R, 3R, 5R)-5-(tert-Butyldiphenylsilyl)-2,3-(oxiranyl)-hexan-1-ol (epi-13).** Same as for **13**: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 7.71 (m, 4H), 7.43 (m, 6H), 4.07 (m, 1H), 3.85 (ddd, *J* = 12.6, 5.7, 2.6 Hz, 1H), 3.55 (ddd, *J* = 12.6, 7.2, 4.5 Hz, 1H), 3.08 (dt, *J* = 5.9, 2.3 Hz, 1H), 2.84 (m, 1H), 1.81 (m, 2H), 1.74 (dt, *J* = 13.9, 5.8 Hz, 1H), 1.17 (d, *J* = 6.2 Hz, 3H), 1.07 (s, 9H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz) δ 135.8, 135.8, 134.3, 134.0, 129.6, 129.6, 127.6, 127.5, 67.4, 61.6, 58.1, 52.9, 41.1, 26.9, 23.2, 19.1; IR (film) 3446, 2931, 2857, 1472, 1427, 1111 cm<sup>-1</sup>; ESIMS *m/z* 393 ([M + Na<sup>+</sup>], C<sub>22</sub>H<sub>30</sub>NaO<sub>3</sub>Si requires 393). (+)-(2R, 3R, 5R)-**epi-13**: [α]<sub>D</sub><sup>25</sup> +23 (c 0.8, CH<sub>2</sub>Cl<sub>2</sub>).

**(2R, 3R, 5R)-5-(tert-Butyldiphenylsilyl)-2,3-(oxiranyl)-hexan-1-al (epi-13a).** Same as for **13a**: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 8.96 (d, *J* = 6.3 Hz, 1H), 7.68 (m, 4H), 7.45 (m, 6H), 4.09 (m, 1H), 3.39 (dt, *J* = 6.6, 1.9 Hz, 1H), 3.06 (dd, *J* = 6.3, 1.9 Hz, 1H), 1.75 (m, 2H), 1.19 (d, *J* = 6.2 Hz, 3H), 1.08 (s, 9H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 500 MHz) δ 198.2, 135.8, 135.7, 134.0, 133.7, 129.8, 129.7, 127.7, 127.6, 67.2, 58.6, 53.9, 40.6, 26.9, 23.2, 19.1; IR (film) 2931, 1733, 1472, 1111 cm<sup>-1</sup>; ESIMS *m/z* 391 ([M + Na<sup>+</sup>], C<sub>22</sub>H<sub>28</sub>NaO<sub>3</sub>Si requires 391). (-)-(2R, 3R, 5R)-**epi-13a**: [α]<sub>D</sub><sup>25</sup> -15 (c 0.54, CH<sub>2</sub>Cl<sub>2</sub>).

**(3R, 4R, 6R)-6-(tert-Butyldiphenylsilyl)-3,4-(oxiranyl)-hept-1-ene (epi-14).** Same as for **14**: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 7.69 (m, 4H), 7.45 (m, 6H), 5.58 (ddd, *J* = 17.3, 9.9, 7.4



Hz, 1H), 5.45 (dd,  $J = 17.3, 1.6$  Hz, 1H), 5.28 (dd,  $J = 9.9, 1.6$  Hz, 1H), 4.09 (m, 1H), 3.06 (dd,  $J = 7.4, 2.1$  Hz, 1H), 3.00 (dt,  $J = 5.8, 2.1$  Hz, 1H), 1.82 (dt,  $J = 13.9, 5.8$  Hz, 1H), 1.66 (dt, 3.9, 5.8 Hz, 1H), 1.18 (d,  $J = 6.2$  Hz, 3H), 1.08 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  135.8, 135.8, 134.4, 134.0, 129.6, 129.5, 127.6, 127.5, 119.1, 67.5, 58.5, 57.3, 41.5, 26.9, 23.2, 19.2; IR (film) 2963, 2857, 1472, 1379, 1111  $\text{cm}^{-1}$ ; ESIMS  $m/z$  389 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{23}\text{H}_{30}\text{NaO}_2\text{Si}$  requires 389). (+)-(3*R*, 4*R*, 6*R*)-**epi-14**:  $[\alpha]_D^{25} +15$  ( $c$  0.67,  $\text{CH}_2\text{Cl}_2$ ).

(3*R*, 4*R*, 6*R*)-6-(Hydroxy)-3,4-(oxiranyl)-hept-1-ene (**epi-8**). Same as for **8**:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  5.36 (ddd,  $J = 17.0, 7.2, 3.0$  Hz, 1H), 5.26 (dd,  $J = 17.0, 1.8$  Hz, 1H), 5.07 (dd,  $J = 9.8, 1.8$  Hz, 1H), 3.87 (m, 1H), 2.92 (dd,  $J = 7.2, 2.2$  Hz, 1H), 2.77 (m, 1H), 2.0 (br s, 1H), 1.64 (dt,  $J = 14.2, 4.4$  Hz, 1H), 1.39 (dt,  $J = 14.2, 7.6$  Hz, 1H), 1.08 (d,  $J = 6.2$  Hz, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  135.2, 119.6, 66.4, 58.3, 58.2, 40.8, 23.4; IR (film) 3403, 2966, 1428, 1113  $\text{cm}^{-1}$ ; ESIMS  $m/z$  151 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_7\text{H}_{12}\text{NaO}_2$  requires 151). (+)-(3*R*, 4*R*, 6*R*)-**epi-8**:  $[\alpha]_D^{25} +18$  ( $c$  0.38,  $\text{CH}_2\text{Cl}_2$ ).

**Ester (17)**: A solution of alcohol **8** (100 mg, 0.78 mmol) and triphenylphosphine (204 mg, 0.78 mmol) in anhydrous THF (4.0 mL) was cooled to 0 °C, treated with DIAD (diisopropylazodicarboxylate) (157  $\mu\text{L}$ , 0.78 mmol) and stirred at 0 °C for 10 min. Acid **16** (0.121 g, 0.78 mmol) was then added in anhydrous THF (1.0 mL) and stirred 1 h at 25 °C. The reaction mixture was concentrated *in vacuo* and purified by chromatography on silica gel ( $\text{SiO}_2$ ,  $2 \times 8$  cm, 5% EtOAc/hexanes) to afford **17** as a colorless oil (0.152 mg, 73%):  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  6.36 (s, 1H), 5.56 (ddd,  $J = 17.2, 10.0, 7.4$  Hz, 1H), 5.47 (dd,  $J = 17.2, 1.8$  Hz, 1H), 5.29 (dd,  $J = 10.0, 1.8$  Hz, 1H), 5.17 (m, 1H), 3.57 (s, 2H), 3.10 (dd,  $J = 7.1, 2.0$  Hz, 1H), 2.92 (dt,  $J = 5.7, 2.0$  Hz, 1H), 1.85 (m, 2H), 1.34 (d,  $J = 6.3$  Hz, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  167.2, 135.5, 128.3, 119.8, 118.0, 70.3, 58.3, 57.0, 40.0, 38.2, 20.0; IR (film)  $\nu_{\text{max}}$  3087, 2984, 1739, 1331, 1257, 1180  $\text{cm}^{-1}$ ; ESIMS  $m/z$  287 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{11}\text{H}_{14}\text{NaO}_3\text{Cl}_2$  requires 287). (+)-(*R*, *R*)-**17**:  $[\alpha]_D^{25} +14$  ( $c$  1.7,  $\text{CH}_2\text{Cl}_2$ ).

**Allene (5)**: A solution of **17** (150 mg, 0.56 mmol) in anhydrous  $\text{CH}_3\text{CN}$  (5.6 mL) at 0 °C was treated with distilled  $i\text{Pr}_2\text{NEt}$  (1.0 mL, 5.6 mmol) and stirred at 25 °C for 1 h. The reaction

was diluted with Et<sub>2</sub>O (20 mL), washed sequentially with 0.1 N HCl (10 mL), H<sub>2</sub>O (10 mL), and saturated aqueous NaCl (10 mL), and dried (Mg<sub>2</sub>SO<sub>4</sub>). Evaporation of the solvents under reduced pressure followed by flash chromatography (SiO<sub>2</sub>, 2 × 6 cm, 0–7% EtOAc/hexanes gradient) afforded the allene **5** (77 mg, 60%) as a light yellow oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 6.47 (dd, *J* = 5.8, 2.4 Hz, 1H), 5.95 (d, *J* = 5.8 Hz, 1H), 5.57 (ddd, *J* = 17.2, 10.0, 7.3 Hz, 1H), 5.50 (d, *J* = 17.2 Hz, 1H), 5.39 (d, *J* = 10.0 Hz, 1H), 5.17 (m, 1H), 3.11 (dt, *J* = 3.8, 1.9 Hz, 1H), 2.92 (m, 1H), 1.88 (m, 2H), 1.37 (d, *J* = 6.4 Hz, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 211.7, 163.0, 135.5, 119.8, 96.2, 93.1, 70.4, 58.3, 57.0, 38.3, 20.1; IR (film) ν<sub>max</sub> 3053, 2983, 1970, 1716, 1382, 1264, 1175 cm<sup>-1</sup>; ESIMS *m/z* 287 ([M + Na<sup>+</sup>], C<sub>11</sub>H<sub>13</sub>NaO<sub>3</sub>Cl requires 287). (–)-(R, R, R)-**5**: [α]<sub>D</sub><sup>25</sup> –24 (*c* 0.20, CH<sub>2</sub>Cl<sub>2</sub>).

**Diels Alder Product (18):** Allene **5** (72 mg, 0.32 mmol) at 0 °C was treated neat with precooled (0 °C) 1,3-bis(trimethylsilyloxy)-1-methoxy-butadiene (**4**, 178 mg, 0.64 mmol) and stirred 1 h at 25 °C. The reaction was diluted with EtOH (1 mL), cooled to 0 °C, and treated with HF•Et<sub>3</sub>N (750 μL, 2M solution in EtOH). The resulting solution was stirred 1 h at 25 °C before dilution with CH<sub>2</sub>Cl<sub>2</sub>, washing with 5% aqueous NH<sub>4</sub>Cl, and drying with Na<sub>2</sub>SO<sub>4</sub>. Evaporation of the solvents under reduced pressure followed by flash chromatography (SiO<sub>2</sub>, 2 × 8 cm, 0–40% EtOAc/hexanes gradient) afforded the major regioisomer (**18**, 4:1) as a clear oil (49 mg, 50%): <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 11.78 (s, 1H), 6.43 (d, *J* = 2.5 Hz, 1H), 6.36 (d, *J* = 2.5 Hz, 1H), 6.11 (br s, 1H), 5.56 (ddd, *J* = 17.1, 9.7, 7.2 Hz, 1H), 5.46 (m, 2H), 5.31 (dd, *J* = 9.7, 2.0 Hz, 1H), 4.95 (d, *J* = 11.4 Hz, 1H), 4.72 (d, *J* = 11.4 Hz, 1H), 3.19 (dd, *J* = 7.1, 2.1 Hz, 1H), 3.06 (m, 1H), 2.15 (dt, *J* = 14.7, 4.4 Hz, 1H), 1.96 (dt, *J* = 14.7, 7.4 Hz, 1H), 1.51 (d, *J* = 6.3 Hz, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz) δ 170.1, 166.0, 160.9, 141.6, 134.9, 120.5, 111.9, 104.6, 104.1, 71.0, 58.5, 57.7, 46.6, 38.5, 20.2; IR (film) ν<sub>max</sub> 3352, 2985, 1651, 1621, 1454, 1358, 1259, 1166, 1107 cm<sup>-1</sup>; ESIMS *m/z* 335 ([M + Na<sup>+</sup>], C<sub>15</sub>H<sub>17</sub>NaO<sub>5</sub>Cl requires 335). (–)-(R, R, R)-**18**: [α]<sub>D</sub><sup>25</sup> –38 (*c* 0.14, CH<sub>2</sub>Cl<sub>2</sub>).

**2-Chloromethyl-4,6-dihydroxybenzaldehyde (20):** A solution of 2-chloromethyl-4,6-dimethoxy benzaldehyde **18** (1.0 g, 4.65 mmol) in 50 mL of anhydrous CH<sub>2</sub>Cl<sub>2</sub> at –78 °C was treated with boron tribromide (1.0 M in CH<sub>2</sub>Cl<sub>2</sub>, 23.3 mmol) dropwise via syringe. The solution

was stirred at  $-78\text{ }^{\circ}\text{C}$  for 10 min, warmed to  $25\text{ }^{\circ}\text{C}$  and stirred for 20 h. The reaction was quenched by the addition of 1N HCl (100 mL) and extracted with  $\text{Et}_2\text{O}$  (3 x 100 mL). The combined organic layers were dried over  $\text{MgSO}_4$ , filtered, and concentrated *in vacuo*. Chromatography on silica gel ( $\text{SiO}_2$ ,  $4 \times 6\text{ cm}$ , 20% EtOAc/hexanes) afforded **20** (0.83 g, 96%) as a white solid: Mp  $164 - 166\text{ }^{\circ}\text{C}$  ( $\text{Et}_2\text{O}$ );  $^1\text{H}$  NMR ( $\text{DMSO-}d_6$ , 500 MHz)  $\delta$  11.71 (s, 1H), 10.90 (s, 1H), 10.18 (s, 1H), 6.50 (s, 1H), 6.31 (s, 1H), 5.01 (s, 2H);  $^{13}\text{C}$  NMR ( $\text{DMSO-}d_6$ , 125 MHz)  $\delta$  191.6, 165.3, 164.7, 142.5, 111.3, 110.9, 102.6, 42.9; IR (film)  $\nu_{\text{max}}$  3090, 1624, 1575, 1488, 1268, 1234, 1172, 729  $\text{cm}^{-1}$ ; ESIMS  $m/z$  221 ( $[\text{M} + \text{Cl}]^-$ ,  $\text{C}_8\text{H}_7\text{Cl}_2\text{O}_3$  requires 221).

**4-tert-Butyldiphenylsiloxy-2-chloromethyl-6-hydroxy benzaldehyde (21):** A solution of **20** (0.60 g, 3.22 mmol) in 55 mL 1:1  $\text{CH}_2\text{Cl}_2$  : THF at  $0\text{ }^{\circ}\text{C}$  was treated with imidazole (0.24 g, 3.55 mmol) followed by tert-butyldiphenylchlorosilane (0.89 g, 3.22 mmol). The reaction was warmed to  $25\text{ }^{\circ}\text{C}$  and stirred for 12 h. The reaction mixture was quenched with 1N HCl (100 mL) and extracted with  $\text{Et}_2\text{O}$  (3 x 100 mL). The combined organic layers were dried ( $\text{MgSO}_4$ ), filtered, and concentrated *in vacuo*. Flash chromatography on silica gel ( $\text{SiO}_2$ ,  $4 \times 6\text{ cm}$ , 10% EtOAc/hexanes) afforded **21** (1.33 g, 97%) as a white solid: Mp  $87 - 89\text{ }^{\circ}\text{C}$  ( $\text{CH}_2\text{Cl}_2$ );  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  12.18 (s, 1H), 10.17 (s, 1H), 7.71 (d,  $J = 6.8\text{ Hz}$ , 4H), 7.48 (t,  $J = 7.3\text{ Hz}$ , 2H), 7.42 (t,  $J = 7.3\text{ Hz}$ , 4H), 6.38 (d,  $J = 2.1\text{ Hz}$ , 1H), 6.25 (d,  $J = 1.9\text{ Hz}$ , 1H), 4.61 (s, 2H), 1.13 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  192.8, 166.6, 163.5, 142.1, 135.8, 131.8, 130.9, 128.5, 115.5, 112.5, 109.2, 42.2, 26.8, 19.9; IR (film)  $\nu_{\text{max}}$  3072, 2932, 2853, 1643, 1623, 1569, 1377, 1297, 1199, 1173, 1115, 784  $\text{cm}^{-1}$ ; ESIMS  $m/z$  459 ( $[\text{M} + \text{Cl}]^-$ ,  $\text{C}_{24}\text{H}_{25}\text{Cl}_2\text{O}_3\text{Si}$  requires 459).

**4-tert-Butyldiphenylsiloxy-2-chloromethyl-6-hydroxybenzoic acid (22):** A solution of **21** (350 mg, 0.825 mmol) in THF :  $\text{H}_2\text{O}$  : DMSO (8.0 mL : 14 mL : 0.80 mL) was cooled to  $0\text{ }^{\circ}\text{C}$ . Sulfamic acid (224 mg, 2.31 mmol) was added followed by sodium chlorite (193 mg, 2.15 mmol) and the solution was stirred at  $0\text{ }^{\circ}\text{C}$  for 30 min. The reaction mixture was diluted with saturated aqueous  $\text{NH}_4\text{Cl}$  (50 mL) and extracted with  $\text{Et}_2\text{O}$  (3 x 50 mL). The combined organic layers were dried ( $\text{MgSO}_4$ ), filtered, and concentrated *in vacuo* to afford **21** (360 mg, 100%) as a yellow foam. This material was used without further purification:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  11.33 (s, 1H), 7.70 (d,  $J = 6.8\text{ Hz}$ , 4H), 7.45 (t,  $J = 7.3\text{ Hz}$ , 2H), 7.39 (t,  $J = 7.3\text{ Hz}$ , 4H), 4.79 (s,

2H), 1.11 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$ 174.1, 166.2, 161.7, 142.0, 135.6, 131.9, 130.5, 128.2, 116.4, 108.6, 103.7, 46.1, 26.6, 19.7; IR (film)  $\nu_{\text{max}}$  3050, 2958, 2858, 2564, 1641, 1612, 1428, 1365, 1253, 1175, 1115, 829, 700  $\text{cm}^{-1}$ ; ESIMS  $m/z$  463 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{24}\text{H}_{25}\text{ClNaO}_4\text{Si}$  requires 463).

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**Ester (7):** A solution of DIAD (136 mg, 0.68 mmol) in benzene (7.5 mL) was treated with tri-2-furyl phosphine (160 mg, 0.68 mmol) and stirred at 25 °C for 10 min. Alcohol **8** (86 mg, 0.68 mmol) was then added in benzene (5 mL) and stirred 15 min after which benzoic acid **22** (270 mg, 0.62 mmol) was added in benzene (5 mL) and stirring continued for 12 h. The reaction mixture was concentrated *in vacuo* and purified by chromatography on silica gel ( $\text{SiO}_2$ , 2  $\times$  6 cm, 5% EtOAc/hexanes) to afford **7** as a colorless oil (220 mg, 65%):  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$ 11.56 (s, 1H), 7.71 (d,  $J$  = 7.9 Hz, 4H), 7.46 (t,  $J$  = 7.3 Hz, 2H), 7.40 (t,  $J$  = 7.5 Hz, 4H), 6.46 (d,  $J$  = 2.4 Hz, 1H), 6.29 (d,  $J$  = 2.4 Hz, 1H), 5.58–5.39 (m, 3H), 5.28 (d,  $J$  = 10.0 Hz, 1H), 4.83 (d,  $J$  = 11.2 Hz, 1H), 4.65 (d,  $J$  = 11.2 Hz, 1H), 3.13 (dd,  $J$  = 7.4, 1.9 Hz, 1H), 2.99 (m, 1H), 2.10–1.95 (m, 2H), 1.48 (d,  $J$  = 6.4 Hz, 3H), 1.11 (s, 9H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$ 170.0, 165.5, 160.8, 140.8, 135.6, 135.0, 132.0, 130.5, 128.2, 119.9, 116.3, 108.8, 105.2, 71.0, 58.2, 57.2, 46.5, 38.3, 26.6, 20.0, 19.7; IR (film)  $\nu_{\text{max}}$  3436, 3071, 2930, 2857, 1653, 1610, 1574, 1472, 1428, 1259, 1174, 822, 700  $\text{cm}^{-1}$ ; ESIMS  $m/z$  573 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{31}\text{H}_{35}\text{ClNaO}_5\text{Si}$  requires 573); (–)-(R, R, R)-**7**:  $[\alpha]_{\text{D}}^{25}$  –22 ( $c$  1.8,  $\text{CH}_2\text{Cl}_2$ ).

**Dithiane Addition Product (23).** The dithiane **6** (103 mg, 0.56 mmol) was charged to a flame dried flask equipped with a stir bar under Ar pressure. Anhydrous THF (2.0 mL) was added via cannula and the resulting solution was cooled to –20 °C. *n*BuLi (223  $\mu\text{L}$ , 2.5 M solution in hexanes, 0.57 mmol) was added in a steady stream and the resulting dark purple reaction was stirred at –20 °C for 30 min, and then cooled to –78 °C. Concurrently, **7** (180 mg, 0.32 mmol) was charged to a flame dried flask equipped with a stir bar under Ar pressure. Anhydrous THF (2.0 mL) was added via cannula and the resulting solution was cooled to –78 °C, treated dropwise with *n*BuLi (131  $\mu\text{L}$ , 2.5 M solution in hexanes, 0.32 mmol) and stirred 5 min. The dithiane anion solution was cannulated *into* the lithium salt solution of **7** at –78 °C. The resulting purple reaction was stirred 2 h at –78 °C and was then quenched by the addition of sat.  $\text{NH}_4\text{Cl}$  (10 mL). The mixture was extracted with  $\text{Et}_2\text{O}$  (15 mL), washed with sat. aqueous NaCl,

and dried (Na<sub>2</sub>SO<sub>4</sub>). Evaporation of the solvents under reduced pressure followed by flash chromatography (SiO<sub>2</sub>, 2 × 6 cm, 0–5% EtOAc/hexanes gradient) afforded an inseparable 6:1 mixture of α (**23**):γ (**23a**) alkylation products as a colorless oil (114 mg, 50%). This mixture was carried on and spectral data is reported for the major, desired adduct **23**: <sup>1</sup>H NMR (C<sub>6</sub>D<sub>6</sub>, 400 MHz) δ 11.64 (s, 1H), 7.78 (m, 4H), 7.14 (m, 6H, obscured by solvent peak), 6.73 (d, *J* = 2.5 Hz, 1H), 6.66 (d, *J* = 2.5 Hz, 1H), 6.30 (dd, *J* = 15.0, 10.5 Hz, 1H), 5.96 (ddd, *J* = 14.6, 10.5, 1.2 Hz, 1H), 5.51 (dd, *J* = 15.0, 6.8 Hz, 1H), 5.46 (d, *J* = 15.3 Hz, 1H), 5.41 (ddd, *J* = 13.0, 10.3, 7.5 Hz, 1H), 5.21 (dd, *J* = 17.0, 1.3 Hz, 1H), 5.20 (m, 1H), 4.98 (dd, *J* = 10.3, 1.3 Hz, 1H), 3.78 (d, *J* = 13.3 Hz, 1H), 3.63 (d, *J* = 13.3 Hz, 1H), 2.83 (dd, *J* = 7.5, 1.9 Hz, 1H), 2.74 (ddd, *J* = 6.7, 4.9, 1.9 Hz, 1H), 2.47 (m, 2H), 2.09 (m, 2H), 1.78 (ddd, *J* = 14.2, 6.5, 5.3 Hz, 1H), 1.68 (ddd, *J* = 14.2, 6.5, 4.9 Hz, 1H), 1.57 (m, 1H), 1.55 (d, *J* = 6.6 Hz, 3H), 1.37 (m, 1H), 1.27 (d, *J* = 6.4 Hz, 3H), 1.14 (s, 9H); <sup>13</sup>C NMR (C<sub>6</sub>D<sub>6</sub>, 100 MHz) δ 171.5, 165.1, 160.1, 139.1, 136.7, 136.2, 136.2, 135.0, 133.8, 133.0, 131.4, 130.7, 130.2, 128.2, 118.9, 109.3, 108.3, 71.3, 58.5, 57.0, 56.4, 47.1, 38.5, 27.7, 27.6, 27.0, 27.0, 25.8, 20.0, 20.0, 18.5; IR (film) ν<sub>max</sub> 2931, 1651, 1574, 1427, 1311, 1256, 1171 cm<sup>-1</sup>; ESIMS *m/z* 723 ([*M* + Na<sup>+</sup>], C<sub>40</sub>H<sub>49</sub>NaO<sub>5</sub>S<sub>2</sub>Si requires 723).

**TBS Protection of Phenol (24).** A solution of **23** (90 mg, 0.13 mmol) in 2.0 mL of anhydrous DMF at 0 °C was treated with imidazole (61 mg, 0.89 mmol) in one portion. *tert*-Butyldimethylchlorosilane (77 mg, 0.51 mmol) was added in one portion and the resulting reaction was warmed to 25 °C for 3 h. The reaction mixture was diluted with Et<sub>2</sub>O (10 mL), and washed successively with 5% aqueous NH<sub>4</sub>Cl (5 mL), H<sub>2</sub>O (3 × 3 mL) and saturated aqueous NaCl (5 mL). The organic layer was dried (MgSO<sub>4</sub>), concentrated under reduced pressure, and purified by flash chromatography (SiO<sub>2</sub>, 2 × 6 cm, 0–4% EtOAc/hexanes gradient) to provide **24** (85 mg, 83%) as a colorless oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 7.71 (m, 4H), 7.42 (m, 6H), 6.62 (d, *J* = 2.1 Hz, 1H), 6.23 (dd, *J* = 15.1, 10.4 Hz, 1H), 6.07 (m, 1H), 6.01 (d, *J* = 2.1 Hz, 1H), 5.66 (dq, *J* = 13.6, 13.6, 6.6 Hz, 1H), 5.56 (ddd, *J* = 17.4, 10.0, 7.4 Hz), 5.44 (m, 2H), 5.22 (m, 2H), 3.16 (d, *J* = 14.0 Hz, 1H), 3.10 (d, *J* = 14.0 Hz, 1H), 3.10 (dd, *J* = 7.5, 1.9 Hz, 1H), 3.00 (dt, *J* = 5.3, 1.9 Hz, 1H), 2.81 (m, 2H), 2.61 (m, 2H), 2.10–1.82 (m, 4H), 1.74 (d, *J* = 6.6 Hz, 3H), 1.43 (d, *J* = 6.4 Hz, 3H), 1.07 (s, 9H), 0.77 (s, 9H), –0.19 (s, 3H), –0.20 (s, 3H); <sup>13</sup>C NMR (C<sub>6</sub>D<sub>6</sub>, 100 MHz) δ 166.9, 156.8, 154.6, 136.9, 136.3, 136.2, 136.2, 134.9, 134.0, 133.4, 132.0, 130.6, 129.8, 128.2, 122.8, 118.7, 117.4, 110.5, 69.8, 58.5, 57.1, 55.9, 46.0, 38.7, 27.8, 26.9, 26.2, 25.7,

20.3, 20.0, 18.7, 18.6, -4.1; IR (film)  $\nu_{\max}$  2931, 2857, 1733, 1597, 1558, 1472, 1428, 1340, 1256, 1170  $\text{cm}^{-1}$ ; ESIMS  $m/z$  837 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{46}\text{H}_{62}\text{NaO}_5\text{S}_2\text{Si}_2$  requires 837). (-)-(R, R, R)-**24**:  $[\alpha]^{25}_{\text{D}} -5.3$  ( $c$  1.2,  $\text{CH}_2\text{Cl}_2$ ).

5        **RCM Product (26).** A solution of **24** (70 mg, 86  $\mu\text{mol}$ ) in 43 mL anhydrous  $\text{CH}_2\text{Cl}_2$  under Ar was treated with Ru-catalyst **25** (7.0 mg, 8.6  $\mu\text{mol}$ ) and heated to 42  $^\circ\text{C}$  for 2 h. DMSO (40  $\mu\text{L}$ ) was added and the reaction was stirred at 25  $^\circ\text{C}$  overnight (Ahn *et al. Org. Lett.* **2001**, 3, 1411). The reaction was concentrated and directly subjected to flash chromatography ( $\text{SiO}_2$ , 2  $\times$  5 cm, 0–10% EtOAc/hexanes gradient) which provided pure macrocycle **26** (46 mg, 70%) as a colorless oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  7.67 (m, 4H), 7.45–7.30 (m, 7H), 6.73 (dd,  $J$  = 15.6, 10.3 Hz, 1H), 6.11 (d,  $J$  = 2.2 Hz, 1H), 5.99 (dd,  $J$  = 10.7, 10.3 Hz, 1H), 5.71 (d,  $J$  = 15.6 Hz, 1H), 5.48 (dd,  $J$  = 10.7, 3.2 Hz, 1H), 5.23 (m, 1H), 3.67 (d,  $J$  = 16.1 Hz, 1H), 3.30 (m, 1H), 3.17 (d,  $J$  = 16.1 Hz, 1H), 3.00 (m, 2H), 2.75 (m, 2H), 2.61 (m, 1H), 2.33 (dt,  $J$  = 14.3, 2.9 Hz, 1H), 2.00 (m, 2H), 1.85 (m, 1H), 1.55 (d,  $J$  = 6.5 Hz, 3H), 1.51 (m, 1H), 1.07 (s, 9H), 0.83 (s, 9H), -0.04 (s, 3H), -0.05 (s, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$  167.7, 156.5, 152.6, 136.2, 135.5, 135.5, 135.4, 132.6, 132.4, 131.1, 129.8, 128.4, 128.0, 127.7, 127.7, 121.5, 113.5, 108.7, 69.7, 60.3, 56.2, 55.7, 52.5, 38.8, 37.6, 28.0, 26.4, 26.3, 25.4, 24.0, 21.0, 19.4, 18.4, 17.9, 14.2, -4.5, -4.7; IR (film)  $\nu_{\max}$  2931, 2858, 1732, 1598, 1574, 1471, 1428, 1342, 1261, 1169  $\text{cm}^{-1}$ ; ESIMS  $m/z$  773 ( $[\text{M} + \text{H}^+]$ ,  $\text{C}_{43}\text{H}_{57}\text{O}_5\text{Si}_2\text{S}_2$  requires 773). (-)-(R, R, R)-**26**:  $[\alpha]^{25}_{\text{D}} -4.2$  ( $c$  0.58,  $\text{CH}_2\text{Cl}_2$ ).

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25        **Monocillin (2).** A solution of **26** (45 mg, 58  $\mu\text{mol}$ ) in 10 mL of anhydrous  $\text{CH}_2\text{Cl}_2$  at -10  $^\circ\text{C}$  was treated with *m*CPBA (14.3 mg, 58  $\mu\text{mol}$ , 75%) in one portion and stirred 5 min. The reaction was quenched with 10%  $\text{Na}_2\text{S}_2\text{O}_3$  (10 mL) and 5% aqueous  $\text{NaHCO}_3$  (5 mL), and extracted with  $\text{CH}_2\text{Cl}_2$  (3  $\times$  5 mL). The solvents were removed under reduced pressure and the crude monosulfoxide was dissolved in 9 mL of THF: $\text{H}_2\text{O}$ : $\text{Ac}_2\text{O}$ : $\text{Et}_3\text{N}$  (10:1:3:4) and heated to 60  $^\circ\text{C}$  for 12 h. The reaction was concentrated under reduced pressure. The oil was dissolved in  $\text{CH}_2\text{Cl}_2$  (10 mL), and washed with 5% aqueous  $\text{NH}_4\text{Cl}$  (10 mL), and the solvents were again removed. The crude was then dissolved in 10 mL 1:1 MeOH:5% aqueous  $\text{NaHCO}_3$  and stirred an additional 12 h to remove the phenolic acetates. The resulting solution was extracted with EtOAc (3  $\times$  5 mL), washed with sat. aqueous  $\text{NaCl}$  (10 mL), dried ( $\text{Na}_2\text{SO}_4$ ) and the solvents were

removed under reduced pressure. Flash chromatography (SiO<sub>2</sub>, 2 × 5 cm, 50% EtOAc/hexanes) provided monocillin I (**2**) (11.4 mg, 60%) as a pale yellow solid: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 11.38 (s, 1H), 7.85 (dd, *J* = 15.9, 11.4 Hz, 1H), 6.36 (d, *J* = 2.3 Hz, 1H), 6.33 (d, *J* = 2.3 Hz, 1H), 6.27 (dd, *J* = 11.3, 10.4 Hz, 1H), 6.01 (br s, 1H), 5.99 (d, *J* = 15.9 Hz, 1H), 5.94 (dd, *J* = 10.3, 2.7 Hz, 1H), 5.58 (m, 1H), 5.21 (d, *J* = 13.9 Hz, 1H), 3.62 (d, *J* = 13.9 Hz, 1H), 3.30 (m, 1H), 3.10 (m, 1H), 2.42 (dt, *J* = 15.1, 3.0 Hz, 1H), 1.99 (m, 1H), 1.62 (d, *J* = 6.8 Hz, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz) δ 201.8, 170.0, 166.4, 162.3, 143.0, 139.1, 137.5, 130.7, 130.1, 110.2, 103.9, 103.4, 71.3, 55.8, 55.6, 43.3, 36.4, 19.1; IR (film) ν<sub>max</sub> 3310, 2916, 1652, 1616, 1456, 1259, 1203 cm<sup>-1</sup>; ESIMS *m/z* 353.0 ([*M* + Na<sup>+</sup>], C<sub>18</sub>H<sub>18</sub>NaO<sub>6</sub> requires 353.0). (+)-(R, R, R)-**2**: [α]<sub>D</sub><sup>25</sup> +48 (*c* 0.38, CH<sub>2</sub>Cl<sub>2</sub>).

**Radicol (1).** Monocillin I (**2**) (2.0 mg, 6.0 μmol) was dissolved in anhydrous Et<sub>2</sub>O (0.5 mL) at 0 °C and treated with 210 μL of SO<sub>2</sub>Cl<sub>2</sub> solution (0.06 M in CH<sub>2</sub>Cl<sub>2</sub>). The reaction was monitored closely by TLC to prevent over chlorination. Upon completion, the reaction was diluted with EtOAc (5 mL), washed with 5% aqueous NH<sub>4</sub>Cl (5 mL), saturated aqueous NaCl (5 mL) and dried (Na<sub>2</sub>SO<sub>4</sub>). The solvents were removed under reduced pressure and flash chromatography (SiO<sub>2</sub>, 2 × 5 cm, 60% EtOAc/hexanes) provided radicol (**1**) (1.2 mg, 58%) as a white solid: <sup>1</sup>H NMR (CD<sub>3</sub>OD, 400 MHz) δ 7.57 (dd, *J* = 16.1, 9.8 Hz, 1H), 6.46 (s, 1H), 6.21 (dd, *J* = 10.7, 9.9 Hz, 1H), 6.06 (d, *J* = 16.1 Hz, 1H), 5.75 (dd, *J* = 10.8, 4.0 Hz, 1H), 5.35 (m, 1H), 4.14 (d, *J* = 16.3 Hz, 1H), 3.89 (d, *J* = 16.3 Hz, 1H), 3.29 (m, 1H, obscured by CD<sub>3</sub>OD), 3.04 (dt, *J* = 8.6, 2.7 Hz, 1H), 2.39 (dt, *J* = 14.7, 3.4 Hz, 1H), 1.70 (m, 1H), 1.49 (d, *J* = 6.6 Hz, 3H); <sup>13</sup>C NMR (CD<sub>3</sub>OD, 100 MHz) δ 199.7, 169.2, 159.0, 158.1, 140.9, 137.2, 135.2, 131.7, 131.0, 115.2, 103.9, 103.9, 72.2, 57.0, 56.6, 46.6, 37.8, 18.8; IR (film) ν<sub>max</sub> 3332, 2991, 1651, 1602, 1307, 1245, 1107 cm<sup>-1</sup>; UV<sub>max</sub> (MeOH) 262.1 and 269.0 nm; ESIMS *m/z* 387.0 ([*M* + Na<sup>+</sup>], C<sub>18</sub>H<sub>17</sub>NaO<sub>6</sub>Cl requires 387.0). (+)-(R, R, R)-**1**: [α]<sub>D</sub><sup>25</sup> +89 (*c* 0.50, CH<sub>2</sub>Cl<sub>2</sub>).

**(2*S*, 3*S*, 5*S*)-5-(*tert*-Butyldiphenylsilyl)-2,3-(cyclopropyl)-hexan-1-ol (29).** To a solution of Et<sub>2</sub>Zn (11.0 mL, 1.0 M in hexanes, 11.2 mmol) in 18 mL of anhydrous CH<sub>2</sub>Cl<sub>2</sub> and 1.1 mL of anhydrous DME at -10 °C was added dropwise in sequential fashion: CH<sub>2</sub>I<sub>2</sub> (1.82 mL, 22.0 mmol), (+)-TMAD-BBu (1.80 g, 6.7 mmol in 4.0 mL anhydrous CH<sub>2</sub>Cl<sub>2</sub>) and ent-**13** (2.0 g,

5.6 mmol in 4.0 mL anhydrous CH<sub>2</sub>Cl<sub>2</sub>). The reaction was then stirred at 25 °C for 12h. The reaction was then cooled to 0 °C and quenched by the addition of NH<sub>4</sub>Cl (20 mL). The reaction was diluted with EtOAc (100 mL), and the organic layer was separated and dried with MgSO<sub>4</sub>. Concentration under reduced pressure, followed by flash chromatography (SiO<sub>2</sub>, 6 × 8 cm, 15–20% EtOAc/hexanes gradient) afforded **29** (1.74 g, 84%) as a colorless oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 7.68 (m, 4H), 7.41 (m, 6H), 3.90 (m, 1H), 3.36 (m, 2H), 1.42 (ddd, *J* = 13.5, 6.7, 5.4 Hz, 1H), 1.27 (dt, *J* = 13.9, 6.9 Hz, 1H), 1.14 (d, *J* = 6.1 Hz, 3H), 1.06 (s, 9H), 0.78 (m, 1H), 0.58 (m, 1H), 0.29 (dt, *J* = 8.5, 4.7 Hz, 1H), 0.13 (dt, *J* = 8.1, 4.7 Hz, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz) δ 136.0, 136.0, 134.9, 134.7, 129.7, 129.7, 127.7, 127.7, 70.2, 67.3, 43.5, 27.2, 23.4, 21.5, 19.4, 13.6, 9.7; ESIMS *m/z* 391 ([*M* + Na<sup>+</sup>], C<sub>23</sub>H<sub>32</sub>NaO<sub>2</sub>Si requires 391).

**(2*S*, 3*S*, 5*S*)-5-(*tert*-Butyldiphenylsilyl)-2,3-(cyclopropyl)-hexan-1-al (29a)**. A solution of **29** (1.73 g, 4.7 mmol) and Et<sub>3</sub>N (3.3 mL, 24 mmol) in of 4:1 CH<sub>2</sub>Cl<sub>2</sub>/DMSO (40 mL) at 0 °C was treated with SO<sub>3</sub>•pyridine (2.9 g, 17 mmol) and stirred 30 min at 25 °C. The reaction was diluted with EtOAc (200 mL), washed sequentially with H<sub>2</sub>O (3 × 50 mL), saturated aqueous NaHCO<sub>3</sub> (50 mL), and saturated aqueous NaCl (50 mL) and dried (MgSO<sub>4</sub>). Evaporation of the solvents under reduced pressure directly provided pure **29a** (1.6 g, 90%) as a colorless oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 8.93 (d, *J* = 6.3 Hz, 1H), 7.68 (m, 4H), 7.43 (m, 6H), 3.94 (m, 1H), 1.50 (m, 2H), 1.22 (m, 2H), 1.19 (d, *J* = 6.3 Hz, 3H), 1.07 (s, 9H), 0.90 (m, 1H), 0.75 (m, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 201.0, 136.0, 136.0, 134.7, 134.3, 129.9, 129.8, 127.8, 127.7, 69.5, 42.5, 30.5, 27.2, 23.4, 19.4, 19.2, 15.0; ESIMS *m/z* 389 ([*M* + Na<sup>+</sup>], C<sub>23</sub>H<sub>30</sub>NaO<sub>2</sub>Si requires 389).

**(3*S*, 4*S*, 6*S*)-6-(*tert*-Butyldiphenylsilyl)-3,4-(cyclopropyl)-hept-1-ene (14c)**. Methyltriphenylphosphonium bromide (3.3 g, 9.4 mmol) and a stir bar were added to a flask and thoroughly flame dried. Anhydrous THF (50 mL) was added via cannula under Ar and the resulting suspension was cooled to 0 °C prior to the addition of NaHMDS (9.4 mL, 9.4 mmol, 1.0 M in THF) in dropwise fashion. The resulting gold suspension was warmed to 25 °C for 30 min and then recooled to –10 °C prior to the addition of aldehyde (1.05 g, 2.85 mmol) in anhydrous THF (5.0 mL). The reaction was complete within 10 min, and was quenched by the addition of saturated aqueous NH<sub>4</sub>Cl (50 mL). The mixture was then extracted with Et<sub>2</sub>O (100



mL), washed sequentially with H<sub>2</sub>O (50 mL) and saturated aqueous NaCl (50 mL) and dried (MgSO<sub>4</sub>). Removal of the solvents under reduced pressure followed by flash chromatography (SiO<sub>2</sub>, 6 × 6 cm, 2% EtOAc/hexanes) provided **14c** (1.23 g, 71%) as a colorless oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 7.69 (m, 4H), 7.40 (m, 6H), 5.33 (m, 1H), 4.99 (dd, *J* = 17.0, 1.6 Hz, 1H), 4.81 (dd, *J* = 10.2, 1.6 Hz, 1H), 3.92 (m, 1H), 1.46 (m, 1H), 1.36 (m, 1H), 1.09 (d, *J* = 6.2 Hz, 3H), 1.06 (s, 9H), 0.90 (m, 1H), 0.73 (m, 1H), 0.50 (dt, *J* = 8.8, 4.5 Hz, 1H), 0.34 (dt, *J* = 8.1, 4.5 Hz, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 142.1, 136.1, 135.1, 134.7, 129.7, 129.6, 127.7, 127.6, 111.4, 70.1, 43.8, 27.2, 23.4, 22.8, 19.4, 17.7, 13.8; ESIMS *m/z* 387 ([M + Na<sup>+</sup>], C<sub>23</sub>H<sub>30</sub>NaO<sub>2</sub>Si requires 387).

**(3*S*, 4*S*, 6*S*)-3,4-(Cyclopropyl)-6-(hydroxy)-hept-1-ene (30).** To a solution of **29a** (1.23 g, 3.37 mmol) in 20 mL of anhydrous THF at 25 °C was treated with *n*Bu<sub>4</sub>NF (3.7 mL, 1.0 M solution in THF, 3.7 mmol) and stirred 4 h. The reaction was concentrated under reduced pressure. Flash chromatography (SiO<sub>2</sub>, 3 × 8 cm, 0–40% EtOAc/hexanes gradient) provided **30** (0.378 g, 89%) as a colorless and volatile oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 5.39 (ddd, *J* = 17.2, 10.2, 9.1 Hz, 1H), 5.04 (dd, *J* = 17.1, 1.5 Hz, 1H), 4.85 (dd, *J* = 10.2, 1.5 Hz, 1H), 3.90 (m, 1H), 2.39 (m, 1H), 1.43 (m, 2H), 1.22 (d, *J* = 6.1 Hz, 3H), 1.19 (m, 1H), 0.80 (m, 1H), 0.64 (dt, *J* = 9.1, 4.7 Hz, 1H), 0.59 (dt, *J* = 10.0, 4.7 Hz, 1H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz) δ 141.7, 111.9, 68.6, 43.3, 23.3, 22.1, 17.7, 13.9; ESIMS *m/z* 149 (M + Na<sup>+</sup>, C<sub>8</sub>H<sub>14</sub>NaO requires 149).

**Ester (39):** A solution of DIAD (0.73 mL, 3.6 mmol) in benzene (40 mL) was treated with tri-2-furyl phosphine (850 mg, 3.6 mmol) and stirred at 25 °C for 10 min. Alcohol **30** (420 mg, 3.3 mmol) was then added in benzene (5 mL) and stirred 15 min after which benzoic acid **22** (1.6 g, 3.6 mmol) was added in benzene (5 mL) and stirring continued for 12 h. The reaction mixture was concentrated *in vacuo* and purified by chromatography on silica gel (SiO<sub>2</sub>, 2 × 6 cm, 4% EtOAc/hexanes) to afford **39** as a colorless oil (1.40 g, 77%): <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 11.71 (s, 1H), 7.73 (m, 4H), 7.45 (m, 6H), 6.46 (d, *J* = 2.5 Hz, 1H), 6.29 (d, *J* = 2.5 Hz, 1H), 5.33 (m, 2H), 5.01 (dd, *J* = 17.1, 1.4 Hz, 1H), 4.84 (dd, *J* = 10.3, 1.5 Hz, 1H), 4.77 (d, *J* = 11.2 Hz, 1H), 4.67 (d, *J* = 11.2 Hz, 1H), 1.78 (dt, *J* = 13.7, 6.3 Hz, 1H), 1.64 (dt, *J* = 13.7, 7.1 Hz, 1H), 1.43 (d, *J* = 6.0 Hz, 3H), 1.16 (m, 1H), 1.12 (s, 9H), 0.81 (m, 1H), 0.61 (m, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz) δ 170.1, 165.4, 160.6, 141.3, 140.7, 135.6, 132.0, 130.5, 128.2, 128.1, 116.1,

112.2, 108.7, 105.3, 73.4, 46.5, 39.7, 26.6, 22.8, 19.7, 19.7, 17.1, 13.6; IR (film)  $\nu_{\max}$  3072, 2959, 1653, 1609, 1574, 1355, 1259  $\text{cm}^{-1}$ ; ESIMS  $m/z$  571 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{32}\text{H}_{37}\text{ClNaO}_4\text{Si}$  requires 571).

**Dithiane Addition Product (23c).** The dithiane **6** (477 mg, 2.5 mmol) was charged to a flame dried flask equipped with a stir bar under Ar pressure. Anhydrous THF (5.0 mL) was added via cannula and the resulting solution was cooled to  $-20\text{ }^{\circ}\text{C}$ . *n*BuLi (1.05 mL, 2.5 M solution in hexanes, 2.6 mmol) was added in a steady stream and the resulting dark purple reaction was stirred at  $-20\text{ }^{\circ}\text{C}$  for 30 min, and then cooled to  $-78\text{ }^{\circ}\text{C}$ . Concurrently, **39** (780 mg, 1.4 mmol) was charged to a flame dried flask equipped with a stir bar under Ar pressure. Anhydrous THF (5.0 mL) was added via cannula and the resulting solution was cooled to  $-78\text{ }^{\circ}\text{C}$ , treated dropwise with *n*BuLi (570  $\mu\text{L}$ , 2.5 M solution in hexanes, 1.4 mmol) and stirred 5 min. The dithiane anion solution was cannulated *into* the lithium salt solution of **39** at  $-78\text{ }^{\circ}\text{C}$ . The resulting blue reaction was stirred 2 h at  $-78\text{ }^{\circ}\text{C}$  and was then quenched by the addition of sat.  $\text{NH}_4\text{Cl}$  (50 mL). The mixture was extracted with  $\text{Et}_2\text{O}$  (100 mL), washed with sat. aqueous NaCl, and dried ( $\text{Na}_2\text{SO}_4$ ). Evaporation of the solvents under reduced pressure followed by flash chromatography ( $\text{SiO}_2$ ,  $2 \times 6\text{ cm}$ , 0–2%  $\text{EtOAc}$ /hexanes gradient) afforded an inseparable 3:1 mixture of  $\alpha$  (**23c**): $\gamma$  alkylation products as a yellow oil (696 mg, 70%) data is for major product **23c**:  $^1\text{H}$  NMR ( $\text{C}_6\text{D}_6$ , 400 MHz)  $\delta$  11.08 (s, 1H), 7.71 (m, 4H), 7.41 (m, 6H), 6.35 (d,  $J = 2.2\text{ Hz}$ , 1H), 6.26 (d,  $J = 2.2\text{ Hz}$ , 1H), 6.12 (dd,  $J = 10.6, 3.9\text{ Hz}$ , 1H), 6.00 (m, 1H), 5.69 (dd,  $J = 14.7, 6.8\text{ Hz}$ , 1H), 5.35 (m, 2H), 5.17 (dd,  $J = 12.5, 6.2\text{ Hz}$ , 1H), 4.96 (dd,  $J = 17.0, 1.6\text{ Hz}$ , 1H), 4.78 (dd,  $J = 6.8, 1.6\text{ Hz}$ , 1H), 3.68 (d,  $J = 13.9\text{ Hz}$ , 1H), 3.43 (d,  $J = 13.9\text{ Hz}$ , 1H), 2.76 (m, 2H), 2.52 (m, 2H), 2.00 (m, 1H), 1.75 (d,  $J = 7.4\text{ Hz}$ , 3H), 1.62 (m, 1H), 1.43 (d,  $J = 6.3\text{ Hz}$ , 3H), 1.31 (m, 1H), 1.25 (m, 1H), 1.09 (s, 9H), 0.83 (m, 1H), 0.63 (m, 1H), 0.55 (m, 1H);  $^{13}\text{C}$  NMR ( $\text{C}_6\text{D}_6$ , 100 MHz)  $\delta$  171.2, 163.7, 159.4, 141.6, 138.3, 135.9, 134.7, 132.8, 132.6, 130.8, 130.4, 128.2, 118.5, 112.2, 108.8, 107.6, 73.5, 56.0, 46.6, 39.9, 32.0, 27.7, 27.7, 26.8, 25.7, 23.0, 22.8, 20.1, 19.8, 18.4, 17.4, 14.6, 14.1; ESIMS  $m/z$  721 ( $[\text{M} + \text{Na}^+]$ ,  $\text{C}_{41}\text{H}_{50}\text{NaO}_4\text{S}_2\text{Si}$  requires 721).

**TBS Protection of Phenol (24c).** A solution of **23c** (686 mg, 0.98 mmol) in 4.0 mL of anhydrous DMF at  $0\text{ }^{\circ}\text{C}$  was treated with imidazole (270 mg, 3.9 mmol) in one portion. *tert*-Butyldimethylchlorosilane (300 mg, 1.9 mmol) was added in one portion and the resulting

reaction was warmed to 25 °C for 3 h. The reaction mixture was diluted with Et<sub>2</sub>O (30 mL), and washed successively with 5% aqueous NH<sub>4</sub>Cl (10 mL), H<sub>2</sub>O (3 x 5 mL) and saturated aqueous NaCl (10 mL). The organic layer was dried (MgSO<sub>4</sub>), concentrated under reduced pressure, and purified by flash chromatography (SiO<sub>2</sub>, 2 x 6 cm, 0–3% EtOAc/hexanes gradient) to provide a mixture of isomers of **24c** (85 mg, 83%) as a yellow oil. This mixture was separated using HPLC (Dynamax 60 Å, SiO<sub>2</sub>, 25 x 100 mm, 15 mL/min, 2% EtOAc/hexanes, 50 mg injections) to afford pure **24c** as a colorless oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 7.70 (m, 4H), 7.42 (m, 6H), 6.64 (d, *J* = 1.9 Hz, 1H), 6.26 (dd, *J* = 15.0, 10.5 Hz, 1H), 6.08 (m, 1H), 6.01 (d, *J* = 1.9 Hz, 1H), 5.66 (dq, *J* = 13.4, 6.6 Hz, 1H), 5.45 (d, *J* = 15.1 Hz, 1H), 5.37 (m, 1H), 5.10 (m, 1H), 5.02 (d, *J* = 15.1 Hz, 1H), 4.83 (d, *J* = 10.2 Hz, 1H), 3.17 (m, 2H), 2.81 (m, 2H), 2.62 (m, 2H), 1.95 (m, 1H), 1.80 (m, 1H), 1.75 (d, *J* = 6.4 Hz, 3H), 1.48 (m, 1H), 1.38 (d, *J* = 6.2 Hz, 3H), 1.21 (m, 1H), 1.07 (s, 9H), 0.85 (m, 1H), 0.79 (s, 9H), 0.63 (m, 1H), 0.56 (m, 1H), –0.18 (s, 3H), –0.19 (s, 3H); <sup>13</sup>C NMR (C<sub>6</sub>D<sub>6</sub>, 100 MHz) δ 167.1, 155.9, 153.5, 141.6, 135.6, 134.8, 134.3, 132.9, 132.7, 131.0, 130.0, 129.8, 128.5, 128.0, 121.7, 116.5, 111.8, 109.6, 72.1, 55.2, 53.6, 45.0, 39.9, 27.5, 26.5, 25.7, 25.3, 22.6, 19.8, 19.5, 18.4, 18.2, 17.3, 14.1, –4.6; ESIMS *m/z* 835 ([M + Na<sup>+</sup>], C<sub>47</sub>H<sub>64</sub>NaO<sub>4</sub>S<sub>2</sub>Si<sub>2</sub> requires 835).

**RCM Product (26c).** A solution of **24c** (9.5 mg, 11.6 μmol) in 20 mL anhydrous benzene under Ar was treated with Ru-catalyst **22** (1.0 mg, 1.2 μmol) and heated to 80 °C for 12 h. The reaction was concentrated and directly subjected to flash chromatography (SiO<sub>2</sub>, 1 x 5 cm, 0–10% EtOAc/hexanes gradient) which provided pure macrocycle **26c** (1.8 mg, 20%) as a colorless oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 7.67 (m, 4H), 7.45–7.30 (m, 7H), 7.00 (dd, *J* = 15.7, 9.6 Hz, 1H), 6.11 (d, *J* = 2.0 Hz, 1H), 5.89 (dd, *J* = 11.7, 10.6 Hz, 1H), 5.62 (d, *J* = 15.7 Hz, 1H), 5.17 (m, 2H), 3.70 (d, *J* = 16.1 Hz, 1H), 3.19 (d, *J* = 16.1 Hz, 1H), 3.04 (m, 1H), 2.73 (m, 2H), 2.52 (m, 1H), 2.14 (m, 1H), 2.02 (m, 1H), 1.85 (m, 1H), 1.50 (m, 1H), 1.47 (d, *J* = 6.4 Hz, 3H), 1.07 (s, 9H), 0.83 (s, 9H), 0.80 (m, 2H obscured), 0.52 (ddd, *J* = 8.5, 4.9, 4.7 Hz, 1H), 0.44 (ddd, *J* = 8.9, 4.7, 4.0 Hz, 1H), –0.06 (s, 3H), –0.07 (s, 3H); ESIMS *m/z* 771 ([M + Na<sup>+</sup>], C<sub>44</sub>H<sub>58</sub>O<sub>4</sub>Si<sub>2</sub>S<sub>2</sub> requires 793).

**Cyclopropyl-Monocillin (2c).** A solution of **26c** (4.0 mg, 5.1 μmol) in 1 mL of anhydrous CH<sub>2</sub>Cl<sub>2</sub> at –10 °C was treated with *m*CPBA (1.1 mg, 5.1 μmol, 80%) in one portion

and stirred 5 min. The reaction was quenched with 10% Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (1 mL) and 5% aqueous NaHCO<sub>3</sub> (1 mL), and extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 x 2 mL). The solvents were removed under reduced pressure and the crude monosulfoxide was dissolved in 2 mL of THF:H<sub>2</sub>O:Ac<sub>2</sub>O:Et<sub>3</sub>N (10:1:3:4) and heated to 60 °C for 12 h. The reaction was concentrated under reduced pressure.

5 The oil was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (10 mL), and washed with 5% aqueous NH<sub>4</sub>Cl (10 mL), and the solvents were again removed. The crude was then dissolved in 3 mL 1:1 MeOH:5% aqueous NaHCO<sub>3</sub> and stirred an additional 12 h to remove the phenolic acetates. The resulting solution was extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 x 5 mL), washed with sat. aqueous NH<sub>4</sub>Cl (10 mL), dried (Na<sub>2</sub>SO<sub>4</sub>) and the solvents were removed under reduced pressure. Flash chromatography (SiO<sub>2</sub>, 2  
10 x 5 cm, 30% EtOAc/hexanes) provided monocillin I (**2c**) (1.1 mg, 60%) as a pale white solid: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 11.33 (s, 1H), 8.30 (dd, *J* = 15.8, 11.4 Hz, 1H), 7.00 (s, 1H), 6.40 (d, *J* = 2.3 Hz, 1H), 6.37 (d, *J* = 2.3 Hz, 1H), 6.20 (dd, *J* = 10.7, 10.6 Hz, 1H), 5.99 (d, *J* = 15.9 Hz, 1H), 5.69 (dd, *J* = 9.5, 6.0 Hz, 1H), 5.46 (m, 1H), 5.28 (d, *J* = 13.9 Hz, 1H), 3.62 (d, *J* = 13.9 Hz, 1H), 2.28 (m, 1H), 1.99 (m, 1H), 1.54 (d, *J* = 6.7 Hz, 3H), 0.90 (m, 2H), 0.72 (m, 1H), 0.62 (m, 1H); ESIMS *m/z* 351.0 ([*M* + Na<sup>+</sup>], C<sub>19</sub>H<sub>20</sub>NaO<sub>5</sub> requires 351.0).

**Cyclopropyl-Radicicol (40).** Cyclopropyl-monocillin I (**2c**) (2.0 mg, 6.0 μmol) was dissolved in anhydrous Et<sub>2</sub>O (0.5 mL) at 0 °C and treated with 210 μL of SO<sub>2</sub>Cl<sub>2</sub> solution (0.06 M in CH<sub>2</sub>Cl<sub>2</sub>). The reaction was monitored closely by TLC to prevent over chlorination. Upon completion, the reaction was diluted with EtOAc (5 mL), washed with 5% aqueous NH<sub>4</sub>Cl (5 mL), saturated aqueous NaCl (5 mL) and dried (Na<sub>2</sub>SO<sub>4</sub>). The solvents were removed under reduced pressure and flash chromatography (SiO<sub>2</sub>, 1 x 5 cm, 30% EtOAc/hexanes) provided cyclopropyl-radicicol (**40**) (1.6 mg, 80%) as a white solid: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz) δ 10.89 (s, 1H), 7.99 (dd, *J* = 15.6, 11.0, Hz, 1H), 6.62 (s, 1H), 6.12 (dd, *J* = 9.7, 9.6 Hz, 1H), 6.02 (d, *J* = 16.3 Hz, 1H), 5.60 (dd, *J* = 10.0, 5.8 Hz, 1H), 5.44 (m, 1H), 4.89 (m, 1H), 3.80 (m, 1H), 2.21 (dt, *J* = 15.8, 3.2 Hz, 1H), 1.70 (m, 1H), 1.47 (d, *J* = 6.7 Hz, 3H), 1.10 (m, 1H), 0.87 (m, 1H), 0.66 (ddd, *J* = 8.7, 5.1, 5.0 Hz, 1H), 0.55 (ddd, *J* = 8.5, 5.0, 4.9 Hz, 1H); ESIMS *m/z* 387.0 ([*M* + Na<sup>+</sup>], C<sub>19</sub>H<sub>19</sub>NaO<sub>5</sub>Cl requires 387.0).

## 30 Cell Culture Experimental:

The human cancer cell lines MCF7, BT474 and N417 were obtained from the American Type Culture Collection (Manassas, VA, USA) and maintained in a 1:1 mixture of DME:F12 supplemented with 2mM glutamine, 50 U/mL penicillin, 50 U/mL streptomycin and 5% heat inactivated fetal bovine serum (Gemini Bioproducts) and incubated at 37 °C in 5% CO<sub>2</sub>.

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#### **Protein Assays:**

Cells were grown to 60–70% confluence and exposed to drugs or DMSO vehicle for the indicated time periods. Lysates were prepared using 50mM Tris pH 7.4, 2% SDS and 10% glycerol lysis buffer. Protein concentration was determined using the BCA kit (Pierce Chemical Co.) according to the manufacturer's instructions. Clarified protein lysates (20–50 µg) were electrophoretically resolved on denaturing SDS-PAGE, transferred to nitrocellulose and probed with the following primary antibodies: anti-Her2 (C-18).

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#### **Antiproliferative Index:**

Growth assays were performed by seeding 10000 cells (MCF7, BT474 or N417) per well in 6-well dishes and incubating for 24 h before drug treatment. Drugs or vehicle were administered as outlined for each experiment, and cells were incubated for the time periods depicted and then the number quantified by a Coulter counter.

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#### **Flow Cytometry:**

Cell cycle distribution was assayed according to Nusse et al. With a Becton Dickinson fluorescence-activated cell sorter and analyzed by a Cell Cycle Multi-cycle system (Phoenix Flow System, San Diego, CA, USA).

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#### **In vivo activity:**

Although a variety of methods known in the art can be utilized, one exemplary method by which the *in vivo* activity of the inventive compounds is determined is by subcutaneously

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transplanting a desired tumor mass in mice. Drug treatment is then initiated when tumor mass reaches approximately 100 mm<sup>3</sup> after transplantation of the tumor mass. A suitable composition, comprising any one inventive compounds described above, including classes thereof, subclasses thereof, or species thereof, optionally further comprising a pharmaceutically acceptable carrier and optionally further comprising an additional therapeutic agent, is then administered to the mice, preferably in saline and also administered once a day at doses in the range of 0.001 mg/kg, to about 50 mg/kg, although it will be appreciated that other doses can also be administered, as described herein (e.g., 0.01 mg/kg to about 25 mg/kg of body weight, or 0.1 mg/kg to about 10 mg/kg of body weight), or, in some embodiments, at dosages in the range of about 50 mg/kg to about 100 mg/kg, or dosages below 0.001 mg/kg. Body weight and tumor size are then measured daily and changes in percent ratio to initial values are plotted. In cases where the transplanted tumor ulcerates, the weight loss exceeds 25-30% of control weight loss, the tumor weight reaches 10% of the body weight of the cancer-bearing mouse, or the cancer-bearing mouse is dying, the animal is sacrificed in accordance with NIH guidelines for animal welfare. For additional guidance on mouse models see, [http://mmhcc.nci.nih.gov/mmhcc/organ\\_models](http://mmhcc.nci.nih.gov/mmhcc/organ_models).